# ON UNITARY REPRESENTATIONS WITH REGULAR

# INFINITESIMAL CHARACTER

by

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#### Dedication

Dedico esta tesis a mis padres y hermanos por el amor, apoyo y fe<sup>'</sup>que me han prodigado durante toda mi vida, especialmente a mi hermana Lourdes con quien he compartido la misma experiencia de estudiantes en M.I.T. y que durante estos años fue mi ejemplo y mi apoyo y que generosamente me dio su tiempo, comprension y numerosos consejos para el desarrollo de mi investigacion.

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#### ABSTRACT

For  $G = SL(n, \mathbb{R})$ , SU(p,q), and  $SP(n, \mathbb{R})$  we prove that every irreducible unitary representation of G with the same infinitesimal character as that of a finite dimensional, arises as cohomological parabolic induction from a one-dimensional unitary character. The techniques used involve case-by-case arguments that do not use any special features of these groups. So it seems reasonable to hope that these arguments could be extended in order to solve the problem for other groups.

For G as above let K be a maximal compact subgroup of G,  $g_0 = k_0 + p_0$ , the Cartan decomposition of  $g_0 =$ Lie(G),  $(\pi, \#)$  an irreducible Hermitian representation of G on which Z(g) acts as on a finite dimensional module,  $\#_K$  the Harish-Chandra module of  $\pi$  and  $(\mu, V_{\mu})$  a lowest K-type of  $\#_K$ . We prove that either  $\#_K$  is isomorphic to a Zuckerman module  $A_q(\lambda)$ , for some  $\theta$ -stable parabolic subalgebra  $q = \ell + u \subseteq g$  and one-dimensional unitary character  $\lambda$  of  $L = N_G(q)$ , or else the Hermitian form restricted to  $V_{\mu} \oplus (p \otimes V_{\mu})$  is indefinite.

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#### Chapter 1. Introduction

The problem of classifying those irreducible unitary representations of a Lie group whose infinitesimal character is the same as that of an irreducible finite dimensional, has interested many people for many years. These representations are important because they appear in interesting applications like the theory of automorphic forms (see for example, Borel-Wallach [1980], ch. VII.5-VII.6). Here is an example:

If G is a semisimple Lie group,  $\Gamma$  a discrete subgroup such that  $\Gamma \setminus G$  is compact, and F an irreducible finite dimensional G-module, then the right action of G on  $L^2(\Gamma \setminus G)$  gives a Hilbert space decomposition, with finite multiplicities  $m(\pi, \Gamma)$ ,

$$L^{2}(\Gamma \setminus G) = \Theta \quad m(\pi, \Gamma)\pi,$$
$$\pi \in \widehat{G}_{u}$$

with  $G_u$  the set of irreducible, unitary representations  $(\pi, \mathcal{X})$  of G. Matsushima's formula (see Borel-Wallach [1980], p. 223) is

$$H^{*}(\Gamma, F) = \bigoplus_{\pi \in \widehat{G}_{u}} m(\pi, \Gamma) H^{*}(g, K; \mathcal{H}_{K} \otimes F^{*}).$$

Here g is Lie(G)  $\otimes \mathbb{C}$ , and  $\mathscr{H}_{K}$  is the Harish-Chandra

module of  $(\pi, \mathcal{X})$ . The relative Lie algebra cohomology groups on the right are non-zero only when the infinitesimal character of  $\pi$  is the same as that of F.

In Vogan-Zuckerman [1984], an algebraic construction of the modules  $\mathscr{H}_{K}$  with non-vanishing cohomology groups in terms of cohomological parabolic induction is given. The derived functor modules constructed this way are conjectured to exhaust a larger family of unitary representations described below.

We refer to chapter 2 for precise definitions of the terms used below.

Let G be a reductive Lie group, K a maximal compact subgroup,  $\theta$  the corresponding Cartan involution, and  $g_0 = k_0 \ \theta \ \phi_0$  the Cartan decomposition of  $g_0$ .

Let  $q = l + u \subseteq g$  be a  $\theta$ -stable parabolic subalgebra (cf. 2.3), that is

 $\theta \ell = \ell$ ,  $\theta u = u$ ,  $\bar{\ell} = \ell$ , with denoting complex conjugation and  $\bar{q} \cap q = \ell$ .

Let L be the normalizer of q in G, and  $\lambda$  a onedimensional unitary character of L. A (g,K)-module  $A_q(\lambda)$ is a Harish-Chandra module constructed as in Vogan [1981] Chapter 6 by cohomological parabolic induction from the

one-dimensional unitary character  $\lambda$ . (See Definitions 2.4.14, 2.5.2).

The conditions on the infinitesimal character mentioned above can be weakened to include a larger family of representations.

If X is a Harish-Chandra module with infinitesimal character  $\chi$ , and  $h \subset g$  a Cartan subalgebra, then up to Weyl-group orbit considerations  $\chi$  corresponds to a weight  $\gamma \in h^{\bigstar}$ . Choose a positive root system  $\Delta^+(g,h)$  such that  $\gamma$  is dominant.

Conjecture 1.1. Suppose X is an irreducible unitary Harish-Chandra module such that  $\gamma - \rho$  is dominant for  $\Lambda^+(g,h)$ . Then, there are a  $\theta$ -stable parabolic subalgebra q and a unitary one-dimensional character  $\lambda$  of L such that

$$X \cong A_a(\lambda).$$

Some progress has been made when we assume that  $\gamma$  is regular and integral. Namely, for G a complex group, T. J. Enright [1979] proved that if  $\gamma$  is regular integral then there exists a (g,K) module  $A_q(\lambda)$  isomorphic to X. Also, in Speh [1981], the same result for  $G = SL(n,\mathbb{R})$ is proved.

The following result is proved in this thesis.

Theorem 1.2. If G is  $SL(n,\mathbb{R})$ , SU(p,q), or  $SP(n,\mathbb{R})$ and  $\gamma$  regular and integral, then, for some q and  $\lambda$ 

$$X \cong A_q(\lambda).$$

The proof for  $SL(n,\mathbb{R})$  is new and quite different from Speh's original one.

The proof is by induction on the dimension of G. It involves choosing an appropriate proper subgroup  $L \subset G$ and embedding  $\mathscr{H}_K$  as the Langlands submodule of a derived functor module induced from a representation of L, in such a way that the information about unitarity or nonunitarity of the representation of L can be carried up to G and our representation  $\mathscr{H}_V$ .

The thesis is organized as follows. In Chapter 2 we set up the notation and results needed to restate and prove the result in the following form:

Theorem 1.3. Suppose X is an irreducible Harish-Chandra module with regular integral infinitesimal character, equipped with a non-zero Hermitian form  $\langle , \rangle$ . Then, either

a)  $X \cong A_q(\lambda)$ , for some  $q, \lambda$  as above, or b) There are a lowest-K-type  $V_{\delta_1}$  and a K-type  $V_{\delta_2} \subseteq V_{\delta_1} \otimes \rho$ , such that

$$\operatorname{Hom}_{K}(V_{\delta_{i}}, X) \neq 0 \qquad i = 1, 2,$$

and the restriction of < , > to the sum  $V_{\delta_1} \oplus V_{\delta_2}$  is indefinite.

Sections 2.1 through 2.4 are devoted to notation and the results that will be needed for the proof. The two main issues are the definition of the Zuckerman derived functor modules  $\Re_q(Y)$  and Vogan's embedding of any irreducible Harish-Chandra module into some Zuckerman derived functor module. We also give some useful properties of these modules.

In Section 2.5 we define the modules  $A_q(\lambda)$  and prove some nice features that we will use in later chapters.

Sections 2.6 and 2.7, and Chapters 3 and 5 are the actual proof of Theorem 1.3. The main results are Theorems 2.6.7 and 2.6.8, which say that we can exhibit X as a submodule of a derived functor module  $\Re_q(X_L)$  in such a way that we can reduce the problem to the representation  $X_L$  of the group L. Chapters 3, 4 and 5 are the proof of Theorem 2.6.7.

We argue by contradiction: With the help of Vogan's embedding result we find another  $\theta$ -stable parabolic subalgebra and another Zuckerman module containing X. We have to check several conditions that will ensure the

reduction, but mainly 2.6.7 b) and c). Then assuming that the representation  $X_L$  is not isomorphic to a module  $A_{q0}(\lambda^0)$  we prove non-unitarity on  $X_L$ . For this we use the properties of the  $A_q(\lambda)$  modules discussed in 2.5 and some techniques discussed in 2.7, primarily Lemma 2.7.1.

#### Chapter 2

In this chapter we set up notation, state the basic results we will need and our main result, and provide a scheme for the proof.

For undefined terms in this section see, for example, Vogan [1981] Chapter O.

2.1. Structure Theory

We will denote Lie groups by upper case roman letters such as G, H, L and complex Lie algebras by script letters such as g, h, l. We will make the distinction between the real Lie algebra of a Lie group and its complexification as follows:

 $g_0 = \text{Lie}(G)$   $g = g_0 \otimes \mathbb{C}$ , etc.

Let U(g) = universal enveloping algebra of g and Z(g) = center of U(g).

Although we will eventually study connected real simple linear Lie groups, we will consider connected real reductive linear Lie groups. These are Lie groups satisfying:

a) G is connected

b)  $g_0$  is a real reductive Lie algebra

c) G has a faithful finite dimensional representation

Let  $\theta$  be a Cartan involution of  $g_0$  and  $g_0 = k_0 \theta$  $\phi_0$  the Cartan decomposition of  $g_0$  into the +1 and -1 eigenspaces of  $\theta$ .

Fix once and for all a nondegenerate, invariant symmetric bilinear form on  $g_0$ . We will denote this and its various complexifications, restrictions and dualizations by (,). We may choose it so that the Cartan decomposition of  $g_0$  is orthogonal and

$$(,)|_{p_0} > 0$$

 $(,)|_{k_0} < 0.$ 

Let H be a Cartan subgroup of G. Denote by  $\Delta = \Delta(g, h)$ the roots of h in g.

In general if  $\mathfrak{s}$  is an abelian reductive Lie subalgebra of  $\mathfrak{g}$  and V is an  $\operatorname{ad}(\mathfrak{s})$ -stable subspace of  $\mathfrak{g}$  then  $\Delta(V,\mathfrak{s})$  is the set of weights of  $\mathfrak{s}$  in V (with multiplicities). For any  $B \subset \Delta(V,\mathfrak{s})$  let  $\rho(B) = \frac{1}{2} \sum_{\alpha \in B} \alpha$ . When there is no confusion we will use  $\Delta(V)$  for  $\Delta(V,\mathfrak{s})$ .

If H is a  $\theta$ -stable Cartan subgroup, then

H = TA; with T = H  $\cap$  K, A = H  $\cap$  (exp  $p_0$ ) = exp( $h_0 \cap p_0$ )

and  $\Delta(q,h)$  is  $\theta$ -stable.

Let W = W(g,h) be the Weyl group of h in g and

$$W(G,H) = N_G(H)/H \cong N_K(H)/H \cap K$$

Let  $\Delta^+ = \Delta^+(g,h)$  be a set of positive roots of h in g, b = h + n, the corresponding Borel subalgebra and  $\rho = \rho_g = \rho(n)$ .

Let  $t_0^c \subseteq k_0$  be a Cartan subalgebra. Define  $h^c$ (resp.  $H^c$ ) to be the centralizer in g (resp. G) of  $t_0^c$ .  $H^c$  is  $\theta$ -stable, so we can write

$$H^{c} = T^{c}A^{c}$$
, with  $T^{c} = H^{c} \cap K$ 

a Cartan subgroup of K.

H<sup>C</sup> is called the fundamental or maximally compact Cartan subgroup of G.

On the other extreme, if  $a_0^{s} \subseteq \phi_0$  is a maximal abelian subalgebra and  $h_0^{s} = t_0^{s} + a_0^{s}$  is maximal abelian then  $h_0^{s}$  is also a Cartan subalgebra of  $g_0$ . Its centralizer  $H^{s}$  in G is a Cartan subgroup of G, the maximally split one.

### 2.2. Harish-Chandra Modules

Let  $(\pi, \#)$  be a continuous complex Hilbert space representation and  $\#_K$  the subset of # of K-finite vectors. If  $(\pi, \mathcal{X})$  is <u>admissible</u>, that is, if all the K-isotypic components of  $\mathcal{X}_{K}$  are finite dimensional, then the limit

$$\pi(\mathbf{x})\mathbf{v} = \lim_{\mathbf{t}\to \mathbf{0}} \frac{1}{2}(\pi(\exp t\mathbf{x})\mathbf{v}-\mathbf{v}),$$

exists for all  $x_0 \in g_0$  and  $v \in \mathscr{H}_K$  and defines a representation of  $g_0$  in  $\mathscr{H}_K$ .

 $\#_{K}$  is a (g,K) module since we can complexify the representation  $\#_{K}$  to a representation of g (# being complex). Also  $\#_{K}$  is a representation of K. We call  $\#_{K}$  the <u>Harish-Chandra module of</u> ( $\pi$ ,#) (cf. Harish-Chandra [1953].

Any irreducible unitary representation of G is admissible.

Denote by  $\mathcal{M}(g, K)$  the category of (g, K) modules and by  $\mathcal{A}(g, K)$  the category of admissible (g, K) modules.

An irreducible (g, K) module is automatically admissible.

A g module X is <u>quasisimple</u> if Z(g) acts by scalars on X. Then we have a homomorphism

 $\chi : Z(g) \longrightarrow \mathbb{C}$ 

$$\chi(z)x = \pi(z)x$$

called the infinitesimal character of X.

Any irreducible (g, K) module is quasisimple.

If  $(\pi, X)$ ,  $(\pi' \cdot X') \in \mathcal{M}(g, K)$  we say that X and X' are equivalent if there is an invertible map which is an element of the set of (g, K)-module maps defined by

$$\operatorname{Hom}_{g,K}(\pi,\pi') = \operatorname{Hom}_{g,K}(X,X') = \{L : X \longrightarrow X \mid$$

L is complex linear and  $\pi' L = L\pi$ .

Write  $\hat{G}$  for the set of equivalence classes of irreducible (g, K) modules. If  $(\pi, \mathscr{H})$ ,  $(\pi', \mathscr{H}')$  are representations of G we say they are infinitesimally equivalent if  $(\pi, \mathscr{H}_{K})$ ,  $(\pi', \mathscr{H}_{K}')$  are equivalent.

2.3 Parabolic subalgebras Let  $t_0^c \subseteq k_0$ . Fix

$$x \in i(t_0^c)^*$$

We define a  $\theta$ -stable parabolic subalgebra q as follows. Let

$$\Delta(\ell) = \Delta(\ell, \ell^{C}) = \{\alpha \in \Delta(g, \ell^{C}) \mid \langle \alpha, x \rangle = 0\}$$

$$\Delta(u) = \Delta(u, t^{c}) = \{\alpha \in \Delta(g, t^{c}) \mid \langle \alpha, x \rangle > 0\}$$

$$\iota = \bigoplus_{\alpha \in \Lambda(\iota)} \mathbb{C}X_{\alpha} + \iota^{c}, \quad u = \bigoplus_{\alpha \in \Lambda(u)} \mathbb{C}X_{\alpha}$$

then q = l + u is  $\theta$ -stable.

#### 2.4. Derived Functor Modules

In this section we consider that part of the classification of Harish-Chandra modules that consists of attaching a certain set of parameters to an irreducible Harish-Chandra module. We are going to exhibit each irreducible (g,K) module as a submodule of a derived functor module.

We will first consider a particular set of irreducible (g, K) modules when G is quasisplit. To define these groups we need some notation.

Let  $a_0^S \subseteq p_0$  be a maximal abelian subalgebra and  $A^S$  the corresponding connected subgroup of G.

Let  $M = K^{A}$  = centralizer of  $A^{S}$  in K.

Define  $\Delta^{S} = \Delta(q/(m+a^{S}), a^{S}) =$  the nonzero roots of  $a^{S}$  in q.

Fix a positive system  $\Lambda^+ \subset \Lambda^s$  and let

$$n_{0} = \bigoplus_{\alpha \in \Delta^{+}} \mathbb{R}X_{\alpha}$$

and N the corresponding connected subgroup of G. Define  $P^{S} = MA^{S}N$ .

Definition 2.4.1. For a fixed representation  $(\delta, V)$  of M and  $\nu \in \hat{A}^{S}$ , define the Hilbert space

$$\mathcal{H}_{\delta, v} = \{ f : G \longrightarrow V \mid f \text{ measurable}; \}$$

$$f(gman) = a^{-(\nu+\rho)}\delta(m)^{-1}f(g);$$

 $m \in M$ ;  $a \in A$ ;  $n \in N$  and  $f|_{K} \in L^{2}(K)$ .

The action of G on  $\mathscr{H}_{\delta,v}$  given by

$$(\pi_{\delta,\nu}(g))f(g_0) = f(g^{-1}g_0)$$

defines a representation  $I(\delta \otimes v) = Ind_{ps}^{G}(\delta \otimes v)$ , the induced prepresentation of G.

Definition 2.4.2. G is quasisplit if  $m_0 + a_0^s$  is abelian.

Hence, if G is quasisplit  $h_0^s = m_0^s + a_0^s$  is a Cartan subalgebra of  $g_0^s$  and  $H^s = MA^s = T^sA^s$  a Cartan subgroup of G.

Therefore

$$\hat{H}^{s} = \text{homomorphisms} : H \longrightarrow \mathbb{C}^{x} = \hat{M} \times \hat{A}^{s} \cong \hat{M} \times (a^{s})^{*}$$

Definition 2.4.3. a) A representation  $\delta \in \widehat{M}$  is fine if  $d\delta$  is trivial on  $m_0 \cap [g,g]$ .

b) Consider the set

$$\overline{\Lambda} = \{ \alpha \in \Lambda^{\mathbf{S}} \mid \frac{1}{2} \alpha \notin \Lambda^{\mathbf{S}} \}.$$

A root  $\alpha \in \overline{\Lambda}$  is real if  $\alpha = \beta \Big|_{\alpha}^{s}$  for some  $\beta \in \Lambda^{s}$  real.

Let  $a_0^{\alpha} = \{X \in a_0^{s} \mid \alpha(X) = 0\}$  and  $G^{A^{\alpha}} = M^{\alpha}A^{\alpha}$ ;  $K^{\alpha} = M^{\alpha} \cap K$ . Choose an injection

$$\phi_{\alpha} : \mathfrak{sl}(2,\mathbb{R}) \longrightarrow \mathfrak{m}_{0}^{\alpha}$$

such that

$$\phi_{\alpha} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \in a_{0}$$
$$\phi_{\alpha} \circ \theta = \theta \circ \phi_{\alpha}$$

$$\phi_{\alpha}\begin{bmatrix}0&1\\\\0&0\end{bmatrix}\in\alpha\text{-root space}.$$

Put 
$$Z_{\alpha} = \Phi_{\alpha} \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \in k_{0}^{\alpha}$$

c) A representation  $\mu \in \widehat{K}$  is fine (for G) if

i) For  $\alpha \in \overline{A}$  <u>real</u>  $\mu(iZ_{\alpha})$  has eigenvalues included in  $\{0, +1\}$ .

ii) For each complex root  $\alpha \in \overline{\Lambda}$ 

$$\begin{array}{c} \mu \\ (k_0^{\alpha} \cap [g_0, g_0]) \end{array}$$
 is trivial.

d) If  $\delta \in \widehat{M}$  is a fine representation set  $A(\delta) = \{\mu \in \widehat{K} \mid \mu \text{ is fine, and } \delta \text{ occurs in } \mu \mid_{M} \}$ .

Proposition 2.4.5. See Vogan [1981] 4.4.8.

Suppose G is quasisplit and  $\mu \in \widehat{K}$  is fine. Then the restriction of  $\mu$  to M is a sum of fine representations of M, each occurring with multiplicity one.

Say that  $\mu \in A(\delta)$  for some  $\delta$  fine. Let X be an irreducible (g,K) module containing the K-type  $\mu$ .

Then there is a character  $v \in \hat{A}^{S}$  such that

$$\operatorname{Hom}_{g,K}(X,I(\delta\otimes v)) \neq 0.$$

For an arbitrary linear reductive Lie group we will define the notion of minimal (or lowest) K-type of a representation and attach to it certain parameters. In the next section we will then construct a (g,K) module with these parameters using a reduction to a quasisplit group. The irreducible representation with that lowest K-type is a subquotient of this module. For proofs of these results see Vogan [1981] Chapters 5 and 6.

Fix a Cartan subalgebra  $t_0^c$  of  $k_0^c$ , a positive root system

$$\Delta^{+}(k) = \Delta^{+}(k, t^{\rm C}),$$

and a  $\Lambda^+(k)$ -dominant weight  $\mu \in \hat{T}^c$ ; write  $\mu \in (t^c)^*$  for its differential. Define

$$2\rho_{c} = 2\rho(\Lambda^{+}(k)) \in (t^{c})^{*}.$$

Let  $h^{c}$  as in 2.1. Then there exists a  $\theta$ -stable positive root system  $\Lambda^{+}(g, h^{c})$  which makes  $\mu + 2\rho_{c}$ dominant. See for example, Vogan [1981], p. 239.

Fix a noncompact imaginary root  $\beta \in \Delta(g, h^{C})$ . Write  $X_{\beta}$  for a root vector for the root  $\beta$ . Put

$$X_{-\beta} = \bar{X}_{\beta}$$

$$Z_{\beta} = X_{\beta} + X_{-\beta} \in \phi_{0}$$

$$g_{0}^{\beta} = \text{centralizer of } Z_{\beta} \text{ in } g_{0}$$

$$(h_{0}^{c})^{\perp} = \{ \mathbf{x} \in h_{0}^{c} \mid \beta(\mathbf{x}) = 0 \} \qquad (2.4.6)$$

$$= (t_{0}^{c})^{\perp} \oplus a_{0}^{c}$$

$$h_{0}^{\beta} = (h_{0}^{c})^{\perp} \oplus \langle Z_{\beta} \rangle.$$

Then  $g_0^{\beta}$  is reductive, the subgroup  $G_0^{\beta}$  of G with Lie algebra  $g_0^{\beta}$  is real reductive linear and  $h_0^{\beta}$  is a Cartan subalgebra of  $g_0^{\beta}$  and of  $g_0$ . See Vogan [1981], p. 235.

Proposition 2.4.7 (Vogan [1981], 5.3.3). For each  $\Lambda^+(k, t^c)$ -dominant weight  $\mu \in \hat{T}^c$ , there is a unique element  $\lambda_V(\mu) = \lambda_V^G(\mu) \in (t^c)^*$  having the following properties: fix a  $\theta$ -stable positive root system  $\Lambda^+(g, h^c)$  making  $\mu + 2\rho_c$  dominant; and write  $\rho = \rho(\Lambda^+(g, h^c))$ . Then  $\lambda_V(\mu)$  is dominant for  $\Lambda^+(g, h^c)$ , and there is a set  $\{\beta_1, \ldots, \beta_r\} \subseteq \Lambda^+(g, h^c)$  of imaginary roots, satisfying a) If we put

$$c_{i} = \frac{-2\langle \beta_{i}, \mu + 2\rho_{c} \rangle}{\langle \beta_{i}, \beta_{i} \rangle}$$

$$\mathbf{v} = \sum \mathbf{c}_{\mathbf{i}} \boldsymbol{\beta}_{\mathbf{i}},$$

then

$$0 \leq \mathbf{c}_{\mathbf{i}} \leq 1$$

and

$$\lambda_{\mathbf{V}}^{\mathbf{G}}(\mu) = \lambda_{\mathbf{V}}(\mu) = \mu + 2\rho_{\mathbf{c}} - \rho + \frac{1}{2}\mathbf{v}.$$

b) If  $\alpha \in \Delta(g, h^c)$  is imaginary, and  $\langle \alpha, \lambda_V(\mu) \rangle = 0$ , then  $\langle \alpha, \beta_i \rangle \neq 0$  for some i.

c) The root  $\beta_1$  is noncompact; and either it is simple, or there is a complex simple root  $\alpha$  of  $\Delta^+(g, h^c)$ such that  $\beta_1 = \alpha + \theta \alpha$ .

d) Either all  $c_i = 0$ , or  $c_1 \neq 0$ . e) Write

$$g^1 = g^{\beta_1}, \quad h^1 = h^{\beta_1}$$

as in equations (2.4.6). Then the positive system  $\Delta^+(g, h^c) \cap \beta_1^{\perp} \cong \Delta^+(g^1, h^1)$  and its subset  $\{\beta_2, \ldots, \beta_r\}$  satisfy these same conditions for  $g^1$  and the weight  $\mu \mid_g 1 \cap t$ .

Definition 2.4.8. For a  $\Lambda^+(k)$ -dominant weight  $\mu \in \hat{T}^c$ let  $q_V^G(\mu)$  be the parabolic defined by  $\lambda_V^G(\mu)$  as described in 2.3. If  $\pi$  is a K-type of highest weight  $\mu$  we also define  $q_V^G(\pi) = q_V(\mu)$ .

Proposition 2.4.9 (Vogan [1981] §5.3). Suppose  $\mu \in \hat{T}^{c}$  is  $\Lambda^{+}(k)$ -dominant and  $q_{V}(\mu) = \ell + u$ . Then (a)  $\ell_{0}$  is quasisplit (b)  $q_{V}^{L}(\mu-2\rho(u\cap p)) = \ell$ (c) If  $\pi \in \hat{K}$  has highest weight  $\mu$ , then  $\pi$  is fine  $\Leftrightarrow q_{V}^{G}(\mu) = g$ .

We will now define a preordering on  $\hat{K}$ .

Definition 2.4.10. a) If  $\pi \in \hat{K}$  has highest weight  $\mu \in \hat{T}^{c}$ , put  $\lambda = \lambda_{V}^{G}(\mu)$ as in proposition 2.4.6. Define

$$\|\pi\|_{\text{lambda}} = \|\mu\|_{\text{lambda}} = \langle \lambda, \lambda \rangle.$$

b) If X is a nonzero (g, K)-module define  $X(\pi)$  to be the  $\pi$ -isotypic component of X. Then the set

 $\{\pi \in \hat{K} \mid X(\pi) \neq 0 \text{ and } \|\pi\|_{\text{lambda}} \text{ is minimal}\}$ 

is nonempty. We define it to be the set of lowest Ktypes. We will refer to such a  $\pi$  as an LKT. c) Define  $\lambda_V(X) = \lambda_V(\mu)$  for  $\mu$  a highest weight of a LKT of X and let  $q_V^G(X)$  be the parabolic associated to

μ.

When there is no confusion we will refer to these parameters as  $q_V$  and  $\lambda_V$ .

Let  $\ell_V$  be the Levi factor of  $q_V$ , and  $L_V$  the normalizer of  $q_V$  in G.

 $L_V \supset T^c$ , so let  $\pi_0$  be the irreducible representation of  $L_V \cap K$  generated by the  $\mu$ -weight space, inside  $\pi \mid L_V \cap K$ .

Let

$$\pi^{L} V = \pi_{0} \otimes [\Lambda^{R}(u \cap p)]^{*} \in (L_{V} \cap K)^{\hat{}}$$

(2.4.11)

$$\mathbf{R} = \dim u \cap p.$$

Notice that  $\mu - 2\rho(u \cap p)$  is a highest weight of  $\pi^{U}$ . By proposition 2.4.9 b) and c)

$$\pi^{L_{V}}$$
 is fine for  $L_{V}$ .

Fix  $H_V = TA$  a maximally split Cartan subgroup of  $L_V$ and choose  $\delta^L V \in \hat{T}$ , fine occurring in  $\pi^L V|_T$ . This triple  $(q_V, H_V, \delta^L)$  is, by definition a set of discrete  $\theta$ -stable data attached to X.

We have attached all these parameters to a representation X with LKT  $\pi$ .

Now with these parameters we will exhibit a (g,K) module that contains X as a subquotient. We need more definitions.

Definition 2.4.12. A set of  $\theta$ -stable data for G is a quadruple  $(q, H, \delta, v)$  with the conditions: a)  $q = \ell + u$  is a  $\theta$ -stable parabolic subalgebra of q. b) L is quasisplit, and  $H = TA \subseteq L$  is a  $\theta$ -stable maximally split Cartan subgroup of L. c)  $\delta \in \hat{T}$  is fine for L and  $v \in \hat{A}$ . d) If  $\lambda^{L} \in \ell^{*}$  is the differential of  $\delta$  and

$$\lambda^{\mathsf{G}} = \lambda^{\mathsf{L}} + \rho(\Delta(u, t^{\mathsf{c}})) \in t^{\mathsf{*}} \subseteq h^{\mathsf{*}}$$

then i)  $\langle \lambda^{G}, \alpha \rangle > 0$  for all  $\alpha \in \Delta(u, h)$ 

ii) 
$$\langle \lambda^{G}, \beta \rangle = 0$$
 for all  $\beta \in \Delta(\ell, h)$ .

Notice that the discrete  $\theta$ -stable data attached to some  $X \in \mathcal{M}(g, K)$  together with any character  $v \in \hat{A}$  are a set  $(q_V, H_V, \delta^L V, v)$  of  $\theta$ -stable data for G.

In order to give a generalization of Proposition 2.4.5 for non-quasisplit groups we need to define the objects in which we are going to realize the Harish-Chandra modules of G.

Definition 2.4.13 Zuckerman Functors.

Let  $q = l + u \subseteq g$  be a  $\theta$ -stable parabolic subalgebra as defined in 2.3 and  $L \subseteq G$  the reductive Lie subgroup corresponding to  $l_0$ .

Since G is connected, then so are K, T, L and  $L \cap K$ .

Let Z be any  $(q, L \cap K)$  module. Define

$$\operatorname{pro}_{q}^{g}(Z) = \operatorname{pro}_{q,L\cap K}^{g,L\cap K}(Z) = \operatorname{Hom}_{q}(U(g),Z)_{L\cap K-\text{finite}}$$

This is a  $(g, L \cap K)$  module.

Now, if W is any  $(q, L \cap K)$  module define

 $\Gamma \mathbb{W} = \{ \mathbf{v} \in \mathbb{W} \mid \dim \mathbb{U}(k) \cdot \mathbf{v} < \infty \}.$ 

 $\Gamma W$  is a (g, K) module and  $\Gamma : \mathcal{M}(g, L \cap K) \longrightarrow \mathcal{M}(g, K)$ is a left exact functor.

The Zuckerman functors  $\{(\Gamma_{g,L\cap K}^{g,K})^i\}_{i\geq 0}$  are the right derived functors of  $\Gamma$  (written  $\Gamma^i$ ). That is, if W is a  $(g,L\cap K)$  module then W admits an injective resolution

$$0 \longrightarrow W \longrightarrow I_0 \longrightarrow I_1 \longrightarrow \cdots$$

then we have a cochain complex

$$0 \longrightarrow \Gamma W \xrightarrow{\phi_{-1}} \Gamma I_0 \xrightarrow{\phi_0} \Gamma I_1 \xrightarrow{\phi_1} \cdots$$

Define  $\Gamma^{i}W = \frac{\ker \phi_{i}}{\operatorname{Im} \phi_{i-1}}$ . So  $\Gamma^{0}W = \Gamma W$ .

Definition 2.4.14. Let q = l + u and  $L \subseteq G$  as in 2.3. Then L is a reductive linear (connected) Lie group and  $L \cap K$  is a maximal compact subgroup of G.

We will define the i-th cohomological parabolic induction functor  $(\mathfrak{K}^g_q)^i : \mathscr{M}(\iota, L \cap K) \longrightarrow \mathscr{M}(g, K)$ , as follows.

Let  $V \in \mathcal{M}(l, L \cap K)$ . We make  $V \in \mathcal{M}(q, L \cap K)$  by letting *u* act trivially.

Let

$$W = \operatorname{pro}_{q, L \cap K}^{g, L \cap K} (\operatorname{Vo}_{\mathbb{C}} \Lambda^{\dim u} u)$$

then

$$(\mathfrak{R}_{q}^{g})^{i}(V) = \mathfrak{R}_{q}^{i}(V) = \mathfrak{R}^{i}(V)$$
$$= \Gamma^{i}(W).$$

We are now in a position to state the generalization of Proposition 2.4.5. However, it is convenient at this moment to mention some properties of these derived functor modules that we will need later.

Suppose  $q_i = l_i + u_i$  are two parabolic subalgebras such that

$$\iota_1 \subseteq \iota_2, \quad \iota_2 \subseteq \iota_1, \quad L_1 \cap K \subseteq L_2 \cap K;$$

set 
$$u = l_2 \cap u_1$$

then  $q = l_1 + u \subseteq l_2$  is a parabolic subalgebra of  $l_2$ .

Proposition 2.4.15 (Zuckerman [1977]; Vogan [1981] 6.3.10). With notation as above if W is an  $(l_1, L_1 \cap K)$  module such that for  $q \neq q_0$ 

$$\left[\mathfrak{R}_{q}^{l}\right]^{\mathbf{q}} \mathbb{W} = 0$$

then

$$\left[\mathfrak{A}_{q_{2}}^{g}\right]^{p}\left[\left[\mathfrak{A}_{q}^{\ell_{2}}\right]^{q_{0}}(\mathbb{W})\right] \cong \left[\mathfrak{A}_{q_{1}}^{g}\right]^{p+q_{0}}(\mathbb{W}).$$

Proposition 2.4.16 (Zuckerman [1977]; Vogan [1981] 6.3.11). Let q = l + u be a  $\theta$ -stable parabolic subalgebra;  $h \subseteq l$ , a Cartan subalgebra. Let Y be an  $(l, L \cap K)$  module with infinitesimal character  $\lambda \in h^*$ . Then

$$\mathfrak{K}_{q}^{i}(\mathbb{W})$$
 has infinitesimal character  $\lambda + \rho(u)$ 

Definition 2.4.17. Standard Representations.

Let  $(q, H, \delta, v)$  be a set of  $\theta$ -stable data for G (definition 2.4.12). Let  $H = TA \subset L$  and choose  $N \subseteq L$ such that P = TAN is a minimal parabolic subgroup of L and for all  $\alpha$  in the corresponding positive system  $\Delta(n, a)$ 

$$\langle \operatorname{Re} v, \alpha \rangle \leq 0.$$

Let  $I_L(\delta \otimes \nu)$  be the principal series representation Ind $_p^L(\delta \otimes \nu \otimes 1)$ . We define the standard (g,K) module with  $\theta$ -stable data (g,H,\delta,\nu) by

$$X_{G}(q,\delta\otimes v) = \Re_{a}^{s}(I_{L}(\delta\otimes v))$$

as in 2.4.14 where  $s = \dim u \cap k$ .

We will now state some properties of these standard modules. Fix  $t_0^{\mathbf{C}} \subseteq t_0 \cap k_0$  a Cartan subalgebra containing  $t_0 = h_0 \cap k_0$  and a positive root system  $\Delta^+(\ell \cap k, t^{\mathbf{C}})$ . Set  $\Delta^+(k) = \Delta^+(\ell \cap k, t^{\mathbf{C}}) \cup \Delta(u \cap k)$ . Proposition 2.4.18 (Vogan [1981] 6.5.9). Let  $(q, H, \delta, v)$ be a set of  $\theta$ -stable data for G, and  $\lambda^{G} = d\delta + \rho(u)$ then

a)  $\Re_q^{\mathbf{S}}(\mathbf{I}_L(\delta \otimes v))$  has infinitesimal character  $(\lambda^{\mathbf{G}}, v)$ . b) If  $\pi$  is a K-type occurring in  $\Re_q^{\mathbf{S}}(\mathbf{I}_L(\delta \otimes v))$  with highest weight  $\eta$  then there exists an  $L \cap K$ -type  $\pi^L$  of highest weight  $\eta^L$  such that

$$\delta \subseteq \pi^{L} \Big|_{T} \text{ and } \eta = \eta^{L} + 2\rho(u \cap p) + \sum_{\substack{\alpha \in \Lambda(u \cap p) \\ n_{\alpha} \in \mathbb{N}}} n_{\alpha} \alpha.$$

c) Moreover if  $\pi$  is a LKT, then the last summation term is zero and  $\eta^{L}$  is fine for L.

Proposition 2.4.19 (Vogan [1981] 6.5.9 (g) and the proof of 6.5.12 (b)). Suppose X is an irreducible (g,K) module and  $(q_V, H, \delta_V^L)$  a set of discrete  $\theta$ -stable data attached to X. Then there is a character  $v_V \in \hat{A}$  such that if  $\Re_{q_V}^{s}(I_V^L(\delta_V^L \otimes v))$  is the standard (g,K) module with parameters  $(q_V, H, \delta_V^L, v_V)$ , then  $\operatorname{Hom}_{g,K}(X, \Re_{q_V}^{s}(I_V^L(\delta_V^L \otimes v_V)))$  is one dimensional.

Lemma 2.4.20. Let  $q = l + u \subseteq g$  be a  $\theta$ -stable parabolic subalgebra and Y an  $(l, L \cap K)$  module. Write

s = dim  $u \cap k$ ,  $\lambda_V^L = \lambda_V(Y)$  and  $X = \mathfrak{R}_q^S(Y)$ . Assume  $\langle \lambda_V^L + \rho(u), \alpha \rangle > 0$ ;  $\alpha \in \Delta(u)$ . Choose  $\Delta^+(k) = \Delta^+(\ell \cap k) \cup \Delta(u \cap k)$ .

Suppose  $\mu^{L}$  is the highest weight of a LKT (for  $L \cap K$ ) of Y with respect to the positive system  $\Lambda^{+}(\iota \cap k, t^{C})$  and that we choose  $\Lambda^{+}(\iota)$  so that  $\mu^{L} + 2\rho_{\iota \cap k}$  is dominant. Then

a)  $\mu = \mu^{L} + 2\rho(u \cap p)$  is dominant for  $\Delta^{+}(k)$ .

b)  $\mu + 2\rho_c$  is dominant for  $\Delta^+(g)$ , where  $\Delta^+(g)$  is compatible with  $\Delta^+(k)$  and

$$\Delta^+(g) = \Delta^+(\ell) \cup \Delta(u).$$

Proof.  $\mu + 2\rho_c = \mu^L + 2\rho(u \cap p) + 2\rho(u \cap k) + 2\rho_{l \cap k}$ 

$$= \mu^{L} + 2\rho_{i\cap k} + 2\rho(u).$$

Suppose  $\alpha \in \Delta^+(g)$  is simple, then i) If  $\alpha \in \Delta^+(\ell)$ ,

$$\langle \mu + 2\rho_{c}, \alpha \rangle = \langle \mu^{L} + 2\rho_{l \cap k}, \alpha \rangle + \langle 2\rho(u), \alpha \rangle$$

$$= \langle \mu^{L} + 2\rho_{l \cap h}, \alpha \rangle + 0$$

ii) If  $\alpha \in \Lambda(u)$  then, for any simple root  $\gamma$ ,  $\langle \alpha, \gamma \rangle \leq 0$ ; hence  $\langle \alpha, \beta \rangle \leq 0$  for  $\beta \in \Lambda^+(\ell)$ . Choose  $\{\beta_i\} \subseteq \Delta(l \cap p)$  as in Proposition 2.4.7, such that

$$\lambda_{\mathbf{V}}^{\mathbf{L}} = \mu^{\mathbf{L}} + 2\rho_{\boldsymbol{\ell} \cap \boldsymbol{k}} - \rho_{\boldsymbol{\ell}} + \frac{1}{2} \sum_{0 \leq \mathbf{c}_{i} \leq 1} \mathbf{c}_{i}\beta_{i}$$

then

$$(2.4.21) \qquad \mu + 2\rho_{c} = \lambda_{V}^{L} + \rho_{\ell} - \frac{1}{2} \sum c_{i}\beta_{i} + 2\rho(u)$$

then

$$\langle \overset{\mathsf{V}}{\alpha}, \mu + 2\rho_{\mathsf{c}} \rangle = \langle \overset{\mathsf{V}}{\alpha}, \lambda^{\mathsf{L}}_{\mathsf{V}} + \rho(\mathfrak{u}) \rangle + \langle \overset{\mathsf{V}}{\alpha}, \rho - \sum c_{\mathsf{i}}\beta_{\mathsf{i}} \rangle$$
$$> 0 + 1.$$

This proves b) of the lemma.

For (a), it is enough to prove that if  $\gamma \in \Delta^+(k)$  is simple, then

$$\langle \gamma, \mu + 2\rho_{\rm c} \rangle \geq 2.$$

i) If  $\gamma \in \Delta^+(\ell \cap k)$ 

$$\langle \stackrel{\mathsf{V}}{\tau}, \mu + 2\rho_{\mathsf{c}} \rangle = \langle \stackrel{\mathsf{V}}{\tau}, \mu^{\mathsf{L}} + 2\rho(\ell \cap k) \rangle + \langle \stackrel{\mathsf{V}}{\tau}, 2\rho(u) \rangle$$
$$= \langle \stackrel{\mathsf{V}}{\tau}, \mu^{\mathsf{L}} + 2\rho(\ell \cap k) \rangle + 0$$

<u>></u> 2,

since  $\mu^{L}$  is dominant for  $\Delta^{+}(\iota \cap k)$ .

ii) If  $\gamma \in \Delta(u \cap k)$  then, as for b):  $\langle \gamma, \mu + 2\rho_c \rangle >$ 1, and it is an integer since  $\mu + 2\rho_c$  exponentiates. q.e.d.

Lemma 2.4.22. In the setting of Lemma 2.4.20, if  $\mu$  is dominant for  $\Delta^+(g)$ , then  $\lambda_V^G(\mu) = \lambda_V^L + \rho(u)$ .

Proof. By 2.4.21,  $\mu + 2\rho_c - \rho = \lambda_V^L + \rho(u) - \frac{1}{2} \sum c_i \beta_i$ . We claim that  $\lambda_V^L + \rho(u)$  satisfies the conditions for  $\lambda_V(\mu)$  in Proposition 2.4.7:

Condition (a) holds by the definition of  $\lambda_V^L + \rho(u)$ . Since  $\Lambda(\overline{u}) = -\Lambda(u)$  and  $\langle \alpha, \lambda_V^L + \rho(u) \rangle > 0$  for  $\alpha \in \Lambda(u)$ , 2.4.7 (b) holds because  $\langle \alpha, \lambda_V^L + \rho(u) \rangle = 0$  implies  $\alpha \in \Lambda(\ell)$ .

But then,  $\langle \alpha, \rho(u) \rangle = 0$ ; hence  $\langle \alpha, \beta_i \rangle \neq 0$  for some  $\beta_i$ .

Since simple roots for  $\Delta(l,h^c)$  are simple for  $\Delta(g,h^c)$ , 2.4.7 (c) (d) (e) hold.

q.e.d.

In the setting of Lemma 2.4.20, let  $(\pi, Z)$  be a K-type occurring in X with highest weight  $\eta$ .

We want to estimate the lambda-norm of  $\eta$ . Let  $q_V^L = q_V^L(Y) = u_1 + \ell_1 \subseteq \ell$ .

$$a_{V} = \ell_{1} + u_{1} + u \subseteq q$$

and  $(q_V^L, H_V, \delta_V^L, v_V^L)$  a set of  $\theta$ -stable data for L attached to Y (Definition 2.4.12).

Let  $\lambda^{G} = d\delta_{V}^{L} + \rho(u_{V})$  as in Definition 2.4.12.

Lemma 2.4.23. With notation as in Lemma 2.4.20

a)  $(q_V, H_V, \delta_V^L, \nu_V^L)$  is a set of  $\theta$ -stable data for G. b) If  $V_{\eta}$  is a K-type in X, then  $\langle \lambda_V(\eta), \lambda_V(\eta) \rangle \geq \langle \lambda^G, \lambda^G \rangle$ .

c) If equality holds in b) then  $\eta = \eta^{L} + 2\rho(u \cap p)$ for  $\eta^{L}$  a highest weight of a L(L∩K)T of Y and  $V_{\eta}$  is a LKT of X.

d) Conversely if  $\eta = \eta^L + 2\rho(u \cap p)$ , then  $V_{\eta}$  is a LKT of X and equality holds.

Proof. For (a) we only need to see that  $\langle \lambda^{G}, \alpha \rangle > 0$  for all roots in  $\Delta(u)$ .

By hypothesis on  $(q_V^L, H_V, \delta_V^L, v_V^L)$ ,

$$\lambda_{V}^{L} = d\delta_{V}^{L} + \rho(u_{1}),$$

$$\langle \lambda_{\mathbf{V}}^{\mathbf{L}}, \overset{\mathbf{V}}{\alpha} \rangle > 0 \quad \text{for} \quad \alpha \in \Delta(u_1),$$

H = TA is maximally split Cartan of  $L_1$  and  $\delta_V^L$  is fine. Now

$$\lambda^{\mathrm{G}} = \mathrm{d} \delta^{\mathrm{L}}_{\mathrm{V}} + \rho(u_{\mathrm{V}}) = \mathrm{d} \delta^{\mathrm{L}}_{\mathrm{V}} + \rho(u_{1}) + \rho(u) = \lambda^{\mathrm{L}}_{\mathrm{V}} + \rho(u).$$

If  $\alpha \in \Delta(u_1) \subseteq \Delta(\iota)$  then

$$\langle \lambda_{\mathbf{V}}^{\mathbf{L}} + \rho(\mathbf{u}), \boldsymbol{\alpha} \rangle = \langle \lambda_{\mathbf{V}}^{\mathbf{L}}, \boldsymbol{\alpha} \rangle \rangle 0.$$

If  $\alpha \in \Lambda(u)$ , by hypothesis in Lemma 2.4.20,

$$\langle \lambda^{G}, \overset{V}{a} \rangle > 0.$$

The proof for b) and c) is exactly the proof of Lemma 6.5.6 in Vogan [1981].

q.e.d.

2.5. The Modules  $A_q(\lambda)$ .

Let G be a connected real reductive linear Lie group,  $q = l + u \subseteq g$  a  $\theta$ -stable parabolic subalgebra and L the normalizer of q in G. Then  $l_0 = \text{Lie}(L)$ .

Let  $\lambda : \ell \longrightarrow \mathbb{C}$  be a one-dimensional representation of  $\ell$ . Assume that

(2.5.1) 
$$\begin{cases} a) \ \lambda \text{ is the differential of a unitary} \\ character of L (call it  $\lambda$  also). \\ b) \ \langle \lambda |_{t^{c}}, \alpha \rangle \ge 0 \text{ for all } \alpha \in \Lambda(u, t^{c}). \end{cases}$$

We say that  $\lambda$  is an admissible representation of  $\ell$ .

Definition 2.5.2. With notation as above, we define the Harish-Chandra module  $A_a(\lambda)$  by

$$A_{q}(\lambda) = \mathfrak{R}_{q}^{s}(\mathbb{C}_{\lambda})$$
 (Definition 2.4.14)

with  $s = \dim u \cap k$ .

Fix positive root systems

~

$$\Delta^+(l\cap k)$$
 and

 $\Lambda^+(\ell) = \Lambda^+(\ell, \ell), \text{ compatible with } \Lambda^+(\ell \cap k).$ 

Then 
$$\Delta^+(k) = \Delta^+(l \cap k) \cup \Delta(u \cap k)$$

and 
$$\Delta^+(q) = \Delta^+(q) = \Delta^+(l) \cup \Delta(u)$$

are positive *t*-root systems for *k* and *g*, respectively. Choose a fundamental Cartan subalgebra  $h^{c} = t^{c} + a^{c}$  and a positive root system  $\Lambda^+(g, h^c)$  so that

$$\Delta^+(g,h^{\rm C})\Big|_{t^{\rm C}} = \Delta^+(g).$$

Then

$$\rho = \frac{1}{2} \sum_{\alpha \in \Delta^{+}(g, h^{c})} \alpha = \frac{1}{2} \sum_{\beta \in \Delta^{+}(g)} \beta.$$

Proposition 2.5.3 (Vogan-Zuckerman [1984]. See also Speh-Vogan [1980] and Vogan [1981]). Regard  $\lambda \Big|_{t^{c}}$  as a weight in  $(t^{c})^{*}$ . Let

$$\mu = \lambda \Big|_{t^{c}} + 2\rho(u \cap p) \in (t^{c})^{*}.$$

a) The (g,K) module  $A_q(\lambda)$  is the unique irreducible module satisfying:

i) As a K-representation,  $A_q(\lambda)$  contains the K-type with highest weight  $\mu$ .

ii) Z(g) acts on  $A_q(\lambda)$  by the character  $\chi_{\lambda+\rho}$ :  $Z(g) \longrightarrow \mathbb{C}$ ; where  $\chi_{\lambda+\rho}(z) = (\lambda+\rho)(\xi(z))$  and  $\xi$ is the Harish-Chandra homomorphism.

iii) Any K-type occurring in  $A_q(\lambda)$  has a highest weight of the form

$$\eta = \lambda \Big|_{\ell^{\mathbf{C}}} + 2\rho(u \cap p) + \sum_{\substack{\beta \in \Delta(u \cap p) \\ n_{\beta} \in \mathbb{N}}} n_{\beta}\beta.$$

b) Moreover  $\mu$  is the unique LKT of  $A_q(\lambda)$ .

Proof. The infinitesimal character of the representation  $\lambda : L \longrightarrow \mathbb{C}$  is  $\lambda + \rho_{\ell}$ . Then by Proposition 2.4.16  $A_q(\lambda)$ has infinitesimal character  $\lambda + \rho_{\ell} + \rho(u) = \lambda + \rho$ . So ii) holds.

If  $\mu^{L} = \lambda \Big|_{t^{c}}$  then  $\mu^{L}$  is the highest weight of the (lowest)  $L \cap K$ -type of  $\mathbb{C}_{\lambda}$ .

Choose  $\Lambda^+(l)$  making  $\mu^L + 2\rho_{l\cap k}$  dominant. Then

$$\lambda_{\mathbf{V}}^{\mathbf{L}} = \lambda_{\mathbf{V}}^{\mathbf{L}}(\mu^{\mathbf{L}}) = \lambda \Big|_{\ell} \mathbf{c} + 2\rho_{\ell} \mathbf{k} - \rho_{\ell} + \sum_{i} \mathbf{c}_{i}\beta_{i}$$

 $= \lambda \Big|_{t^{c}} + Q$ 

with Q a sum of roots in l.

But  $\mu^{L}|_{\Delta(\ell)} \equiv 0$  so  $\Delta^{+}(\ell)$  can be chosen so that Q is dominant.

Then if  $\alpha \in \Delta(u)$  is simple,  $\langle \lambda_V^L + \rho(u), \alpha \rangle > 0$ . In fact

$$\langle \lambda_{V}^{L} + \rho(u), \alpha \rangle = \langle \lambda | t^{c} + \rho(u), \alpha \rangle \geq \langle \rho(u), \alpha \rangle$$

> 0.

By Lemmas 2.4.20, 2.4.22, and 2.4.23, i) and b) hold.

The irreducibility and uniqueness of  $A_q(\lambda)$  take more work, and since we won't be using these facts we refer to Speh-Vogan [1980]. See also Vogan [1981].

By 2.5.4 and Theorem 1.3 in Vogan [1984], we have the following.

Proposition 2.5.5. In the above setting, the modules  $A_{\sigma}(\lambda)$  are unitarizable.

Proposition 2.5.6. Fix  $\Lambda^+(k)$ . Let  $q_i = \ell_i + u_i \subseteq g$ ; i = 1,2. be  $\theta$ -stable parabolic subalgebras such that  $\Lambda(q_i) \supseteq \Lambda^+(k)$  and  $\lambda_i \in \ell^*$  admissible one-dimensional representations of  $\ell_i$  (Definition 2.5.1). Then,

$$\mathbf{A}_{q_1}(\lambda_1) \cong \mathbf{A}_{q_2}(\lambda_2)$$

$$\Leftrightarrow \qquad \lambda_1 = \lambda_2 \quad \text{and} \quad u_1 \cap p = u_2 \cap p.$$

Proof. We need a few lemmas:

Lemma 2.5.7. Suppose  $\tilde{q} = \tilde{l} + \tilde{u}$ ,  $q = l + u \subseteq g$ , are  $\theta$ -stable parabolic subalgebras, and  $\lambda : l \longrightarrow \mathbb{C}$  admissible representations such that

1) 
$$\tilde{q} \supseteq q$$
, that is,  $\tilde{l} \supseteq l$  and  $u \supseteq \tilde{u}$ .  
(2.5.8) 2)  $\lambda \perp \Delta(\tilde{l})$ .  
3)  $u \cap p = \tilde{u} \cap p$ .

Then 
$$A_a^{\sim}(\lambda) \cong A_a(\lambda)$$
.

Proof. By induction by stages (Proposition 2.4.15),

$$\mathfrak{K}^{\mathbf{s}}_{q}(\mathbb{C}_{\lambda}) \cong \mathfrak{K}^{\widetilde{\mathbf{s}}}_{\widetilde{q}}(\mathfrak{K}^{\dim}_{q\cap\widetilde{\iota}} \widetilde{\widetilde{\iota}}\cap(\mathfrak{u}\cap k)(\mathbb{C}_{\lambda}))$$

but  $q \cap \tilde{l} = l + u \cap \tilde{l}$  and, by 3),  $u \cap \tilde{l} \subseteq k$  so

$$\substack{ \dim \ u \cap l \\ \mathfrak{R} \\ \mathfrak{Q} \cap l \\ \mathfrak{C} } (\mathbb{C}_{\lambda}) \cong \mathbb{C}_{\lambda}.$$

Hence  $\mathfrak{R}^{\mathbf{S}}_{q}(\mathbb{C}_{\lambda}) \cong \mathfrak{R}^{\overset{\sim}{\mathbf{S}}}_{q}(\mathbb{C}_{\lambda}) = A^{\sim}_{q}(\lambda)$  this proves the lemma.

By this lemma, we may assume that both  $q_i$ 's in the proposition are maximal with respect to conditions 1) - 3).

Lemma 2.5.9. In the above setting

$$\Delta(\ell_i \cap k) = \{ \alpha \in \Delta(g) \mid \langle \alpha, \lambda_i + 2\rho(u_i \cap p) \rangle = 0 \}.$$

Proof. Suppose  $\alpha \in \Delta^+(k, t^c)$  is a simple root so that a)  $\alpha \notin \Delta(\ell_i \cap k)$ . b)  $\langle \alpha, \mu_i \rangle = 0$ ,  $\mu_i = \lambda_i + 2\rho(u_i \cap p)$ .

Let 
$$\Delta(\overline{l}) = \operatorname{Span}(\Delta(l_i), \alpha) \cap \Delta(g)$$

$$\Delta(\bar{u}) = \Delta(u_{i}) \setminus \Delta(\bar{t})$$

$$\bar{q} = \bar{l} + \bar{u}.$$

We want to contradict the maximality of  $q_i$ . Breaking up  $\Delta(u_i \cap p)$  in maximal  $\alpha$  strings

$$\{\gamma_0; \gamma_0 + \alpha; \ldots \gamma_0 + r\alpha\},\$$

(i.e. 
$$r_0 - \alpha$$
,  $r_0 + (r+1)\alpha \notin \Delta(u_i \cap p)$ )

and using representation theory of  $\mathfrak{sl}(2)$  we can conclude that

and we have equality if and only if  $u_i \cap p$  is invariant under the three dimensional subalgebra  $g^{\alpha}$  that contains the  $\alpha$ -root vector  $X_{\alpha}$ .

But, by definition of  $\lambda_i$ ,  $\langle \alpha, \lambda_i \rangle \geq 0$ .

So, (a) and (b) imply that  $u_i \cap p$  is invariant under  $g^{lpha}$  and

$$\langle \alpha, \lambda_i \rangle = 0 = \langle \alpha, 2p(u_i \cap p) \rangle.$$

Now we want to prove that

$$(2.5.10) \qquad \qquad \bar{u} \cap p = u_i \cap p.$$

If 
$$\beta \in \Lambda^{+}(g, h^{c})$$
 and  $\beta |_{t^{c}} = \alpha$  then  
$$s_{\alpha} \left[\beta |_{t^{c}}\right] = -\beta |_{t^{c}}.$$

If  $\beta$  is complex, then the non-compact root of  $-\beta \Big|_{t^{c}}$ is not in  $\Delta(u_i \cap \beta)$  so it contradicts invariance under  $g^{\alpha}$ .

Hence  $\alpha$  is an imaginary root of  $\Lambda^+(g, h^{\mathbf{C}})$ .  $\alpha$  is also simple for  $\Lambda^+(g, h^{\mathbf{C}})$ . In fact, since  $\alpha$  is simple for  $\Lambda(k, t^{\mathbf{C}})$ , and  $\alpha \notin \Lambda(\iota \cap k)$  we can assume that if  $\gamma$ ,  $\delta \in \Lambda^+(g, h^{\mathbf{C}})$  and  $\alpha = \gamma + \delta$  then

say, and  $\gamma - \alpha = -\beta \notin \Delta(u_i \cap p)$ ; contradicting invariance again.

Consider a simple factor  $\ell_0 \subseteq \overline{\ell}$ , not contained in  $\ell$ . Then  $\ell_0$  is not orthogonal to  $\alpha$ . Let  $\{\beta_1, \beta_2, \ldots, \beta_\ell\}$ be a set of simple roots for  $\ell_0$  containing  $\alpha$ .

Say  $\alpha = \beta_1$  and  $\beta_{i_0+1}$  is adjacent to  $\alpha$ .

Suppose  $\ell_0 \cap p \neq 0$ . Then there is a non-compact root  $\beta = \sum n_i \beta_i$  with some  $n_{i_0+1} > 0$  and such that

$$\langle \alpha, \beta \rangle = \sum n_i \langle \alpha, \beta_i \rangle \langle 0.$$

 $\alpha + \beta = \delta$  is a non-compact root, and  $\delta \in \Delta(u_i \cap p)$ . So the string through  $\delta$  is not complete.

Hence  $\ell_0$  is compact and q ( $\subseteq \bar{q}$ ) is not maximal satisfying (2.5.8).

This proves Lemma 2.5.9.

## q.e.d.

We are now able to prove Proposition 2.5.6. By Lemma 2.5.9,

$$l_1 \cap k = l_2 \cap k$$

$$u_1 \cap k = u_2 \cap k$$

hence  $\lambda_1 + 2\rho(u_1) = \lambda_2 + 2\rho(u_2)$ . But  $\langle \lambda_i \beta \rangle = \langle 2\rho(u_i), \beta \rangle = 0$  for all  $\beta \in \Delta(\ell_i)$  and  $\langle 2\rho(u_i), \alpha \rangle > 0$ ,  $\langle \lambda_i, \alpha \rangle \ge 0$ ,  $\alpha \in \Delta(u_i)$ . So

$$\Delta(\ell_{i}) = \{\beta \in \Delta(g, t^{c}) \mid \langle \lambda_{i} + 2\rho(u_{i}), \beta \rangle = 0\}$$

$$\Delta(u_i) = \{ \alpha \in \Delta(g, t^c) \mid \langle \lambda_i + 2\rho(u_i), \alpha \rangle > 0 \}.$$

Hence 
$$u_1 \cap p = u_2 \cap p$$

and 
$$\lambda_1 = \lambda_2$$

This proves Proposition 2.5.6.

q.e.d.

2.6. Reduction step for the proof of Theorem 1.3.

We are now in a position to prove the main result stated in Chapter 1. We will argue by contradiction and reduction to a proper subgroup  $L \subseteq G$ .

Suppose  $X \in \mathfrak{A}(\mathfrak{g}, K)$  is irreducible and has a Hermitian form  $\langle , \rangle$ . We will assume X cannot be realized as an  $A_{\mathfrak{g}}(\lambda)$  module, but will exhibit X as a Langlands submodule of some derived functor module induced from an  $(\ell, L \cap K)$  module  $X_L$ , making sure that this information can be carried over to G and X.

We need to keep track of the existence of Hermitian forms at different steps of induction as well as of their signatures on some finite sets of K-types. Recall from Vogan [1984] (Definition 2.10) the Hermitian dual of a (q, K) module Y

$$Y^{h} = \{f : Y \longrightarrow \mathbb{C} \mid \dim U(k) \cdot f < \infty;$$

$$f(\lambda x) = \overline{\lambda}f(x), \quad \lambda \in \mathbb{C} \quad x \in Y$$
.

 $Y^h$  is a (g,K) module.

Definition 2.6.1. An invariant, symmetric Hermitian form on a (g,K) module Y is a pairing

 $\langle , \rangle : Y \times Y \longrightarrow \mathbb{C}$ 

such that

a)  $\langle x, ay+bz \rangle = \bar{a} \langle x, y \rangle + \bar{b} \langle x, z \rangle$ 

$$\langle ax+bw, y \rangle = a \langle x, y \rangle + b \langle w, y \rangle$$

for a, b  $\in \mathbb{C}$ , x, y, z, w  $\in Y$ . b)  $\langle (U+iV)x, y \rangle = -\langle x, (U-iV)y \rangle$ 

$$U, V \in g_0 \quad y, x \in Y.$$

c) 
$$\langle k \cdot x, y \rangle = \langle x, k^{-1} \cdot y \rangle$$
  $k \in K$ .  
d)  $\langle x, y \rangle = \overline{\langle y, x \rangle}$ .

.

The radical of  $\langle , \rangle$  is

$$Rad(\langle , \rangle) = \{x \in Y \mid \langle x, Y \rangle = 0\}$$

It is clear that invariant symmetric Hermitian forms on Y are given by (gK) maps  $f : Y \longrightarrow Y^h$  such that  $f = f^h : Y^h \longrightarrow Y$ . Moreover we have

Proposition 2.6.2. Suppose  $X \in \mathscr{A}(g,K)$  is irreducible. Then X admits a non-zero invariant Hermitian form if and only if

 $\mathbf{x} \cong \mathbf{x}^{\mathbf{h}}$ .

In this case the Hermitian form is non-degenerate and any two such forms differ by multiplication by a real constant.

Proposition 2.6.3. Let  $X \in \mathcal{A}(g, K)$  be irreducible and  $(q_V, H_V, \delta_V, \nu_V)$  a set of  $\theta$ -stable data attached to X, so that

$$\dim \left[ \operatorname{Hom}_{g,K}(X, \mathcal{R}_{q_{V}}^{s}(I^{V}(\delta_{V} \oplus v_{V}))) \right] = 1$$

(see Proposition 2.4.19). Let  $H_V = TA$ . Then  $X \cong X^h$  if and only if there is an element  $\omega \in W(L,A)$  such that

$$\omega\delta = \delta$$
 and  $\omega v = -\overline{v}$ .

In this case we get a Hermitian form on X from a form on  $\mathscr{R}_{q_{V}}^{s}(\overset{L}{I}^{V}(\delta_{V}\oplus v_{V})).$ 

This result is essentially due to Knapp and Zuckerman [1976].

A formulation close to this one is in Vogan [1984], Corollary 2.15.

Corollary 2.6.4. Let  $X \in \mathscr{A}(q, K)$ , irreducible, endowed with a non-zero Hermitian form  $\langle , \rangle$ . Write  $q_V = q_V(X)$ . Let  $q = \ell + u$  be a  $\theta$ -stable parabolic subalgebra such that  $\ell \supset \ell_V$ ,  $u \subset u_V$  and  $(q_V, H_V, \delta_V, v_V)$ , a  $\theta$ -stable data attached to X. Write

$$X_{L} = \mathcal{R}_{l \cap q_{V}}^{l}(I_{L_{V}}(\delta_{V} \oplus v_{V})).$$

Then

$$X_L^h$$
 has a Hermitian form  $\langle , \rangle^L$ .

Proof. This is a formal consequence of Proposition 2.6.3. q.e.d.

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Proposition 2.6.5. Fix  $q = l + u \subseteq q$ , a  $\theta$ -stable parabolic subalgebra. Suppose  $Y \in \mathcal{M}(l, L \cap K)$  is equipped with a (possibly degenerate) invariant Hermitian form  $\langle , \rangle^{L}$ .

Then there is a natural invariant Hermitian form  $\langle , \rangle^{G}$  on  $[\mathfrak{R}_{a}^{s}(Y^{h})]^{h}$ .

Proof. Recall from Vogan [1981] Chapter 6, Definition 6.1.5 the functors

$$\operatorname{ind}_{q}^{\mathcal{G}} : \mathscr{M}(\ell, L \cap K) \longrightarrow \mathscr{M}(q, L \cap K).$$

$$\operatorname{ind}_{\overline{q}}^{\overline{q}} Y = U(q) \otimes_{\overline{q}} Y.$$

Write

$$\mathscr{L}^{\mathbf{j}} : \mathscr{M}(\iota, L \cap K) \longrightarrow \mathscr{M}(g, K)$$

$$\mathscr{L}_{\overline{q}}^{\mathbf{j}} Y = \mathscr{L}^{\mathbf{j}} Y = \Gamma^{\mathbf{j}} \operatorname{ind}_{\overline{q}}^{\mathscr{G}} (Y \oplus \Lambda^{\operatorname{top}} u)$$

where  $\Gamma^{j} : \mathscr{M}(g, L \cap K) \longrightarrow \mathscr{M}(g, K)$  are the Zuckerman's functors (cf. Definition 2.4.14). Set  $\widetilde{Y} = Y \oplus \Lambda^{top} u$ .

By hypothesis, we have a map

$$\phi^{L} : Y \longrightarrow Y^{h}.$$

This induces a map

$$\phi^{q}$$
 :  $\operatorname{ind}_{\overline{q}}^{\mathcal{G}}(\widetilde{Y}) \longrightarrow \operatorname{pro}_{q}^{\mathcal{G}}(\widetilde{Y}^{h})$ 

and

 $\phi^{\mathbf{G}} : \mathscr{L}_{\overline{q}}^{\mathbf{s}} Y \longrightarrow \mathscr{R}_{q}^{\mathbf{s}} Y^{\mathbf{h}}.$ 

By Theorem 5.3 (Enright-Wallach) in Vogan [1984]

$$\mathscr{R}_{q}^{2s-i}(Y^{h}) \cong (\mathscr{L}_{\overline{q}}^{i}Y)^{h}.$$

Let

$$\langle , \rangle : \mathscr{X}_{\overline{q}}^{s} Y \longrightarrow (\mathscr{X}_{\overline{q}}^{s} Y)^{h}$$

be the natural pairing given by Definition 2.10 in Vogan [1984].

Define

$$\langle u, v \rangle^{G} = \langle u, \phi^{G} v \rangle.$$

This gives an invariant Hermitian form on  $\mathscr{L}^{S}(Y)$ (cfr. the proof of Corollary 5.5, Vogan [1984]).

q.e.d.

Definition 2.6.6. If  $Z \in \mathcal{M}(g, K)$  and  $\delta \in \hat{K}$ , write

$$Z(\delta) = Hom_{K}(V_{\delta}, Z).$$

Then,

$$\begin{array}{ccc} (2.6.7) & Z \cong & \bigoplus & Z(\delta) \otimes V_{\delta}. \\ & & & & & \\ & & & & \delta \in \widehat{K} \end{array}$$

If we fix a positive definite form on  $V_{\delta}$ ,  $Z(\delta)$ inherits a Hermitian form. Suppose Z is equipped with a non-zero Hermitian form  $\langle , \rangle$ . Write  $p(\delta)$  (resp.  $q(\delta)$ ,  $z(\delta)$ ), for the multiplicity of  $V_{\delta}$  in the subspace of  $Z(\delta)$  where  $\langle , \rangle$  is positive (resp. negative or zero).

Write the signature of  $\langle , \rangle$  on  $Z(\delta)$  as  $sgn(\langle , \rangle |_{Z(\delta)}) = (p(\delta),q(\delta),z(\delta)).$ 

Then write, formally

sgn(< , >) = 
$$\sum_{\delta \in \widehat{K}} (p(\delta), q(\delta), z(\delta)).$$

We will prove in the next chapters the following result.

Theorem 2.6.7. Let  $G = SL(n,\mathbb{R})$ , SU(p,q) or  $SP(n,\mathbb{R})$ and  $X \in \mathcal{A}(g,K)$  irreducible, endowed with a non-zero invariant Hermitian form  $\langle , \rangle$  and regular integral infinitesimal character.

If  $X \cong A_{q'}(\lambda')$ , for any q' and  $\lambda'$ . Then there are a  $\theta$ -stable parabolic  $q = \ell + u$ , an  $(\ell, L \cap K)$ -module  $X_L$  and  $(L \cap K)$ -types  $\delta_i^L$  i = 1,2 such that

a) X is the unique irreducible submodule of  $\mathscr{R}_q(X_L)$ , and X occurs only once as a composition factor of  $\mathscr{R}_q(X_L)$ .

b)  $X_L^h$  is endowed with a Hermitian form  $\langle , \rangle^L \neq 0$ . Write  $(p_L, q_L, z_L)$  for its signature. Then

$$p_L(\delta_1^L) \neq 0$$
 and  $q_L(\delta_2^L) \neq 0$ .

c) Choose  $\Delta^+(k) = \Delta^+(\ell \cap k) \cup \Delta(u \cap k)$ . Then, if  $\delta_i^L$  has highest weight  $\mu_i^L$ ,  $\mu_i = \mu_i^L + 2\rho(u \cap p)$  is  $\Delta^+(k)$  dominant.

Chapters 3, 4, 5 will be devoted to the proof of this result. Assume this for the moment.

Using this result, we want to prove non-unitarity of X. We need to check that the Hermitian form  $\langle , \rangle^{G}$  induced on  $\Re_{q}(X_{L})^{h}$  by Proposition 2.6.5 is a multiple of  $\langle , \rangle$  on X; that for the L  $\cap$  K types satisfying c) of Theorem 2.6.7, the corresponding K types occur in X and that the signature of the form on these K-types is the same as that of  $\langle , \rangle^{L}$  on the  $\delta_{i}^{L}$ .

Theorem 2.6.8. Suppose  $X \in \mathscr{A}(q,K)$  is irreducible and has a non-zero Hermitian form  $\langle , \rangle$ . Let  $q = \ell + u$  be  $\theta$ stable and  $X_L$  an  $(\ell,L\cap K)$  module such that X is the unique irreducible submodule of  $\mathscr{R}_q(X_L)$ , X occurs only once as composition factor in  $\mathscr{R}_q^S(X_L)$  and  $X_L^h$  has a non-zero Hermitian form  $\langle , \rangle^L$ . If  $\delta^L \in (L\cap K)^{\widehat{}}$  is an  $(L\cap K)$ -type of  $X_L$  with highest weight  $\mu^L$  such that  $\mu =$  $\mu^L + 2\rho(u\cap p)$  is dominant for  $\Lambda(u\cap k)$  then if  $\delta \in \widehat{K}$  has highest weight  $\mu$ ,  $X(\delta) \neq 0$  and

$$\operatorname{Sign}\left[\langle , \rangle |_{X(\delta)}\right] = \operatorname{Sgn}\left[\langle , \rangle^{L} |_{X_{L}(\delta^{L})}\right]$$

Proof. Applying the appropriate definitions and results to K and  $q \cap k$  we have maps

$$\mathfrak{R}^{\mathbf{i}}_{q\cap k} : \mathscr{M}(\iota\cap k, \mathsf{L}\cap \mathsf{K}) \longrightarrow \mathscr{M}(k, \mathsf{K})$$

$$\mathfrak{L}^{\mathbf{j}}_{\overline{q}\cap k} : \mathscr{M}(\iota\cap k, \mathsf{L}\cap \mathsf{K}) \longrightarrow \mathscr{M}(k, \mathsf{K}).$$

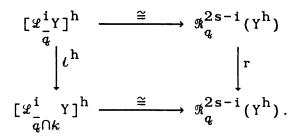
If  $Y \in \mathcal{M}(l, L \cap K)$  there are natural maps

$$\operatorname{pro}_{q}^{g} \widetilde{Y} \longrightarrow \operatorname{pro}_{q \cap k}^{k} \widetilde{Y}$$
$$\operatorname{ind}_{\overline{q} \cap k}^{k} \widetilde{Y} \longrightarrow \operatorname{ind}_{\overline{q}}^{g} \widetilde{Y}.$$

These induce (k, K)-maps

$$\begin{aligned} \mathfrak{A}_{q}^{\mathbf{i}}\mathbf{Y} & \xrightarrow{\mathbf{r}} \ \mathfrak{A}_{q\cap k}^{\mathbf{i}}\mathbf{Y} \\ \mathfrak{L}_{\overline{q}}^{\mathbf{j}}\mathbf{Y} & \xrightarrow{\ell} \ \mathfrak{L}_{\overline{q}}^{\mathbf{j}}\mathbf{Y} \\ \end{array}$$

Then, the following diagram is commutative



The isomorphisms across are Theorem 5.3 in Vogan [1984] for (G,q) and (K, $q \cap k$ ), respectively.

Arguing as in the Proof of 2.6.5 (for K) we have maps

$$\phi^{q \cap k} : \operatorname{ind}_{\overline{q} \cap k}^{k} Y \longrightarrow \operatorname{pro}_{q \cap k}^{k} Y^{h}$$
$$\phi^{k} : \mathscr{L}_{\overline{q} \cap k}^{s} Y \longrightarrow \mathscr{R}_{q \cap k}^{s} Y^{h}.$$

and we have the following commutative diagram

And we have a Hermitian form on  $\mathscr{L}^{\mathbf{S}}_{\overline{q}\cap k}(\mathbf{Y})$ 

$$\langle \mathbf{x}, \mathbf{y} \rangle^{\mathbf{K}} = \langle \mathbf{x}, \boldsymbol{\phi}^{\mathbf{K}} \mathbf{y} \rangle.$$

Since  $\phi^{K} = r \circ \phi^{G} \circ \ell$ , and by Proposition 6.10 in Vogan [1984],  $\ell$  is a unitary map,

$$(2.6.11) \qquad \langle \mathbf{x}, \mathbf{y} \rangle^{\mathrm{K}} = \langle \iota \mathbf{x}, \iota \mathbf{y} \rangle^{\mathrm{G}}.$$

(2.6.12) Write

``

$$\begin{split} &\text{sign}(\langle \ , \ \rangle^{K}) \ = \ (\textbf{p}_{K},\textbf{q}_{K},\textbf{z}_{K}); \qquad \textbf{p}_{K}, \ \textbf{q}_{K}, \ \textbf{z}_{K} \ : \ \hat{K} \ \longrightarrow \ \mathbb{N} \\ &\text{sign}(\langle \ , \ \rangle^{G}) \ = \ (\textbf{p}_{G},\textbf{q}_{G},\textbf{z}_{G}); \qquad \textbf{p}_{G}, \ \textbf{q}_{G}, \ \textbf{z}_{G} \ : \ \hat{K} \ \longrightarrow \ \mathbb{N} \end{split}$$

and again

$$sign(\langle , \rangle^{L}) = (p_{L}, q_{L}, z_{L}); p_{L}, q_{L}, z_{L} : (L \cap K)^{\widehat{}} \longrightarrow \mathbb{N}$$

By 2.6.11,

$$p_{G}(\delta) \geq p_{K}(\delta)$$

$$q_{G}(\delta) \geq q_{K}(\delta)$$

$$z_{G}(\delta) \geq z_{K}(\delta).$$

The main ingredient in the proof of Proposition 2.6.8 is the following result due to T. Enright.

Proposition 2.6.13 (Enright [1984]). Let  $q = l + u \theta$ -stable parabolic.

Let  $\delta^{L} \in (L \cap K)^{\hat{}}$  with highest weight  $\mu^{L}$ . Set  $\mu = \mu^{L} + 2\rho(u \cap p)$ .

a) If  $\mu$  is not  $\Delta(u \cap k)$ -dominant, then

$$\mathscr{L}_{q\cap k}^{s} \Upsilon(\delta^{L}) = 0.$$

b) If  $\mu$  is  $\Lambda(u \cap k)$  dominant, write  $\delta \in \hat{K}$  for the representation of K with highest weight  $\mu$ . Then

$$p_{K}(\delta) = p_{L}(\delta^{L})$$
$$q_{K}(\delta) = q_{L}(\delta^{L})$$
$$z_{K}(\delta) = z_{L}(\delta^{L}).$$

For a proof of this result see Vogan [1984] 6.5-6.8.

Lemma 2.6.14. Suppose V is a module of finite length and S is irreducible.

Assume

a) S  $\subseteq$  V occurs exactly once as a composition factor of V.

b) Any non-zero  $W \subseteq V$  contains S.

c) S is equipped with a Hermitian form.

Then, up to scalars,  $V^h$  has a unique Hermitian form  $\langle , \rangle_1$  and

$$S \cong V^{h}/rad(\langle , \rangle_{1}).$$

The proof of this lemma is standard. We can now prove Theorem 2.6.8. By Proposition 2.6.13 and 2.6.11

(2.6.15) 
$$p_{G}(\delta) \ge p_{L}(\delta^{L})$$
  
 $q_{G}(\delta) \ge q_{L}(\delta^{L})$   
and  $z_{G}(\delta) \ge z_{L}(\delta^{L}).$ 

Apply Lemma 2.6.14 to

$$V = \Re_{q}^{s}(X_{L})$$
 and  $S = X$ .

We know that a) - c) hold in this Lemma since they are part of our assumptions on X. We also know that  $\langle , \rangle^{G} \neq 0$  by 2.6.15.

Hence, we have the following result:

Proposition 2.6.16. In the setting of Theorem 2.6.8

 $\langle , \rangle^{G}|_{X} = c \langle , \rangle$ 

$$X \cong [\Re_q^s(X_L]^h/rad(\langle , \rangle^G)]$$

So  $\langle , \rangle^{G}|_{X}$  is nondegenerate and has signature

$$sgn(\langle , \rangle) = (p_G, q_G).$$

q.e.d.

It is now straightforward to prove Theorem 1.3. Using Theorem 2.6.7, proved in chapters 3-5 for our groups in question, we have that the hypotheses in Theorem 2.6.8 are true and by 2.6.15

and 
$$q_{G}(\delta^{2}) > 0$$

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and the form  $\langle , \rangle$  on X is indefinite too.

q.e.d.

2.7. Methods to detect non-unitarity.

To prove Theorem 2.6.7, we will need a few techniques that we will discuss here. Fix a positive root system  $\Delta^+(k)$ .

Lemma 2.7.1 (Parthasarathy's Dirac operator inequality. See Borel-Wallach [1980] II.6.1.1.) Let  $(\pi, \#)$  be a unitary representation of G and  $\#_K$  its Harish-Chandra module.

Fix a positive *t*-root system  $\Delta^+(g)$  compatible with  $\Delta^+(k)$  and a *k*-type  $\delta$  occurring in  $\mathscr{H}_K$  with highest weight  $\mu \in t^{C*}$ . Write

 $\rho = \rho(\Delta^+(\varphi)) \in (t^c)^*$ 

$$\rho_{c} = \rho(\Delta^{+}(k)) \in (t^{c})^{*}$$

$$\rho_{n} = \rho(\Delta^{+}(p)) = \rho - \rho_{c} \in (t^{c})^{*}.$$

Let  $c_0$  be the eigenvalue of the Casimir operator of g acting on  $\mathscr{H}_K$ , and  $\omega \in \mathbb{W}(k,t)$  making  $\omega(\mu-\rho_n)$ dominant for  $\Delta^+(k)$ . Then

$$\langle \omega(\mu-\rho_n) + \rho_c, \omega(\mu-\rho_n) + \rho_c \rangle \geq c_0 + \langle \rho, \rho \rangle.$$

Lemma 2.7.2. Let  $X \in \mathcal{M}(g, K)$  with a non-zero, invariant Hermitian form  $\langle , \rangle$ . Suppose the Dirac inequality fails on a K-type  $\delta$ , for some choice of  $\Delta^+(\phi)$ . Then

1) There is a k-type  $\eta$  occurring in  $\mathbb{V}_{\delta}\otimes \wp$  such that

< 
$$> | v_{\delta} \oplus v_{\eta}$$

is indefinite.

2) Suppose G/K is Hermitian symmetric with a onedimensional compact center, so that we can choose  $z \in ik_0$ with the property that  $g = k \oplus p^+ \oplus p^-$  is the decomposition of g into the eigenspaces 0, +1, -1 of z, respectively.

Set  $\rho_n^{\pm} = \rho(\Lambda(p^{\pm}))$ . Then, if the Dirac inequality fails on  $\delta$  for  $\rho_n^{\pm}$ , there is a *k*-type  $\eta^{\mp}$  occurring in  $V_{\delta} \otimes p^{\mp}$  such that

< , > 
$$|_{(V_{\delta} \oplus V_{\eta^{\mp}})}$$

is indefinite.

Proof. Recall from Borel-Wallach [1980], II §6, the definition of  $(\gamma, S(V))$ , the space of spinors of a finite dimensional vector space V defined over R, with a positive definite inner product  $\langle , \rangle$ . Write  $\langle , \rangle_S$  for the unitary structure on S(V) such that

 $\langle \gamma(\mathbf{v})\mathbf{x},\mathbf{y}\rangle_{\mathbf{S}} = - \langle \mathbf{x},\gamma(\mathbf{v})\mathbf{y}\rangle_{\mathbf{S}}$ 

 $v \in V$  x,  $y \in S(V)$ .

Recall also, the definition of the Dirac operator

 $D : H \otimes S \longrightarrow H \otimes S$ 

for  $(\pi, H)$  a unitary  $(g_0, k_0)$ -module and  $S = S(p_0)$ .

(2.7.3) 
$$D(v \otimes s) = \sum_{\alpha \in \Delta(p)} \pi(X_{\alpha}) v \otimes \gamma(X - \alpha) s.$$

Since

$$S = \bigoplus_{\substack{\Delta^{+}(g) \supseteq \Delta^{+}(k)}} m \cdot V \rho(\Delta^{+}(g) - \rho_{c})$$

(where  $m = 2^{\left[\dim a^{C}/2\right]}$ ) (cfr. Borel-Wallach [1984] II §6) then  $\omega(\mu - \rho_{n})$  is the highest weight of a *k*-representation occurring in  $V_{\delta} \otimes V_{\rho_{n}} \subseteq H \otimes S$ . Let  $\xi = v \otimes s$  be a weight vector for  $\omega(\mu - \rho_n)$ .

Write also  $\langle , \rangle_{D}$  for the tensor product inner product on H  $\otimes$  S; then the proof of Lemma 2.7.1 shows that

 $0 > \langle D\xi, D\xi \rangle_{D} =$ 

 $(\langle \omega(\mu-\rho_n) + \rho_c, \omega(\mu-\rho_n)+\rho_c \rangle - c_0 - \langle \rho, \rho \rangle) \langle \xi, \xi \rangle_D.$ 

So  $D\xi \neq 0$  and

$$D\xi = \sum_{\alpha \in \Delta(p)} \pi(X_{\alpha}) \vee \otimes \gamma(X_{-\alpha}) \otimes \in p \cdot V_{\delta} \otimes S \subseteq H \otimes S.$$

This gives a non-zero map

$$\wp \otimes \mathsf{V}_{\delta} \xrightarrow{\sigma} \wp \cdot \mathsf{V}_{\delta}.$$

So  $\operatorname{Hom}_{k}(\not \otimes V_{\delta}, H) \neq 0$ . Let  $E = \operatorname{Im} \sigma$ . Since  $\langle , \rangle_{S}$  is positive definite this means that  $\langle , \rangle$  is indefinite on  $V_{\delta} \oplus E$ .

This proves a) of the lemma.

For b) simply observe that  $\mu - \rho_n^- = \mu + \rho_n^+$ ;  $\rho_n^+ = \rho(\rho^+)$  and  $\rho^+$  is a representation of k. Hence if  $\beta \in \Delta(k)$ 

$$\langle \rho_n^+, \beta \rangle = 0.$$

So  $V_{\rho_n^+}$  is one-dimensional. Since  $\rho_n^+ + \alpha$  is not a weight of S, for  $\alpha \in \Lambda(\rho^+)$ ,  $V_{\rho_n^+}$  is killed by  $\gamma(X_{\alpha})$  and (2.7.3) becomes, for  $\xi \in V_{\delta} \otimes V_{\rho_n^-}$ 

$$D\xi = \sum_{\alpha \in \Lambda(p^+)} \pi(X_{\alpha}) v \otimes \gamma(X_{-\alpha}) s$$

so  $D\xi \in (p^+) \cdot V_{\delta} \otimes S \subseteq H \otimes S$ . Similarly for  $\rho_n^-$ . q.e.d.

Lemma 2.7.4. Let G be a connected, reductive linear Lie group. Assume that

$$rank G = rank K.$$

Then, any representation with real infinitesimal character has a Hermitian form.

Proof. By Proposition 2.6.3 it is enough to prove the lemma for G quasisplit and a Langlands subrepresentation of a principal series  $I(\delta \otimes \nu)$  with  $\delta \otimes \nu$  a character of a maximally split Cartan subgroup  $H^{S} = T^{S}A^{S}$ .

Since G is equal rank there is a subset  $B = \{\alpha_1, \ldots, \alpha_k\}$  of strongly orthogonal simple real roots such that, since  $H^S$  is the maximally split Cartan subgroup of G, then B spans  $a_0^S = \text{Lie}(A^S)$ .

Hence if  $\omega = s_{\alpha_1} \dots s_{\alpha_k}$  is the product of simple reflections  $s_{\alpha_i}$ ,  $\omega$  acts by -1 on  $A^s$  and by the identity on  $T_0^s$ .

Recall from Definition 2.4.3 the maps  $\phi_{\alpha}$ :  $\mathfrak{sl}(2,\mathbb{R}) \longrightarrow m_0^{\alpha}$ . Consider the exponentiated map

 $\Phi_{\alpha} : SL(2,\mathbb{R}) \longrightarrow M^{\alpha}$ 

set

$$\mathbf{m}_{\alpha} = \Phi_{\alpha} \begin{pmatrix} -1 & \mathbf{0} \\ & \\ \mathbf{0} & -1 \end{pmatrix} \in \mathbf{M}.$$

(cfr. Vogan [1981] page 172). Then, since G is connected,  $T^{s}$  is generated by  $T_{0}^{s} \cup \{m_{\alpha} \mid \alpha \text{ real}\}$ . Let  $\omega \in M'/M = W$ , then there is  $\sigma \in M'$  such that

$$\omega \cdot \mathbf{m}_{\alpha} = \sigma \mathbf{m}_{\alpha} \sigma^{-1} = \mathbf{m}_{\omega \cdot \alpha}.$$

But 
$$m_{\omega \cdot \alpha} = m_{-\alpha} = m_{\alpha}$$
.  
Recall the elements  $\delta \in \widehat{M}$  and  $\nu \in \widehat{A}$ . Then

$$(\omega \delta)(\mathbf{m}_{\alpha}) = \delta(\omega \cdot \mathbf{m}_{\alpha}) = \delta(\mathbf{m}_{\alpha})$$

and 
$$\omega \cdot \delta \Big|_{T_0} = \delta.$$

Hence  $\omega \delta = \delta$ . Since  $I(\delta \otimes v)$  is assumed to have real infinitesimal character, v is real.

Also since  $\omega |_{A} = -1$  then  $\omega \cdot v = -v = -\overline{v}$ .

This is the condition of Proposition 2.6.3 for the existence of a Hermitian form.

q.e.d.

Chapter 3. 
$$G = SL(n, \mathbb{R})$$

3.1. Preliminary Notation.

To fix notation consider  $G = SL(2n, \mathbb{R})$ ; the odd case is similar.

$$G = \{g \in GL(2n, \mathbb{R}) \mid det g = 1\}.$$

The maximal compact subgroup K of G is

$$K = SO(2n, \mathbb{R}) = \{g \in G \mid g^{t}g = I\}.$$

The corresponding Lie algebras are

 $g_0 = \{X \in \mathfrak{gl}(2n,\mathbb{R}) \mid \text{trace } X = 0\}$ 

$$k_0 = \{ X \in g_0 \mid X + {}^{t}X = 0 \} = \mathfrak{so}(2n, \mathbb{R}).$$

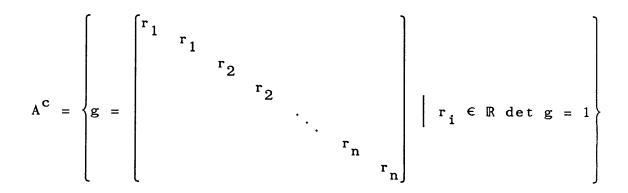
If  $\theta$  is the Cartan involution defined by  $\theta(X) = -^{t}X$ , then

$$p_0 = \{ X \in g_0 \mid X = {}^{\mathsf{c}} X \}.$$

.

The Cartan subgroup of K is

$$T^{c} = \begin{cases} g = \begin{bmatrix} r(\theta_{1}) & & & \\ & r(\theta_{2} & & \\ & & \ddots & \\ & & \ddots & \\ & & r(\theta_{n}) \end{bmatrix} & \theta_{i} \in \mathbb{R}; \\ & & r(\theta_{i}) = \begin{bmatrix} \cos \theta_{i} & \sin \theta_{i} \\ -\sin \theta_{i} & \cos \theta_{i} \end{bmatrix}$$



then  $H^{c} = T^{c}A^{c}$  is a maximally compact Cartan of G.

$$\epsilon_{0}^{c} = \left\{ g = \left[ \begin{array}{ccccc} 0 & \theta_{1} & & & & \\ -\theta_{1} & 0 & & & & \\ & & 0 & \theta_{2} & & & \\ & & & -\theta_{2} & 0 & & \\ & & & & \ddots & 0 & \theta_{n} \\ & & & & & -\theta_{n} & 0 \end{array} \right] \mid \theta_{i} \in \mathbb{R} \right\}$$

 $h_0^{\mathbf{c}} = t_0^{\mathbf{c}} + \alpha_0^{\mathbf{c}}$ 

Complexifying:

.

and 
$$g = \mathfrak{sl}(2n, \mathbb{C})$$
  
 $k = \mathfrak{so}(2n, \mathbb{C}).$ 

Define  $e_j \in i(t_0^c)^*$  j = 1, 2, ..., n, by

Then the roots of  $t^{c}$  in k, p and g are, respectively:

$$\Delta(k, t^{c}) = \{\pm(e_{j}\pm e_{k}) \mid 1 \leq j \leq k \leq n\}$$

$$\Delta(p, t^{\mathbf{C}}) = \{\pm 2\mathbf{e}_{\ell}; (\mathbf{e}_{j} \pm \mathbf{e}_{k}) \mid 1 \leq \ell \leq n; 1 \leq j \leq k \leq n\}$$

$$\Delta(q, t^{c}) = \{\pm 2e_{\ell}; \pm (e_{j} \pm e_{k}) \mid 1 \leq \ell \leq n; 1 \leq j \leq k \leq n\}.$$

The multiplicity of  $\pm e_j \pm e_k$  as a root in g is 2. Choose  $\Delta^+(k, t^c) = \{e_j \pm e_k \mid 1 \le j \le k \le n\}$ . Then  $\hat{K}$  can be identified with the set

$$\{\mu = (a_1, a_2, \dots, a_n) \in \mathbb{Z}^n \mid a_1 \ge a_2 \ge \dots \ge a_{n-1} \ge |a_n|\}.$$

3.2. Computation of  $l_{\gamma}(X)$  for a Harish-Chandra module X. Let  $\mu = (a_1, a_2, \dots, a_n) \in it_0^{\bigstar}$  be the highest weight of a LKT of X. Fix a positive root system  $\Delta^+(k)$  so that

$$a_1 \ge a_2 \ge \dots \ge |a_n|$$

as in 3.1.

To obtain  $\ell_{V}(X) = \ell_{V}(\mu)$  as in 2.4.8 we need:

$$2\rho_{c} = (2n-2, 2n-4, \dots, 2, 0).$$

Let  $\Lambda^+(g,h^c)$  be a  $\theta$ -stable positive system making  $\mu + 2\rho_c$  dominant. After conjugating by an outer automorphism of K we may assume that  $a_n \ge 0$ . Then the restriction of  $\Lambda^+(g,h^c)$  to  $t^c$  is

$$\Delta^+(g,t^{\mathbf{c}}) = \{\mathbf{e}_j \pm \mathbf{e}_k; 2\mathbf{e}_{\ell} \mid 1 \leq j,k,\ell \leq n; j \leq k\}.$$

Write  $\phi(g, t^{C})$  for the set of simple roots restricted to  $t^{C}$ . Then

$$\phi(q, t^{c}) = \{e_{1} - e_{2}; e_{2} - e_{3}; \ldots; e_{n-1} - e_{n}; 2e_{n}\}.$$

Let

$$\mu + 2\rho_{c} = (x_{1}x_{2}\dots x_{n})$$

We can form an array with the coordinates of  $\mu + 2\rho_c$  by grouping them into maximal blocks of elements decreasing by 2. That is, if

(3.2.1) 
$$\mu = (\underbrace{a_1, \ldots, a_1}_{r_1 \text{ times } r_2}, \underbrace{a_2, \ldots, a_2}_{r_1 \text{ times } r_2}, \underbrace{a_t, \ldots, a_t}_{r_t \text{ times } R \text{ times } R \text{ times } R \text{ times } R$$

where  $a_1 > a_2 > \ldots > a_t > 0$ .

Then, since the coordinates of  $2\rho_{\rm C}$  decrease by two, the array would look like

$$(3.2.2) \quad \boxed{\texttt{m}_1 \ \texttt{m}_1^{-2} \ \dots \ \texttt{m}_1^{-2} \texttt{p}_1^{+1}} \quad \boxed{\texttt{m}_2 \ \texttt{m}_2^{-2} \ \dots \ \texttt{m}_2^{-2} \texttt{p}_2^{+1}} \dots$$

$$\boxed{2\texttt{R}-2, \dots, 2, 0} \quad .$$

Proposition 3.2.3. Suppose that  $\mu \in it_0^*$  is the highest weight of a representation of K and that the picture for  $\mu + 2\rho_c$  is as in 3.2.2. Then a)  $\lambda_V(\mu) = \lambda_V$  has the form

$$\lambda_{\mathbf{V}}(\mu) = (\underbrace{\lambda_1 \dots \lambda_1}_{\mathbf{r}_1}, \underbrace{\lambda_2 \dots \lambda_2}_{\mathbf{r}_2}, \underbrace{\lambda_t \dots \lambda_t}_{\mathbf{r}_t}, \underbrace{0 \dots 0}_{\mathbf{R}})$$

where

i) 
$$\lambda_j = a_j - 1$$

ii) 
$$\lambda_1 > \lambda_2 > \ldots > \lambda_t \ge 0.$$

So  $\lambda_t = 0$  if  $a_t = 1$ . b)  $[\ell_V(\mu)]^d$  is isomorphic to, either

$$\mathfrak{sl}(r_1, \mathbb{C}) \oplus \mathfrak{sl}(r_2, \mathbb{C}) \oplus \ldots \oplus \mathfrak{sl}(r_t, \mathbb{C}) \oplus \mathfrak{sl}(2\mathbb{R}, \mathbb{R}),$$

if  $\lambda_t > 0$  or

$$\mathfrak{sl}(\mathbf{r}_1, \mathbb{C}) \oplus \ldots \oplus \mathfrak{sl}(\mathbf{r}_{t-1}, \mathbb{C}) \oplus \mathfrak{sl}(2(\mathbb{R}+\mathbf{r}_t), \mathbb{R}),$$

if  $\lambda_t = 0$ .

Proof. Notice that  $2\rho_c - \rho = (-1, -1, ..., -1)$ . Define

$$\{\beta_{j}\} = \{2e_{n-j+1} \mid \langle \mu + 2\rho_{c}-\rho, 2e_{n-j+1}\rangle = -c_{j} \leq 0\}.$$

Then  $\lambda_{\rm V}(\mu) = \mu + 2\rho_{\rm c} - \rho + \frac{1}{2} \sum c_{\rm j}\beta_{\rm j}$  has the form (3.2.4), and the conditions a) - e) of Proposition 2.4.7 are obvious. Moreover the subset of simple roots orthogonal to  $\lambda_{\rm V}(\mu)$  is

$$\{ e_1 - e_2; e_2 - e_3; \dots e_{r_1} - 1 - e_{r_1} \} \cup \{ e_{r_1} - e_{r_1} + 1 \dots e_{r_1} + r_2 - 1 - e_{r_1} + r_2 \}$$
$$\cup \dots \cup \{ \dots; e_{n-1} - e_n; e_{2n} \}.$$

This spans the root system

$$(A_{r_1-1} \oplus A_{r_1-1}) \oplus (A_{r_2-1} \oplus A_{r_2-1}) \oplus \ldots \oplus (A_{r_s-1} \oplus A_{r_s-1}) \oplus A_{2k},$$

since the roots  $e_i - e_j$  involved are restrictions of complex roots and therefore occur twice in  $\Delta(g, t^c)$ . Now the proposition is clear.

q.e.d.

3.3. Lowest K-types of the modules  $A_{q}(\lambda)$ .

We will give some criteria to determine when a representation of K is the LKT of one of the (g,K) modules  $A_a(\lambda)$ .

Recall from 2.3 that to construct a  $\theta$ -stable parabolic subalgebra q = l + u we need a weight  $x \in it_0^*$ . Suppose

(3.3.1) 
$$\mathbf{x} = (\underbrace{\mathbf{x}_1, \dots, \mathbf{x}_1}_{r_1 \text{ times } r_2 \text{ times } r_2}, \underbrace{\mathbf{x}_2, \dots, \mathbf{x}_2}_{r_t \text{ times } r_t \text{ times } R \text{ times } r_t$$

where 
$$x_1 > x_2 > \ldots > x_t > 0$$
.

Write q = q(x) = l(x) + u(x) for the parabolic defined by x as in 2.3.

Clearly

$$(3.3.2) \begin{cases} 2\rho(u\cap k) = \\ (\underbrace{s_1s_1\cdots s_1}_{r_1}, \underbrace{s_2\cdots s_2}_{r_2}, \ldots, \underbrace{s_t\cdots s_t}_{r_t}, \underbrace{0\cdots 0}_{R}) \\ \text{with} \quad s_j = 2(n-r_1-\cdots -r_{j-1}) - r_j - 1 \\ \text{and} \quad 2\rho(u\cap p) = \\ (\underbrace{u_1\cdots u_1}_{r_1}, \underbrace{u_2\cdots u_2}_{r_2}, \ldots, \underbrace{u_t\cdots u_t}_{r_t}, \underbrace{0\cdots 0}_{R}) \\ u_j = 2(n-r_1-\cdots -r_{j-1}) - r_j + 1. \end{cases}$$

Proposition 3.3.3. Let  $\mu$  be as in (3.2.1) and suppose it is the highest weight of a representation of K. Then  $V_{\mu}$ is the LKT of a (g,K)-module  $A_{q}(\lambda)$  if and only if

a) 
$$a_i - a_{i+1} \ge r_i + r_{i+1}$$

and

b) 
$$a_{+} \ge 2R + r_{+} + 1$$
.

Proof. Suppose  $V_{\mu}$  is the LKT of an  $A_{q}(\lambda)$ . Then  $\mu = \lambda + 2\rho(u \cap p)$  and  $\lambda$  is the weight of a one-dimensional character of L satisfying 2.5.1 a) and b). Hence  $\lambda$  is orthogonal to the roots of  $t^{c}$  in t and it is positive in the  $t^{c}$ -roots in u. That is,

$$\lambda = (\underbrace{\lambda_1, \dots, \lambda_1}_{r_1}, \underbrace{\lambda_2, \dots, \lambda_2}_{r_2}, \dots, \underbrace{\lambda_t, \dots, \lambda_t}_{r_t}, \underbrace{0, \dots, 0}_{R})$$

and

$$\lambda_1 \geq \lambda_2 \geq \ldots \geq \lambda_t \geq 0.$$

Then 
$$a_j = \lambda_j + 2(n-r_1-...-r_{j-1}) - r_j + 1$$

$$a_t = \lambda_t + 2(n-r_1-\ldots-r_{t-1}) - r_t + 1 \ge 2R + r_t + 1$$

$$a_{j} - a_{j+1} =$$
  
 $\lambda_{j} - \lambda_{j+1} - r_{j} + 1 + 2r_{j} + r_{j+1} - 1 \ge r_{j} + r_{j+1}$ .

$$q = q(\mu)$$
 and

$$\lambda_{j} = a_{j} - 2(n-r_{1}-\ldots-r_{j-1}) + r_{j} - 1.$$

Then  $\mu$  will be the LKT of  $A_{a}(\lambda)$ .

q.e.d.

3.4. Proof of Theorem 1.6.7 for 
$$G = SL(n, \mathbb{R})$$
.

Suppose  $X \in \mathfrak{A}(\mathfrak{g}; K)$  is as in Theorem 2.6.7 with infinitesimal character  $\gamma \in (\mathfrak{h}^{\mathbb{C}})^{*}$  and  $\mu \in (it_{0}^{\mathbb{C}})^{*}$  the highest weight of a LKT of X. Write  $\mu$  as in 3.3.1.

Considering what the weights in  $V_{\mu}$  @  $\wp$  look like, we will study 2 cases:

- 1.  $2R + r_t + 1 > a_t$ .
- 2.  $a_t \ge 2R + r_t + 1$ .

By the conditions given in 3.3, if  $V_{\mu}$  is the LKT of an  $A_a(\lambda)$ , then  $\mu$  is in case 2.

Therefore, the first thing we must do is verify that in case 1 X is not unitary:

Case 1.

We will use the following result.

Lemma 3.4.1. Let  $\mu$  be as in 3.3.1 and suppose  $a_t < 2R + r_t + 1$ . Suppose that  $a_i - a_{i+1} = 1$ . Then Dirac operator inequality fails for  $s_n = (n, n-1, ..., 1)$ .

Proof. The hypotheses on  $\mu$  imply that

$$\mu = (\underbrace{\mathbf{x}+\mathbf{t}-1,\ldots,\mathbf{x}+\mathbf{t}-1}_{\mathbf{r}_1},\underbrace{\mathbf{x}+\mathbf{t}-2,\ldots,\mathbf{x}+\mathbf{t}-2}_{\mathbf{r}_2},\ldots,\underbrace{\mathbf{x},\ldots,\mathbf{x}}_{\mathbf{r}_t},\underbrace{\mathbf{0}\ldots,\mathbf{0}}_{\mathbf{R}})$$

Note that  $1 \leq x \leq 2R + r_t$  implies that

(\*)  $R + 1 - x \leq R$  and  $R + r_t - x \geq -R$ .

Now,

$$\rho_{n} = (n, n-1, \dots, R+r_{t}, R+r_{t}^{-1}, \dots, R+1, R, R-1, \dots, 1),$$

so

$$\rho_n - \mu =$$

 $(n-x-t+1, n-x-t, \ldots, R+r_t-x, R+r_t-x-1, \ldots, R+1-x, R, R-1, \ldots, 1).$ 

By  $(\star)$ , the sequence of integers

$$R + r_t - x, R + r_t - x - 1, \dots, R + 1 - x$$

overlaps the sequence  $R, R-1, R-2, \ldots, -R+1, -R$ .

Clearly, the first n-R coordinates of  $\rho_n - \mu$  decrease by steps of at most one.

So if  $\omega \in W_K$  is such that  $\omega(\mu - \rho_n)$  is dominant, then the coordinates of  $\omega(\mu - \rho_n)$  will be a sequence of integers decreasing by at most one, ending in 0 or  $\pm 1$ ; and in the latter case, there must be repetitions in the sequence.

Since

$$\rho_{c} = (n-1, n-2, \dots, R+1, R, R-1, \dots, 2, 1, 0)$$

it follows that

$$<\omega(\mu-\rho_{n}),\rho_{c}> < <\rho_{n},\rho_{c}>$$
$$<\omega(\mu-\rho_{n}),\omega(\mu-\rho_{n})> < <\rho_{n},\rho_{n}>.$$

Hence  $\langle \omega(\mu - \rho_n) + \rho_c, \omega(\mu - \rho_n) + \rho_c \rangle \langle \langle \rho_n + \rho_c, \rho_n + \rho_c \rangle = \langle \rho, \rho \rangle.$ q.e.d.

Now to prove nonunitarity for case 1, take  $i_0$  to be the minimal integer in  $\{1, 2, ..., t\}$  such that  $a_i - a_{i+1} =$ 1 for all  $i > i_0$ .

Let 
$$K = r_{i_0+1} + r_{i_0+2} + \ldots + r_t + R$$

and 
$$\ell = \mathfrak{ol}(\mathbf{r}_1 + \mathbf{r}_2 + \ldots + \mathbf{r}_i) \oplus \mathfrak{ol}(2\mathbf{K}, \mathbf{R}) = \ell_1 \oplus \ell_2.$$

Then  $\ell \subseteq \ell_V$  and by Proposition 2.4.15, if X is the Langlands quotient of  $\Re^{g}_{q_V}(I_{L_V}(\delta_V \otimes v_V))$  and if we set

$$X_{L} = \mathcal{R}_{q_{V} \cap \ell}^{\ell} [I_{L_{V}}(\delta_{V} \otimes v_{V})).$$

then X is the Langlands quotient of

$$\mathfrak{A}_{q}^{\mathcal{G}}(\mathbf{X}_{L}) \cong \mathfrak{A}_{q_{V}}^{\mathcal{G}}(\mathbf{I}_{L_{V}}(\delta_{V} \otimes \nu_{V}))$$

and a) of Theorem 2.6.7 holds.

Also, by Corollary 2.6.4,  $X_L^h$  has a Hermitian form  $\langle , \rangle^L$ .

Write  $\mu^{L} = \mu - 2\rho(u \cap p)$ . Then  $\mu^{L}$  is a LKT of  $X^{L}$ . By 3.3.2,

$$2\rho(u\cap p) =$$

$$\underbrace{(2n-(r_1+\dots+r_i_0)+1,\dots,2n-(r_1+\dots+r_i_0)+1,0,\dots,0)}_{r_1+r_2+\dots+r_i_0} K$$

So  $\mu^{L}|_{SL(2K,\mathbb{R})} = \mu|_{SL(2K,\mathbb{R})} = \mu^{2}$ 

and by Lemma 3.4.1, the Dirac inequality fails on  $\mu^2$ . By Lemma 2.7.2, there is a K-type V in V  $\otimes (\ell_2 \cap p)$ that makes the Hermitian form  $\langle , \rangle^L$  indefinite.

The roots in  $\Delta(l_2 \cap p)$  are

$$\{\underbrace{(\underbrace{0\ldots\pm1,0\ldots0\pm1}_{K},\underbrace{0\ldots0}_{K},\underbrace{(\underbrace{0\ldots0,\pm2,0\ldots0}_{K})}_{K}\}$$

It is clear that if  $\eta^2 = \mu^2 + \beta$  is dominant for some  $\beta \in \Delta(\ell_2 \cap p)$  then, since  $a_{i_0} - a_{i_0+1} \ge 2$  the K-type  $\mu + \beta$  is also dominant for  $\Delta(u \cap k)$ . Hence Theorem 2.6.7 follows for case 1.

For case 2, note that if  $a_j - a_{j+1} \ge r_j + r_{j+1}$ , for all j = 1, ..., t, then we have the LKT of an  $A_q(\lambda)$  and there is nothing to prove.

So, assume that there is  $i_0 < t$  such that  $a_{i_0} - a_{i_0+1} < r_{i_0} + r_{i_0+1}$ . Set  $K = r_1 + r_2 + \ldots + r_t$  and

$$\ell = \mathfrak{sl}(\mathsf{K},\mathbb{C}) \oplus \mathfrak{sl}(2\mathsf{R},\mathbb{R}).$$

Note that  $a_t \ge 2$ . Hence  $\ell_V = \mathfrak{sl}(r_1, \mathbb{C}) \oplus \ldots \oplus \mathfrak{sl}(r_t, \mathbb{C}) \oplus \mathfrak{sl}(2\mathbb{R}, \mathbb{R}), \quad \ell \supseteq \ell_V$  and we can find  $X_L$  s.t. (a) in Theorem 2.6.7 holds. In fact, if  $q_0 = \ell \cap q_V = \ell_V + \ell \cap q_V$  and  $X_{L_V} = I_{L_V}(\delta_V \otimes \nu_V)$ , as in Definition 2.4.17, we can

$$X_{L} = \mathcal{R}_{q_{0}}^{\ell}(X_{L_{V}}),$$

since then, by Proposition 2.4.15

$$\mathfrak{R}^{g}_{q}(X_{L}) \cong \mathfrak{R}^{g}_{q_{V}}(X_{L_{V}})$$

where 
$$\Delta(u) = \Delta(u_V) \setminus \Delta(\ell)$$

and q = l + u.

By Corollary 2.6.4,  $X_L^h$  admits a non-zero Hermitian form  $\langle \ , \ \rangle^L$ .

Write  $X_{L}$  as the exterior tensor product  $X_{L} = X_{L_{1}} \otimes X_{L_{2}}$  where  $X_{L_{i}}$  is an  $(\ell_{i}, L_{i} \cap K)$  module,

$$L_1 = \mathfrak{ol}(K, \mathbb{C})$$
 and  $L_2 = SL(2R, \mathbb{R})$ .

By Theorem 6.1 in Enright [1979], and especially its proof (pp. 518-523), if  $X_{L_1}$  is not an  $A_q$ , ( $\lambda$ ') then Dirac inequality fails on the lowest K-type. Write  $\mu^L = \mu - 2\rho(u \cap p)$  and

$$\mu^1 = \mu^L \big|_{L_1}$$

By Lemma 2.7.2 there is an 
$$(L_1 \cap K)$$
-type  $\bigvee_{\eta^1}$  with  $\eta^1 = \mu^1 + \beta$  for  $\beta \in \Delta(\ell_1 \cap p)$ . If for all  $i \neq i_0$ 

$$(3.4.1) a_i - a_{i+1} \ge r_i + r_{i+1} \ge 2.$$

Then  $\mu + \beta$  is dominant.

Otherwise take 
$$K' = \Sigma r_i$$
 with  $i \in B$ 

 $B = \{i = 1, ..., t \mid 3.4.1 \text{ holds}\}.$ 

Then apply Enright's result to the rest.

q.e.d.

Chapter 4. 
$$G = SU(p,q)$$

4.1. Preliminary Notation

.

Let n = p + q. Write  $I_m$  for the identity matrix in  $GL(m, \mathbb{C})$ , and  $A^*$  for the conjugate transpose of the matrix A. We define

$$G = \left\{ g \in SL(n, \mathbb{C}) \mid g \begin{bmatrix} I_p & 0 \\ 0 & -I_q \end{bmatrix} g^* = \begin{bmatrix} I_p & 0 \\ 0 & -I_q \end{bmatrix} \right\}.$$

Then the maximal compact subgroup K of G is

$$K = \left\{ g \in G \mid g = \begin{bmatrix} A & 0 \\ 0 & B \end{bmatrix}; A \in U(p), B \in U(q) \right\}.$$

Also, with the usual notation:

$$\mathbf{g}_{0} = \left\{ \mathbf{X} \in \mathfrak{sl}(\mathbf{n}, \mathbb{C}) \mid \mathbf{X} \begin{bmatrix} \mathbf{I}_{\mathbf{p}} & \mathbf{0} \\ \mathbf{0} & -\mathbf{I}_{\mathbf{q}} \end{bmatrix} + \begin{bmatrix} \mathbf{I}_{\mathbf{p}} & \mathbf{0} \\ \mathbf{0} & -\mathbf{I}_{\mathbf{q}} \end{bmatrix} \mathbf{X}^{\bigstar} = \mathbf{0} \right\}$$

$$= \left\{ X = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \in \mathfrak{sl}(n, \mathbb{C}) \mid A \in \mathfrak{u}(p), D \in \mathfrak{u}(q) \right\}$$

skewhermitian;  $-B^* = C$ 

$$k_{0} = \left\{ X = \begin{bmatrix} A & 0 \\ 0 & D \end{bmatrix} \in g_{0} \mid A \in u(p), D \in u(q) \right\}.$$

If  $\theta$  is the Cartan involution defined by  $\theta(X) = -X^*$ , and

$$\wp_{0} = \left\{ X \in g_{0} \mid \theta(X) = -X \right\}$$

then

$$p_0 = \left\{ X = \begin{bmatrix} 0 & B \\ B^* & 0 \end{bmatrix} \mid B \text{ arbitrary } p \times q \text{ matrix} \right\}.$$

The compact Cartan Subgroup of G is

$$H^{c} = T^{c} = \left\{g = diag(e^{i\theta_{1}}, \dots, e^{i\theta_{n}}) \mid \sum_{j=1}^{n} \theta_{j} = 0\right\}.$$

Then,

$$t_0 = \left\{ \operatorname{diag}(i(\theta_1, \dots, \theta_n)) \in i\mathbb{R}^n \mid \sum_{j=1}^n \theta_j = 0 \right\}$$

Complexifying everything we have:

$$g = \mathfrak{sl}(\mathbf{n}, \mathbb{C})$$

$$k = \mathfrak{s}(\mathfrak{gl}(\mathbf{p}) \oplus \mathfrak{gl}(\mathbf{q})) = \left\{ X = \begin{bmatrix} A & 0 \\ 0 & D \end{bmatrix} \in \mathfrak{g} \mid A \in \mathfrak{gl}(\mathbf{p}), \\ D \in \mathfrak{gl}(\mathbf{q}) \right\}$$

$$t = \left\{ \operatorname{diag}(z_1, \ldots, z_n) \in \mathbb{C}^n \mid \sum_{j=1}^n z_j = 0 \right\}.$$

 $\hat{\vec{K}}$  can be identified with the space

$$\left\{ \mu = (a_1, \dots, a_p \mid a_{p+1}, \dots, a_n) \mid a_1 \geq \dots \geq a_p; \\ a_{p+1} \geq \dots \geq a_n \quad \sum a_j = 0 \quad a_i - a_j \in \mathbb{Z} \right\}.$$

If we denote by  $e_j \in \mathbb{R}^*$ , j = 1, ..., n, the elements of the dual basis in  $\mathbb{R}^n$ , then the roots of t in gcorrespond to the set

$$\Delta(g) = \Delta(g, t) = \{ \mathbf{e}_{l} - \mathbf{e}_{j} \mid l \neq j; 1 \leq l, j \leq n \}.$$

Also

$$\Delta(k) = \Delta(k, t) =$$

$$\{e_{l} - e_{j} \mid 1 \leq l, j \leq p\} \cup \{e_{k} - e_{m} \mid p < k, m \leq n\}$$

the compact imaginary roots of t and g.

$$\Delta(p) = \Delta(p,t) = \{ \pm (e_{\ell} - e_{p+j}) \mid 1 \leq \ell \leq p; 1 \leq j \leq q \}$$

the noncompact imaginary roots of t in g.

4.2. Computation of  $\ell_V(X)$  and  $\lambda_V(X)$ , for a Harish-Chandra module X.

Let  $\mu = (a_1, a_2, \dots, a_p | b_1, b_2, \dots, b_q)$  be the highest weight of a lowest K-type of X. Fix the positive system  $\Lambda^+(k)$  so that

$$a_1 \ge \ldots \ge a_p; b_1 \ge \ldots \ge b_q.$$

We want to obtain an explicit expression of the parameters  $\lambda_V$  and  $\ell_V$  attached to  $\mu$  by 2.4.7 and 2.4.8.

$$2\rho_{c} = (p-1, p-3, \dots, -p+1 | q-1, q-2, \dots, -q+1)$$

Let

$$\mu + 2\rho_{c} = (x_{1}, x_{2}, \dots, x_{p} | y_{1}, y_{2}, \dots, y_{q}).$$

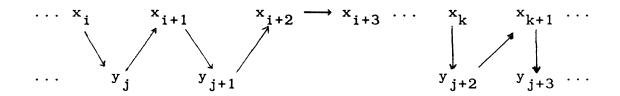
We can form an array of two rows with the coordinates of

 $\mu + 2\rho_c$  so that they are aligned in decreasing order from left to right as follows: the  $x_i$  are in the first rows; the  $y_j$  are in the second; and terms decrease from left to right in the array. For example, if we have

$$\mathbf{x}_{i} > \mathbf{y}_{j} > \mathbf{x}_{i+1} > \mathbf{y}_{j+1} > \mathbf{x}_{i+2} > \mathbf{x}_{i+3} \dots > \mathbf{x}_{k} =$$

$$y_{j+2} > x_{k+1} = y_{j+3} \dots$$

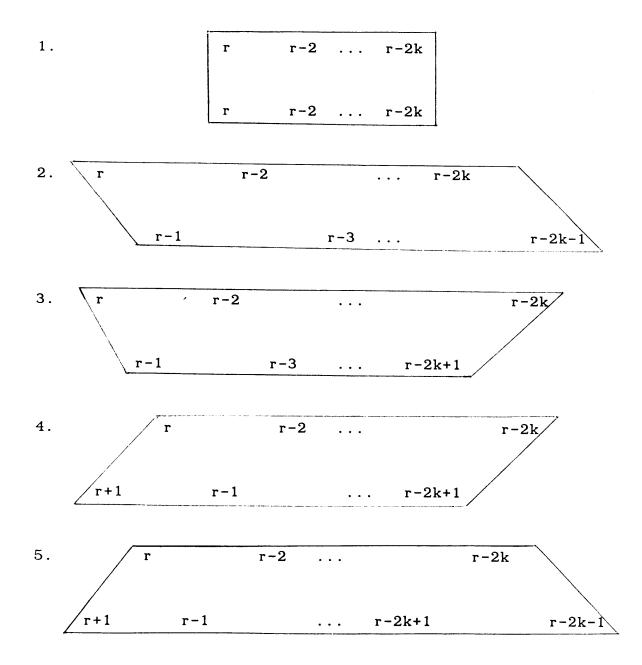
the array would look like:



This array gives a choice of positive roots  $\Delta^+ = \Delta^+(g,t)$ , compatible with  $\Delta^+(k)$ . That is, the simple roots are given by the arrows. In the preceding example, they would be

 $\dots e_{i} - e_{p+j}; e_{p+j} - e_{i+1}; e_{i+1} - e_{p+j+1} - e_{i+2};$  $e_{i+2} - e_{i+3}; \dots$  $\dots e_{k} - e_{p+j+2}; e_{p+j+2} - e_{k+1}; e_{k+1} - e_{p+j+3}; \dots$ 

Because the terms in each row decrease by at least 2, the entire array is a union of blocks of the following five types.



From now on we will drop the arrows in the pictures, since the ordering of the roots is clear from the arrangement of the coordinates of  $\mu + 2\rho_c$ , provided we agree on choosing the order prescribed in block 1. We will also refer to them as  $\square$ ,  $\square$ ,  $\square$ , etc.

Example. Let p = 7, q = 8, and

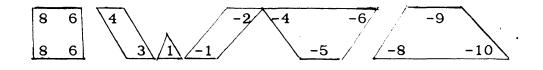
$$\mu = (2, 2, 2, -2, -2, -2, -3 | 1, 1, 0, 0, 0, -2, -3, -3)$$

then,

$$2\rho_{c} = (6, 4, 2, 0, -2, -4, -6 | 7, 5, 3, 1, -1, -3, -5, -7)$$

$$\mu + 2\rho_{c} = (8, 6, 4, -2, -4, -6, -9 | 8, 6, 3, 1, -1, -5, -8, -10).$$

We obtain the following picture



Using the picture of  $\mu + 2\rho_c$  we can split the coordinates of  $\mu$  as follows

$$\mu = (\underbrace{g_1 \cdots g_1}_{r_1 \text{ times}} \cdots \underbrace{g_t \cdots g_t}_{r_t \text{ times}} | \underbrace{f_1 \cdots f_1}_{s_1 \text{ times}} \cdots \underbrace{f_t \cdots f_t}_{s_t \text{ times}})$$

where  $r_i$  is the number of p-coordinates and  $s_i$  the number of q-coordinates making up the i-th block of the

array of  $\mu + 2\rho_c$ , and

$$\mathbf{g}_1 \ge \mathbf{g}_2 \ge \dots \ge \mathbf{g}_t, \quad \mathbf{f}_1 \ge \mathbf{f}_2 \ge \dots \ge \mathbf{f}_t$$
  
 $\mathbf{r}_i \ge 0, \quad \mathbf{s}_i \ge 0, \quad \mathbf{i} = 1, \dots, t.$ 

Write

.

$$\lambda_{\mathbf{V}} = \lambda_{\mathbf{V}}(\mu) = \lambda_{\mathbf{V}}(\mathbf{X})$$

as in Proposition 2.4.7 in chapter 2.

Proposition 4.2.1. 1. The expression for  $\lambda_V(\mu)$  has the form

$$\lambda_{V} = (\underbrace{\lambda_{1} \dots \lambda_{1}}_{r_{1} \text{ times}} \dots \underbrace{\lambda_{t} \dots \lambda_{t}}_{r_{t} \text{ times}} | \underbrace{\lambda_{1} \dots \lambda_{1}}_{s_{1} \text{ times}} \dots \underbrace{\lambda_{t} \dots \lambda_{t}}_{s_{t} \text{ times}})$$

where

$$\lambda_1 > \lambda_2 > \ldots > \lambda_t.$$

2. Let

$$\begin{split} \Delta(\ell_{\mathrm{V}}) &= \Delta(\ell_{\mathrm{V}}, t^{\mathrm{C}}) \\ &= \{ \alpha \in \Delta \mid \langle \lambda_{\mathrm{V}}, \alpha \rangle = 0 \}. \end{split}$$

Then

$$\iota_{\mathbf{V}}(\mathbf{X}) = (\boldsymbol{\theta}_{\alpha \in \boldsymbol{\Lambda}(\boldsymbol{\ell}_{\mathbf{V}})} \ \mathbb{C}\mathbf{X}_{\alpha}) \ \boldsymbol{\theta} \ \boldsymbol{\iota}^{\mathbf{C}}$$
$$= \mathfrak{o}(\boldsymbol{u}(\mathbf{r}_{1}, \mathbf{s}_{1}) \ \boldsymbol{\theta} \ \dots \ \boldsymbol{\theta} \ \boldsymbol{u}(\mathbf{r}_{t}, \mathbf{s}_{t})).$$

Example. In our current example we have

$$\mu = (\underbrace{2.2, 2}_{r_1}, \underbrace{-2, -2, -2}_{r_5}, \underbrace{-3}_{r_6} | \underbrace{1, 1, 0}_{r_1}, \underbrace{0}_{r_6}, \underbrace{0}_{r_5}, \underbrace{-2, -3, -3}_{r_6} | \underbrace{1, 1, 0}_{r_1}, \underbrace{0}_{r_2}, \underbrace{0}_{r_3}, \underbrace{-2, -3, -3}_{r_6} | \underbrace{1, 1, 0}_{r_5}, \underbrace{0}_{r_5}, \underbrace{0}_{r_5}, \underbrace{-2, -3, -3}_{r_6} | \underbrace{1, 1, 0}_{r_5}, \underbrace{0}_{r_5}, \underbrace{0}_{r_5}, \underbrace{0}_{r_5}, \underbrace{-2, -3, -3}_{r_5} | \underbrace{1, 1, 0}_{r_5}, \underbrace{0}_{r_5}, \underbrace{0}_{r_5}, \underbrace{0}_{r_5}, \underbrace{-2, -3, -3}_{r_5} | \underbrace{1, 1, 0}_{r_5}, \underbrace{0}_{r_5}, \underbrace{0}_{r_5}, \underbrace{0}_{r_5}, \underbrace{-2, -3, -3}_{r_5} | \underbrace{1, 1, 0}_{r_5}, \underbrace{0}_{r_5}, \underbrace{0}_{r_5}, \underbrace{0}_{r_5}, \underbrace{-2, -3, -3}_{r_5} | \underbrace{1, 1, 0}_{r_5}, \underbrace{0}_{r_5}, \underbrace{0}_{r_5$$

Note 
$$r_3 = 0$$
.  
Let  $\lambda_V = \mu + 2\rho_c - \rho$   
=  $(1, 1, 1, -1, -2, -2, -3 \mid 2, 2, 1, 0, -1, -2, -3, -3)$ .

Then

$$\lambda_{V} = \begin{pmatrix} 3 & 3 \\ \frac{3}{2}, \frac{3}{2}, & 1 \\ r_{1} & r_{2} & r_{4} \end{pmatrix} \begin{pmatrix} -1 & -2 & -2 & -3 \\ r_{5} & r_{6} & r_{6} \end{pmatrix} \begin{pmatrix} 3 & 3 & 3 \\ \frac{3}{2}, \frac{3}{2}, & 1 \\ \frac{3}{2}, \frac{3}{2}, & \frac{1}{2} \end{pmatrix} \begin{pmatrix} 0 & -1 & -2 & -3 & -3 \\ \frac{3}{2}, \frac{3}{2}, & \frac{1}{2} & \frac{3}{2} \end{pmatrix}$$

and

 $\iota_{V}(X) \cong \mathfrak{s}(\mathfrak{u}(2,2)\oplus\mathfrak{u}(1,1)\oplus\mathfrak{u}(1)\oplus\mathfrak{u}(1,1)\oplus\mathfrak{u}(2,1)\oplus\mathfrak{u}(1,2)).$ 

Proof. Take a block of the form 1. Then coordinatewise we have

$$\mu + 2\rho_{c} = (\dots, r, r-2, \dots, r-2k, \dots | \dots, r, r-2, \dots, r-2k, \dots)$$

$$\rho = (\dots, s, s-2, \dots, s-2k, \dots | \dots, s-1, s-3, \dots, s-2k-1, \dots)$$

$$\widetilde{\lambda}_{V} = \mu + 2\rho_{c} - \rho$$

$$= (\dots, r-s, r-s, \dots, r-s \dots | \dots, r-s+1, \dots, r-s+1, \dots)$$

Let

$$\{\mathbf{e}_{\mathbf{m}}^{-}\mathbf{e}_{\mathbf{p}+\boldsymbol{\ell}};\mathbf{e}_{\mathbf{p}+\boldsymbol{\ell}}^{-}\mathbf{e}_{\mathbf{m}+1};\ldots;\mathbf{e}_{\mathbf{m}+\mathbf{k}}^{-}\mathbf{e}_{\mathbf{p}+\boldsymbol{\ell}+\mathbf{k}}\}$$

be the set of simple roots making up this block. Choose

$$\{\beta_i = e_{m+i-1} - e_{p+\ell+i-1} \mid i = 1, \dots, k+1\}.$$

Then

$$-\mathbf{c}_{\mathbf{i}} = -\langle \widetilde{\lambda}_{\mathbf{V}}, \beta_{\mathbf{i}} \rangle = -1.$$

Also

$$\langle \beta_{i}, \beta_{j} \rangle = \delta_{ij}$$

and

 $\sim$ 

$$\langle \widetilde{\lambda}_{V} + \frac{c_{i}}{2} \beta_{i}, \beta_{i} \rangle = 0.$$

Let  $\{\beta_1, \ldots, \beta_{k_1}, \ldots, \beta_{k_r}\}$  be the subset of imaginary noncompact positive roots chosen this way from all blocks of the form 1. For blocks 2 we can choose the same kind of subset of simple roots. In this case

$$c_i = -\langle \widetilde{\lambda}_V, \beta_i \rangle = 0$$

since coordinatewise, we have

 $\mu + 2\rho_{c} = (..., r, r-2, ..., r-2k, ...)$ 

 $\dots, r-1, r-3, \dots, r-2k+1, \dots$ 

 $\rho = (\ldots, s, s-2, \ldots, s-2k, \ldots | \ldots, s-1, s-3, \ldots, s-2k+1, \ldots)$ 

$$\lambda_{V} = (\ldots, r-s, r-s, \ldots, r-s, \ldots | \ldots, r-s, r-s, \ldots, r-s, \ldots)$$

A similar situation occurs in cases 3-5. Choose all the

simple roots of the form  $e_a - e_{p+b}$  involved in blocks 3. For cases 4 and 5 choose all those of the form  $e_{p+b} - e_a$ . For all these subsets

$$\mathbf{c}_{\mathbf{i}} = -\langle \widetilde{\lambda}_{\mathbf{V}}, \boldsymbol{\beta}_{\mathbf{i}} \rangle = 0.$$

It is clear that the union  $\Pi$  of these 5 kinds of subsets is a set of strongly orthogonal simple imaginary noncompact roots. Define

$$\lambda_{\mathbf{V}} = \widetilde{\lambda}_{\mathbf{V}} + \frac{1}{2} \sum_{\boldsymbol{\beta}_{\mathbf{i}} \in \boldsymbol{\Pi}} \mathbf{c}_{\mathbf{i}} \boldsymbol{\beta}_{\mathbf{i}}.$$

a) - d) of Proposition 2.4.7 are clear. Let

.

$$\Delta^{+} \cap \beta_{1}^{\perp} = \alpha \in \Delta^{+} | \langle \alpha, \beta_{1} \rangle = 0 \}$$
$$= \Delta^{+} \setminus \{ \mathbf{e}_{i} - \mathbf{e}_{m}; \mathbf{e}_{m} - \mathbf{e}_{j}; \mathbf{e}_{i} - \mathbf{e}_{p+\ell}; \mathbf{e}_{p+\ell} - \mathbf{e}_{j} \}$$
$$\epsilon_{0}^{1} = \epsilon_{0}^{\beta_{1}}$$

then  $t_0^1$  can be identified with the set

$$\{(\mathbf{x}_1,\ldots,\mathbf{x}_p | \mathbf{x}_{p+1},\ldots,\mathbf{x}_n) \in t_0 | \mathbf{x}_m = \mathbf{x}_{p+\ell}\} = \beta_1^{\perp}.$$

So if 
$$\mu^{1} = \mu \Big|_{t^{1}} = \mu \Big|_{g^{1} \cap t}$$
  
 $\mu^{1} = (a_{1}, \dots, \frac{a_{m}^{+b} \iota}{2}, \dots, a_{p} \Big|_{b_{1}}, \dots, \frac{a_{m}^{+b} \iota}{2}, \dots, b_{q}).$ 

To verify e) of proposition 2.4.7 in chapter 2 we use the following lemmas.

Lemma 4.2.2 (Schmid [1975]) (see Vogan [1981] p. 247). If  $\rho_{c}^{1} = \rho(\Lambda^{+}(k^{1}, t^{1})),$ 

$$\Delta^+(g^1,h^1) = \Delta^+(g) \cap \beta_1^\perp$$

and

$$\rho^1 = \rho(\Delta^+(g^1,h^1))$$

then

-

$$2\rho_{\rm c}^1 - \rho^1 = (2\rho_{\rm c}^{-\rho}) \Big|_{t^1}.$$

So

$$\begin{split} \widetilde{\lambda}_{\mathbf{V}}^{1} &= \mu^{1} + 2\rho_{\mathbf{c}}^{1} - \rho^{1} \\ &= \widetilde{\lambda}_{\mathbf{V}} \Big|_{t^{1}} \\ &= \widetilde{\lambda}_{\mathbf{V}} + \frac{1}{2} \mathbf{c}_{1} \beta_{1}. \end{split}$$

Lemma 4.2.3 (Vogan [1981], p. 249).  $\mu^1 + 2\rho_c^1$  is dominant for  $\Lambda^+(g^1, h^1)$ .

So 2.4.7, e) is clear. Now it is straightforward to verify Proposition 4.2.1.

4.3. The Lowest K-types of the Modules  $A_q(\lambda)$ .

In this section we obtain necessary and sufficient conditions for a representation of K to be the LKT of one of the g-modules  $A_{g}(\lambda)$ .

If  $x = (x_1, \ldots, x_n) \in i(t_0^c)^*$  we obtain a  $\theta$ -stable parabolic subalgebra q(x) = l(x) + u(x) as in 2.3. After replacing x by a conjugate under W(K,T), we may assume it is of the form

$$\mathbf{x} = (\underbrace{\mathbf{x}_{1}, \dots, \mathbf{x}_{1}}_{\mathbf{p}_{1}}, \underbrace{\mathbf{x}_{2}, \dots, \mathbf{x}_{2}}_{\mathbf{p}_{2}}, \dots, \underbrace{\mathbf{x}_{t}, \dots, \mathbf{x}_{t}}_{\mathbf{p}_{t}}|_{\mathbf{p}_{t}}|$$

$$\underbrace{\underbrace{\mathbf{x}_{1}, \dots, \mathbf{x}_{1}}_{\mathbf{q}_{1}}, \dots, \underbrace{\mathbf{x}_{t}, \dots, \mathbf{x}_{t}}_{\mathbf{q}_{t}})_{\mathbf{q}_{t}}$$

such that

.

 $x_1 > x_2 > \ldots > x_t; \quad p_i, q_j \ge 0 \quad \text{and}$   $\sum p_i = p \quad \text{and} \quad \sum q_i = q.$ 

Then

$$\ell(\mathbf{x}) \equiv \mathfrak{o}(\mathfrak{u}(\mathbf{p}_1, \mathbf{q}_1) \oplus \mathfrak{u}(\mathbf{p}_2, \mathbf{q}_2) \oplus \ldots \oplus \mathfrak{u}(\mathbf{p}_t, \mathbf{q}_t)).$$

Clearly

$$2\rho(u \cap p) = (\underbrace{\mathbf{r}_{1}, \ldots, \mathbf{r}_{1}}_{p_{1}}, \underbrace{\mathbf{r}_{2}, \ldots, \mathbf{r}_{2}}_{p_{2}}, \ldots, \underbrace{\mathbf{r}_{t}, \ldots, \mathbf{r}_{t}}_{p_{t}})$$

$$\underbrace{\underbrace{\mathbf{s}_{1}, \ldots, \mathbf{s}_{1}}_{q_{1}}, \underbrace{\mathbf{s}_{2}, \ldots, \mathbf{s}_{2}}_{q_{2}}, \ldots, \underbrace{\mathbf{s}_{t}, \ldots, \mathbf{s}_{t}}_{q_{t}})}_{q_{t}}$$

and

$$2p(u \cap k) = (\underbrace{s_1, \dots, s_1}_{p_1}, \underbrace{s_2, \dots, s_2}_{p_2}, \dots, \underbrace{s_t, \dots, s_t}_{p_t}|_{p_t}$$
$$\underbrace{\frac{r_1, \dots, r_1, r_2, \dots, r_2}{q_1}, \underbrace{q_2}_{q_2}, \dots, \underbrace{r_t, \dots, r_t}_{q_t}).$$
where  $r_i = -(q_1 + q_2 + \dots + q_{i-1}) + q_{i+1} + \dots + q_t$ 

$$s_j = -(p_1 + p_2 + \dots + p_{j-1}) + p_{j+1} + \dots + p_t.$$

Let  $\mu \in i(t_0^c)^*$  be the highest weight of a representation of K.

Write  $q \cap k = (q \cap k)(\mu)$ .  $2p(u \cap k)$  as above, for  $x = \mu$ , and  $\mu' = \mu + 2p(u \cap k)$ . (Note that  $q(\mu) \neq q(\mu')$  but their compact parts coincide.)

Write

Proposition 4.3.1. In the above setting, let  $n_i = k_i + \ell_i$ . Then  $\mu$  is the LKT of an  $A_q(\lambda)$  iff  $z_i - z_{i+1} \ge n_i + n_{i+1}$ .

Proof. Suppose that  $\mu = \lambda + 2\rho(u \cap p)$  for some q = l + uand  $\lambda \in (t^{c})^{*}$ , the weight of a unitary admissible onedimensional character of L, satisfying 2.5.1 a), b). Then  $\lambda$  is orthogonal to the roots of  $t^{c}$  in l and it is positive on the  $t^{c}$ -roots in u.

By Proposition 2.5.6 and its proof we may assume that  $\mu$  determines  $q' \cap k$  and  $\mu'$  determines q'. So  $q' = q(\mu')$  and if  $\lambda$  satisfies 2.5.1, then

$$\lambda = (\underbrace{\lambda_1, \dots, \lambda_1}_{k_1}, \underbrace{\lambda_2, \dots, \lambda_2}_{k_2}, \dots, \underbrace{\lambda_a, \dots, \lambda_a}_{k_a}]$$

$$\underbrace{\overset{\lambda_1,\ldots,\lambda_1}{\underset{\ell_1}{\underbrace{\scriptstyle\ell_2}}},\overset{\lambda_2,\ldots,\lambda_2}{\underset{\ell_2}{\underbrace{\scriptstyle\ell_2}}},\ldots,\overset{\lambda_a,\ldots,\lambda_a}{\underset{\ell_a}{\underbrace{\scriptstyle\ell_a}}}).$$

with

$$\lambda_1 \geq \lambda_2 \geq \ldots \geq \lambda_t.$$

Suppose that  $\mu = \lambda + 2\rho(u \cap p)$ , then  $\mu' = \lambda + 2\rho(u)$ ;

$$z_i = \lambda_i + (-n_1 - n_2 - \dots - n_{i-1}) + n_{i+1} + \dots + n_t$$

and

$$z_{i} - z_{i+1} = \lambda_{i} - \lambda_{i+1} + n_{i} + n_{i+1} \ge n_{i} + n_{i+1}$$

On the other hand if  $\mu$  satisfies  $z_i - z_{i+1} \ge n_i + n_{i+1}$ , then let  $\lambda_i = z_i + n_1 + \ldots + n_{i-1} - (n_{i+1} + \ldots + n_t)$  and  $q' = q(\mu')$ ,

i.e. 
$$\Delta(q') = \{ \alpha \in \Delta(q, t^{c}) \mid \langle \mu', \alpha \rangle > 0 \} \cup$$

$$\{\alpha \in \Delta(g, t^{\mathbf{C}}) \mid \langle \mu', \alpha \rangle = 0\}$$

$$= \Delta(u') \cup \Delta(\iota').$$

Then if

$$\lambda = (\underbrace{\lambda_1, \dots, \lambda_1}_{k_1}, \dots, \underbrace{\lambda_t, \dots, \lambda_t}_{k_t})$$
$$\underbrace{\lambda_1, \dots, \lambda_1}_{\ell_1}, \dots, \underbrace{\lambda_t, \dots, \lambda_t}_{\ell_t}).$$

## <\lambda, \Delta (u') > \ge 0

$$\langle \lambda, \Delta(\iota') \rangle = 0$$

and  $\mu = \mu' - 2\rho(u' \cap k) = \lambda + 2\rho(u' \cap p)$ . q.e.d.

4.4. The parameters  $\lambda_{V}(A_{q}(\lambda))$  and  $\ell_{v}(A_{q}(\lambda))$ 

By definition  $A_q(\lambda) = \Re_q^s(\mathbb{C}_{\lambda})$ , where  $s = \dim u \cap k$ . By definition 2.5.1, since  $\lambda$  is perpendicular to the roots of  $\ell$ ,  $\lambda \in [\operatorname{center}(\ell)]^*$ . The LKT of  $A_q(\lambda)$  has highest weight  $\mu = \lambda + 2\rho(u \cap p)$ .

By Lemma 2.4.23,  $\lambda_V^G(A_q(\lambda)) = \lambda_V^L(\mathbb{C}_\lambda) + \rho(u)$ . Assume first that

$$\lambda = (\underbrace{0 \dots 0}_{p} | \underbrace{0 \dots 0}_{q}) \quad \text{and} \quad \ell = g$$

then  $\lambda + 2\rho_c = (p-1, \dots, -p+1 | q-1, \dots, -q+1)$  which gives a picture (say  $p \ge q$ )

$$(if p = q+2k+1)$$

(4.4.1)

Then

$$\lambda_{V}(\mathbb{C}) = \begin{cases} \left[\frac{p-q-1}{2}, \frac{p-q-3}{2}, \dots, \frac{1}{2}, \frac{0 \dots 0}{q}, -\frac{1}{2}, \dots, \frac{-p+q+1}{2} \mid 0 \dots 0 \\ q & q \end{cases}; \\ (p \equiv q \pmod{2}) \\ \left[\frac{p-q-1}{2}, \dots, 1, \frac{0 \dots 0}{q+1}, -1, \dots, \frac{-(p-q-1)}{2} \mid 0 \dots 0 \\ q & q \end{cases}; \\ (p \equiv q+1 \pmod{2}) \end{cases} \end{cases}$$

So if  $\lambda = (a, ..., a | a, ..., a)$  and  $\ell = g$ ,  $\lambda + 2\rho_c$  will give the same picture and

$$\lambda_{V}(\mathbb{C}_{\lambda}) = \left[a + \frac{p-q-1}{2}, a + \frac{p-q-3}{2}, \dots, a + \frac{1}{2} + \frac{\epsilon}{2} \underbrace{a \dots a}_{q+\epsilon}\right]$$
$$a - \frac{1}{2} - \frac{\epsilon}{2}, \dots, a - \frac{(p-q-1)}{2} \begin{vmatrix} a \dots a \\ q \end{vmatrix} \qquad p = q+\epsilon \quad \epsilon = 1, 0.$$

Now suppose

$$a_1 \ge a_2 \ge \ldots \ge a_t, \quad \ell = \mathfrak{s}(\mathfrak{u}(p_1, q_1) \oplus \ldots \oplus \mathfrak{u}(p_t, q_t))$$

and 
$$\lambda = (\underbrace{a_1, \ldots, a_1}_{p_1}, \underbrace{a_2, \ldots, a_2}_{p_2}, \ldots, \underbrace{a_t, \ldots, a_t}_{p_t})$$
  
 $\underbrace{a_1, \ldots, a_1}_{q_1}, \underbrace{a_2, \ldots, a_2}_{q_2}, \ldots, \underbrace{a_t, \ldots, a_t}_{q_t}).$ 

Clearly

$$2\rho_{\ell \cap k} = (p_1^{-1}, \dots, p_1^{+1}, p_2^{-1}, \dots, p_2^{+1}, \dots, p_t^{-1}, \dots, p_t^{+1})$$
$$q_1^{-1}, \dots, q_1^{+1}, \dots, q_t^{-1}, \dots, q_t^{+1}).$$

So on the  $(p_i,q_i)$ -coordinates we have a similar picture and

 $\lambda_{V}(\mathbb{C}_{\lambda}) =$ 

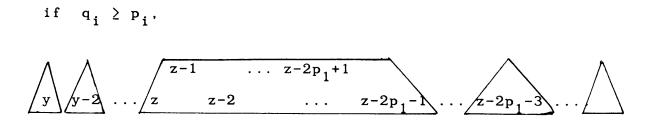
$$\left[\dots a_{i} + \frac{p_{i} - q_{i} - 1}{2}, \dots, a_{i} + \frac{1 + \epsilon_{i}}{2}, a_{i} \dots a_{i}, a_{i} - \left(\frac{1 + \epsilon_{i}}{2}\right) \dots a_{i} - \frac{(p_{i} - q_{i} - 1)}{2}\right]$$

$$q_{i} + \epsilon_{i}$$

$$p_{i}$$

$$\dots | \dots a_i \dots a_i \dots |$$

if say,  $p_i = q_i + 2k + \epsilon_i$ ,  $\epsilon_i = 0, 1, k \ge 0$ . Also the picture for  $\lambda + 2\rho_{i \cap k}$  will have pictures like (4.4.1) or,



(4.4.2)

$$\underbrace{\bigwedge_{y}}_{y=2} \dots \underbrace{\begin{bmatrix} z & z-2 & \dots & z-2p_1+1 \\ z & z-2 & \dots & z-2p_1+1 \end{bmatrix}}_{z=2p_1+1} \bigwedge \dots \bigwedge$$

Now

$$\rho(u) = (\underbrace{u_1, \ldots, u_1}_{p_1}, \ldots, \underbrace{u_t, \ldots, u_t}_{p_t} | \underbrace{u_1, \ldots, u_1}_{q_1}, \ldots, \underbrace{u_t, \ldots, u_t}_{q_t})$$

So

$$\ell_{V}(A_{q}(\lambda)) = \mathfrak{s}\left[ \prod_{i=1}^{t} \left[ (\mathfrak{u}(1)^{d_{i}} \times \mathfrak{u}(r_{i}, s_{i}) \times (\mathfrak{u}(1))^{d_{i}} \right] \right]$$
$$\subseteq \prod_{i=1}^{t} \left( (\mathfrak{u}(p_{i}, q_{i})) \right]$$

where

$$r_i = min(p_i, q_i) + \epsilon_i$$

 $(\epsilon_i = 1 \text{ if } p_i \equiv q_i + 1 \pmod{2} \text{ and } p_i > q_i; \epsilon_i = 0$ 

otherwise)

$$s_i = min(p_i, q_i) + \delta_i$$

$$(\delta_i = 1 \text{ if } p_i \equiv q_i + 1 \text{ and } q_i > p_i; \delta_i = 0 \text{ otherwise})$$

$$2d_i + r_i + s_i = p_i + q_i.$$

4.5. Proof of Theorem 2.6.7. for G = SU(p,q).

Suppose  $X \in \mathcal{A}(q, K)$  is as in Theorem 2.6.7, with infinitesimal character  $\gamma \in (h^{c})^{*}$ , and let  $\mu \in (t_{0}^{c})^{*}$  be the highest weight of a LKT of X.

Let's consider a slightly different splitting of the coordinates of  $\mu$  than that of Section 4.2:

$$\mu = (\underbrace{x_1, \dots, x_1}_{p_1}, \underbrace{x_2, \dots, x_2}_{p_2}, \dots, \underbrace{x_t, \dots, x_t}_{p_t}]$$
$$\underbrace{\underbrace{y_1, \dots, y_1}_{q_1}, \underbrace{y_2, \dots, y_2}_{q_2}, \dots, \underbrace{y_s, \dots, y_s}_{q_s})}_{q_s}$$

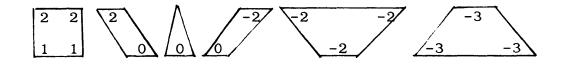
so that 
$$x_1 > x_2 > \dots > x_t$$
  
 $y_1 > y_2 > \dots > y_s$ 

-

but here  $p_i, q_j > 0$ , that is, this splitting is not necessarily compatible with the blocks given by  $\mu + 2\rho_c$ .

It is convenient to draw a picture of the coordinates of  $\mu$  with the same blocks obtained from  $\mu + 2\rho_c$ .

In the example of section 4.2 this means



But now, the splitting of  $\mu$  is

$$\mu = (\underbrace{2 \ 2 \ 2}_{p_1}, \underbrace{-2 \ -2 \ -2}_{p_2}, \underbrace{-3}_{p_3}, \underbrace{1 \ 1}_{q_1}, \underbrace{0 \ 0 \ 0}_{q_2}, \underbrace{-2 \ -3 \ -3}_{q_4})$$

We are going to study what happens around the first  $p_1$  coordinates of  $\mu$ .

We may assume that either

$$x_1 + p - 1 > y_1 + q - 1$$

or

$$x_1 + p - 1 = y_1 + q - 1$$
 and  $p_1 \ge q_1$ ,

otherwise we can interchange p and q.

If  $p_1 < p$  we can have the following configurations for  $\mu$ 

$$\overbrace{\begin{array}{c} \begin{array}{c} x_1 \cdots \\ y_1 \cdots \\ y_1 \cdots \\ y_1 \cdots \\ q_1 \end{array}}^{p_1} \\ \begin{array}{c} x_2 \cdots \\ y_2 \cdots \\ y_2 \end{array} \\ y_2 \end{array} \\ y_2 \end{array} \\ y_2 \cdots \\ y_2 \end{array}$$

or 
$$\begin{array}{c} \begin{array}{c} & \mathbf{p}_1 \\ & & \\ \hline \mathbf{x}_1 & \dots & \mathbf{x}_1 \end{array} \end{array} \begin{pmatrix} \mathbf{x}_2 & \dots \\ & & \\$$

2. 
$$x_1$$
 ...  $x_1$   $x_1$  ...  $x_1$   $x_2$  ...  $y_{i-1}$   $y_i$  ...  $y_i$   $z$ 

where 
$$y_{i-1} > y_i \ge z$$
.

1.

3. 
$$x_1 \cdots x_1 \quad x_1 \cdots x_1 \quad x_2 \cdots$$
  
 $\cdots \quad y_{i-1} \quad y_i \cdots y_i \quad z$ 

with 
$$y_{i-1} > y_i \ge z$$
.

4. 
$$x_1 \cdots x_1 \cdots x_1 \cdots x_j$$
  $x_j \cdots x_j$   $z \cdots y_1$   
 $y_1 \cdots y_1$   $y_1 \cdots y_1$   $y_2$   
 $x_1 > x_j \ge z$   
5.  $x_1 \cdots x_1 \cdots x_j$   $x_j \cdots x_j$   $z \cdots y_1$   
 $y_1 \cdots y_1$   $y_1 \cdots y_1$   $y_2$ 

 $x_1 > x_j \ge z$ .

The blocks in these pictures are simple factors of  $\ell_V(X)$ .

Note that if  $\mu$  is the LKT of an  $A_q(\lambda)$ , we must be in case 1. So we have to check that we can find a reductive subgroup  $L \subseteq G$ , and embed X as the Langlands submodule of a Zuckerman module coming from a representation of L; but in such a way that, in the case of an  $A_q(\lambda)$ , the signature of the Hermitian form is preserved under the derived functor, and in the case when we don't have an  $A_q(\lambda)$ . Dirac inequality fails on  $\mu^L$ , the LKT of the representation of L, and the  $(L\cap K)$ -types involved in the indefiniteness of the form on L, and occurring in  $V_{\mu L} \otimes (\ell \cap \rho)$  with highest weight  $\eta^L = \mu^L + \beta$  will be such that  $\eta^L + 2\rho(\ell \cap \rho)$  is dominant.

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On the other hand, for cases 2. - 5. we need to prove non-unitarity. In each case a group L will be found as in 1, making sure that a) - c) of Theorem 2.6.7 hold.

All this will reduce the problem to the case  $p_1 = p$ . In this case we have two configurations

 $y_1 > y_k \ge z$ .

7. 
$$\mathbf{x}_1$$
  $\begin{pmatrix} \cdots & \mathbf{x}_1 \\ \mathbf{y}_1 & \cdots & \mathbf{y}_1 \end{pmatrix}$   $\cdots$   $\begin{pmatrix} \mathbf{x}_1 & \cdots \\ \mathbf{y}_{\mathbf{s}} & \cdots & \mathbf{y}_{\mathbf{s}} \end{pmatrix}$   $\mathbf{x}_1$   $\cdots$   $\mathbf{x}_1$ 

Case 6. can be included in either 2. or 3. and case 7. will be dealt with similarly.

Note that as soon as we have shown that a) of Theorem 2.6.7 holds, then by Lemma 2.7.4 the representation of L in question, as well as its Hermitian dual, have a Hermitian form.

For 1, let  $\ell = \mathfrak{s}(\mathfrak{u}(p_1, q_a) \oplus \mathfrak{u}(p-p_1, q-q_a))$ , here  $q_a$  is either  $q_1$  or 0.

Then  $\ell \supseteq \ell_V$  and by Proposition 2.4.15, if  $q_0 = \ell \cap q_V = \ell_V + \ell \cap u_V$ ,

$$\mathfrak{A}^{q}_{q}[\mathfrak{A}_{q_{0}}(X_{L_{V}})) \cong \mathfrak{A}^{q}_{q_{V}}(X_{L_{V}}).$$

Assume that  $X_L = \Re_{q_0}(X_{L_V}) \cong A_{q_1}(\lambda)$ . Define  $q_2$  by  $u_2 = u + u_1$ 

$$q_2 = q_1 + u = l_1 + u_1 + u$$
.

Then

$$\mathfrak{R}^{\boldsymbol{g}}_{\boldsymbol{q}}(\mathbf{A}_{\boldsymbol{q}_{1}}(\boldsymbol{\lambda})) \cong \mathfrak{R}^{\boldsymbol{g}}_{\boldsymbol{q}_{2}}(\mathbb{C}_{\boldsymbol{\lambda}}),$$

Proposition 2.4.15 again.

To see that  $\Re_{q_2}^{g}(\mathbb{C}_{\lambda})$  is a module  $A_{q_2}(\mathbb{C}_{\lambda})$  we need to prove that  $\langle \lambda, \alpha \rangle \geq 0$  for all  $\alpha \in \Lambda(u_2)$ . But, by Lemma 2.4.22,

$$\lambda_{\mathbf{V}} = \lambda + \rho(u) + \rho_{\mathbf{m}}L$$

$$= \lambda + \rho(u) + \rho_{l} - \rho_{l}^{res}$$

Hence 
$$\lambda + \rho = \lambda_V + \rho_U^{res}$$
.

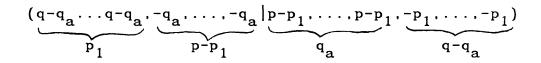
By Proposition 2.4.16, the infinitesimal character of  $\Re_a(X_L)$  is  $\lambda + \rho$ , and it is regular.

Hence,  $\lambda$  is dominant  $\Leftrightarrow \lambda + \rho$  is dominant  $\Leftrightarrow \langle \lambda + \rho, \alpha \rangle = \langle \lambda_{V} + \rho_{L}^{res}, \alpha \rangle \ge 0$  for all  $\alpha$  positive. In particular, if  $\alpha \in \Delta(u_{2})$ 

$$\langle \lambda_{V} + \rho_{l}^{res}, \alpha \rangle = \langle \lambda_{V}, \alpha \rangle + \langle \rho_{l}^{res}, \alpha \rangle \geq 0.$$

Now

 $2\rho(u\cap p) =$ 



Set

$$\mu^{L} = \mu - 2\rho(u \cap p) =$$

$$\underbrace{(\underbrace{u_1,\ldots,u_1}_{p_1},\ldots,\underbrace{u_t,\ldots,u_t}_{p_t}|\underbrace{v_1,\ldots,v_1}_{q_1},\ldots,\underbrace{v_s,\ldots,v_s}_{q_s})}_{q_s}$$

with  $u_i > u_{i+1}$ ,  $v_j > v_{j+1}$ .

By c) of Lemma 2.4.23  $\mu^L$  is the highest weight of a LKT of the module  $X_{\rm L}$  .

Since  $p_1 < p$  then  $L \neq G$  and dim  $L < \dim G$ . Hence, by induction, Theorem 1.3 implies that there exists an  $L \cap K$ -type  $V_{\eta L}$  in  $V_{\mu L} \otimes (\ell \cap p)$  such that, on  $V_{\mu L} \oplus V_{\mu L}$  the Hermitian form is indefinite.

Now, the weights in  $\ell \cap p$  are the roots

$$\Delta(\ell \cap p) = \left\{ \pm (\underbrace{0 \dots 0 \ 1 \ 0 \dots 0}_{p_1} \underbrace{0 \dots 0}_{p-p_1} \underbrace{0 \dots 0}_{q-q_a} \underbrace{0 \dots 0}_{0$$

i f

 $q_a = q_1$ 

or

$$\Delta(\ell \cap p) = \left\{ \pm (\underbrace{0 \dots 0}_{p_1} \underbrace{0 \dots 0 \ 1 \ 0 \dots 0}_{p-p_1} | \underbrace{0 \dots 0 \ -1 \ 0 \dots 0}_{q}) \right\}$$

if 
$$q_a = 0$$

A highest weight of an  $L \cap K$ -type in  $\bigvee_{\mu} U \otimes (\ell \cap p)$  is then of the form  $\mu^{L} + \beta$  for some  $\beta \in \Delta(\ell \cap p)$ . So the candidates for highest weights are the weights

$$\eta^{L} = (u_{1}, \dots, u_{1}, \dots, u_{j}^{+1}, \underbrace{u_{j}, \dots, u_{j}}_{p_{j}^{-1}}, \dots | \dots \underbrace{v_{k}, \dots, v_{k}}_{q_{k}^{-1}}, v_{k}^{-1} \dots)$$

$$p_j \neq p_1 \qquad q_k \neq q_a$$

or

$$\eta^{\mathrm{L}} = (\mathbf{u}_{1}^{+1}, \mathbf{u}_{1}^{-1}, \dots, \mathbf{u}_{1}^{-1}, \dots, | \underbrace{\mathbf{v}_{a}^{-1}}_{\mathbf{q}_{a}^{-1}} \mathbf{v}_{a}^{-1} \dots ).$$

So

$$\eta = (\mathbf{x}_1 \dots \mathbf{x}_1, \dots \mathbf{x}_{j+1}, \underbrace{\mathbf{x}_j \dots \mathbf{x}_j}_{\mathbf{p}_j - 1} \dots | \dots \underbrace{\mathbf{y}_k \dots \mathbf{y}_k}_{\mathbf{q}_k - 1} \mathbf{y}_k^{-1}, \dots)$$

or

$$\eta = (x_1 + 1 \underbrace{x_1, \dots, x_1}_{p_1 - 1}, x_2 \dots | \underbrace{y_1 \dots y_1}_{q_a - 1} y_1 - 1 \dots)$$

are dominant.

This completes the proof of Theorem 2.6.7 for this case.

To prove the result for cases 2. - 5. we need the following lemmas.

Lemma 4.5.1. If G = U(m,m) and  $\mu = (a+1,...,a+1|a...a)$ , then the Dirac operator inequality fails for

$$\rho_n^+ = (\frac{m}{2}, \dots, \frac{m}{2} | \frac{-m}{2}, \dots, \frac{-m}{2}). \quad (Cfr. 2.7.1.)$$

Proof. Write  $\mu$  as  $\mu_c + \mu_s$  with

$$\mu_{c} \in (center g)^{*}$$
  $\mu_{s} \in g^{d} = [g,g].$ 

And 
$$\mu_{s} = (\underbrace{\frac{1}{2}, \ldots, \frac{1}{2}}_{m} | \underbrace{-\frac{1}{2}, \ldots, -\frac{1}{2}}_{m}) \quad \mu_{c} = (\underbrace{x \ldots x}_{m} | \underbrace{x \ldots x}_{m})$$

$$\omega(\mu_{s}-\rho_{n}^{+}) + \rho_{c} = (0, -1, ..., -m+1 | m-1, m-2, ..., 1, 0)$$

$$\langle \omega(\mu - \rho_{n}^{+}) + \rho_{c}, \omega(\mu - \rho_{n}^{+}) + \rho_{c} \rangle =$$

$$\langle \mu_{c}, \mu_{c} \rangle + \langle \omega(\mu_{s} - \rho_{n}^{+}) + \rho_{c}, \omega(\mu_{s} - \rho_{n}^{+}) + \rho_{c} \rangle.$$

If X is a (g,K)-module with infinitesimal character  $\gamma$ , then

 $\langle \gamma, \gamma \rangle \geq \langle \mu_{c}, \mu_{c} \rangle + \langle \rho, \rho \rangle$ 

And 
$$\langle \omega(\mu_{s}-\rho_{n}^{+})+\rho_{c}, \omega(\mu_{s}-\rho_{n}^{+})+\rho_{c} \rangle \langle \langle \rho, \rho \rangle.$$

.

q.e.d.

Lemma 4.5.2. If G = U(m+1,m),  $\mu$  = (b+1,...,b+1|b...b). m+1 m

Then Dirac operator inequality fails for

$$\rho_{n}^{+} = \left(\underbrace{\frac{m}{2}, \ldots, \frac{m}{2}}_{m+1} \middle| \underbrace{\frac{-m-1}{2}, \ldots, \frac{-m-1}{2}}_{m} \right).$$

Proof. Write

-

$$\mu_{s} = \left[ 1 - \frac{m+1}{2m+1}, \dots, 1 - \frac{m+1}{2m+1} \mid -\frac{m+1}{2m+1}, \dots, -\frac{m+1}{2m+1} \right]$$
$$\mu_{c} = \left[ b + \frac{m+1}{2m+1}, \dots, b + \frac{m+1}{2m+1} \mid b + \frac{m+1}{2m+1}, \dots, b + \frac{m+1}{2m+1} \right]$$

and  $\mu = \mu_s + \mu_c$  as in Lemma 4.5.1. By the same argument as in the preceding Lemma we only need to show that

$$\langle \omega(\mu_{s}-\rho_{n}^{+})+\rho_{c}, \omega(\mu_{s}-\rho_{n}^{+})+\rho_{c} \rangle \langle \langle \rho, \rho \rangle$$

but

$$\rho_{\mathbf{c}} = \left[ \frac{\mathtt{m}}{2}, \frac{\mathtt{m}-2}{2}, \dots, \frac{\mathtt{m}}{2} \mid \frac{\mathtt{m}-1}{2}, \dots, \frac{\mathtt{m}+1}{2} \right];$$

$$\rho = (m, m-1, ..., -m)$$

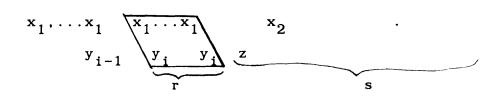
$$\mu_{s} - \rho_{n}^{+} + \rho_{c} = (1, 0, -1, \dots, -m+1 \mid m, m-1, \dots, 1) + \left[\frac{-(m+1)}{2m+1} \dots \right] \dots \left[\frac{-m+1}{2m+1}\right]$$

this proves the lemma.

q.e.d.

Now, for each case 2 - 5 we will choose a subgroup L so that we can reduce the problem to the cases discussed in Lemmas 4.5.1, 4.5.2.

2. Remember that we have the following picture:



where  $y_{i-1} > y_i \ge z$ .

Choose  $\ell = \mathfrak{s}(\mathfrak{u}(p_1 - r, q_1 + \ldots + q_{i-1}) \oplus \mathfrak{u}(r, r) \oplus \mathfrak{u}(p - p_1, s))$ obviously  $\ell_V \subseteq \ell$ . Let  $\mathfrak{u}' = \mathfrak{u}_V \cap \ell$ 

 $u = u_V - u'$  and q = l + u.

Let L = Normalizer of q in G, then

$$L \cong S(U(p_1-r,q_1+\ldots+q_{i-1})XU(r,r)XU(p-p_1,s)).$$

By Proposition 2.4.15 there is a representation  $X_L$ of L such that X is the Langlands subrepresentation of the standard module  $\Re_q^{\dim u \cap k}(X_L)$ .

Now,

$$2\rho(l\cap p) =$$

$$(\underbrace{a,\ldots,a}_{p_1-r},\underbrace{b,\ldots,b}_{r},\underbrace{c,\ldots,c}_{p-p_1}, | \underbrace{d,\ldots,d}_{q_1+\ldots+q_{i-1}}, \underbrace{e,\ldots,e}_{r},\underbrace{f,\ldots,f}_{s}).$$

By Lemma 2.4.23  $\mu^{L} = \mu - 2\rho(u \cap p)$  is the highest weight of a LKT of  $X_{L}$ .

We claim now that

$$\mu_{L} | U(\mathbf{r}, \mathbf{r}) = (\underbrace{\mathbf{x}+1, \ldots, \mathbf{x}+1}_{\mathbf{r}} | \underbrace{\mathbf{x}, \ldots, \mathbf{x}}_{\mathbf{r}}).$$

In fact

$$\mu_{L} |_{U(r,r)} = (x_{1}, \dots, x_{1} | y_{1}, \dots, y_{1}) - (-q_{1} - \dots - q_{i+1} + s, \dots) - q_{1} - \dots - q_{i-1} + s | p - p_{1} + r \dots)$$

$$= (x_{1} + q_{1} + \dots + q_{i-1} - s, \dots, x_{1} + q_{1} + \dots + q_{i-1} - s | y_{i} - p + 2p_{1} - r, \dots, y_{i} - p + 2p_{1} - r).$$

We know from the picture for  $\mu$  that

•

•

.

$$x_1 + p - 2p_1 = y_i + q - 2(q_1 + \dots + q_{i-1} + r) + 1 =$$

$$y_i + s - (q_i + ... + q_{i-1} + r) + 1.$$

So 
$$x_1 + q_1 + \dots + q_{i-1} - s - y_i + p - 2p_1 + r = 1$$
.

Hence, by Lemma 4.5.1 and 2 of Lemma 2.7.2,  $\exists \beta \in \Delta(u(\mathbf{r},\mathbf{r})\cap p^{-})$  such that the Hermitian form  $\langle , \rangle^{L}$  on  $\bigvee_{\mu L} \bigoplus_{\mu L+\beta} \bigvee_{\mu L+\beta}$  is indefinite. Now if  $\mu^{L} + \beta$  is dominant, necessarily

$$\beta = (0...0 - 1 | 1 0...0).$$

Also  $\mu + \beta = (x_1, \dots, x_1, x_1^{-1}, x_2, \dots | y_1, \dots, y_{i-1}^{y_i+1}, y_i^{-1})$ is dominant for  $\Delta(u \cap k)$ .

This proves Theorem 2.6.7 for case 2.

For 3, the picture that we had is

$$\overbrace{\begin{array}{c}x_{1} \cdots x_{1} \\ y_{i-1} \\ d\end{array}}^{p_{1}-r} \overbrace{\begin{array}{c}x_{1} \cdots x_{1} \\ y_{i} y_{i} \cdots y_{i}\end{array}}^{p-p_{1}} \overbrace{\begin{array}{c}x_{2} \\ z \\ q-d-r\end{array}}^{p-p_{1}} y_{i-1} \rightarrow y_{i} \rightarrow 2 z.$$

Let  $x = (a...a b...b c...c | a...a b...b c...c) \in it_0^*$  $p_1^{-r-1} r+1 p-p_1 d r q-d-r$ 

define a  $\theta$ -stable parabolic subalgebra as in 2.3, and L = Normalizer of q in G, then

$$\ell \cong u(p_1-r-1,d) \oplus u(r+1,r) \oplus u(p-p_1,q-d-r).$$

Note that  $l \not\geq l_V$ . However, Proposition 8.2.15 of Vogan [1981] p. 545 gives us that  $Y = \Re_q^s [\Re_{q_V}^l (X_{L_V})) = \Re_{q_V}^s (X_{L_V})$ , where  $s_V = \dim u_V \cap k$ . In fact, all we need to check is that if  $(q_V, H_V, \lambda_V, \nu_V)$  is the  $\theta$ -stable data attached to  $\Re_{q_V}^s (X_{L_V})$  then  $L \supseteq H_V$  and that q contains some Borel subalgebra of  $q_V$ .

This is clear by the picture of  $\mu + 2\rho_c$ . Then the infinitesimal character of Y is  $\gamma = (\lambda_V, \nu) \in h_V^*$ . Since

 $\gamma + \theta \gamma = (2\lambda_V, 0)$ , it is enough to show that  $\langle \lambda_V, \alpha \rangle \ge 0$ for  $\alpha \in \Lambda(u)$ . As before, it is straightforward to verify that if  $\mu^L = \mu - 2\rho(u \cap p)$  then

$$\mu^{L} | U(r+1,r) = (\underbrace{a+1,\ldots,a+1}_{r+1} | \underbrace{a\ldots a}_{r}).$$

Lemma 4.5.2 and 2) of Proposition 2.7.2 imply that the Hermitian form  $\langle , \rangle^{L}$  is indefinite on  $V \bigoplus_{\mu L} \psi_{\mu}^{L} + \beta$  with

$$\beta = (0, \ldots, 0 - 1 | 1, 0, \ldots, 0) \in \Delta(u(r+1, r) \cap p^{-}).$$

Also,  $\mu + \beta$  is again dominant for  $\Delta(u \cap k)$ . Hence Theorem 2.6.7 also holds for this case.

4 and 5 are solved in exactly the same way as 2 and 3, using  $\rho_{\vec{n}}^-$  and  $p^+$ .

So I have reduced the problem to the case

$$\mathbf{p}_1 = \mathbf{p}.$$

6 can be included in either 2 or 3.

For 7 write  $\mu = (a \ a \dots a | b_1 \dots b_1, b_2 \dots b_2 \dots b_t \dots b_t)$ the picture for  $\mu$  is

<sup>r</sup>1 <sup>q</sup>1<sup>+
$$\epsilon$$</sup>1 <sup>r</sup>2 <sup>q</sup>t<sup>+ $\epsilon$</sup> t <sup>r</sup>t+1  
a...a a...a a...a a...a a...a bt...b<sub>t</sub> a...a  
<sup>q</sup>1 <sup>q</sup>t

with  $\epsilon_j = 0, 1$ 

$$p = \sum_{1}^{t+1} r_{i} + \sum_{1}^{t} q_{j} + \epsilon_{j}.$$
$$q = \sum_{1}^{t} q_{j}.$$

Then 
$$\mu = (\underbrace{a \dots a}_{p} | \underbrace{a+s_1 \dots a+s_1}_{q_1}, \underbrace{a+s_2 \dots a+s_2}_{q_2} \dots \underbrace{a+s_t \dots a+s_t}_{q_t})$$
  
 $s_k = -(r_1 + \dots + r_k) + (r_{k+1} + \dots + r_{t+1})$   
 $- (\epsilon_1 + \epsilon_2 + \dots + \epsilon_{k-1}) + (\epsilon_{k+1} + \dots + \epsilon_t).$ 

In fact, from the above picture for  $\mu$ , we know that:

$$a + p - 2(r_1 + \dots + r_k) - 2(q_1 + \epsilon_1 + \dots + q_{k-1} + \epsilon_{k-1}) - 1$$

$$= b_k + q - 2(q_1 + \dots + q_{k-1}) - 1 + \epsilon_k$$

$$\Rightarrow b_k = a + p - q - 2 \sum_{1}^{k} r_i - 2 \sum_{1}^{k-1} \epsilon_j - \epsilon_k$$

$$= a - (r_1 + \dots + r_k) + (r_{k+1} + \dots + r_{t+1})$$

$$- (\epsilon_1 + \dots + \epsilon_{k-1}) + (\epsilon_{k+1} + \dots + \epsilon_t).$$

Note that if  $q_i = 0$  for all i > 1 this is case 1. So assume  $t \ge 2$ .

As before, I want to find a group L to which I can apply some reduction argument.

(\*) Suppose 
$$r_{t+1} > r_1$$
 set  $s = r_1 + q_1 + \epsilon_1 + r_2$ .

Then let  $L = U(s,q_1) \times U(p-s), q-q_1)$ . Note that  $L_V = U(r_1) \times U(q_1+\epsilon_1,q_1) \times U(r_2) \times \ldots \times U(q_t+\epsilon_t,q_t) \times U(r_{t+1})$ .

So  $L \supseteq L_V$ , and again, we can use Lemma 2.4.15 to verify a) of Theorem 2.6.7.

$$2\rho(u \cap p) = (\underbrace{q-q_1, \ldots, q-q_1}_{s}, \underbrace{-q_1, \ldots, -q_1}_{p-s} | \underbrace{p-s \ldots p-s}_{q_1}, \underbrace{-s \ldots -s}_{q-q_1}).$$

By Lemma 2.4.22 and 2.4.23 if  $\gamma + (\lambda_V, \nu)$  is the infinitesimal character of  $\Re_q(X_L)$ , then  $\gamma^L = (\lambda_V - \rho(u), \nu)$  is the infinitesimal character of  $X_L$ .

In fact, by definition of  $\Delta(u_V)$ ,

$$(4.5.3) \qquad \langle \lambda_{V}, \alpha \rangle > 0 \quad \text{for all} \quad \alpha \in \Lambda(u) \subseteq \Lambda(u_{V}).$$

Write  $L_1 = U(s,q_1)$ , then

$$\mu^{L}|_{L_{1}} = (a-q+q_{1}, \dots, a-q+q_{1}|a+s_{1}-p+s \dots a+s_{1}-p+s).$$

For some values of  $r_1$ ,  $r_2$  it could be possible to prove the failure of Dirac inequality as we have done before; that is, by simply using the minimal value of the restriction of v to the split part of the Cartan of  $L_1$ that makes  $\gamma_L | L_1$  regular integral.

However, this is not possible for all values of  $r_1$ ,  $r_2$ . Therefore, we need to involve all of v instead.

If 
$$\lambda_{V} = \lambda_{V}(\mu)$$
 then

$$\lambda_{V} = \left(\underbrace{x, x-1, \dots, x-r_{1}+1}_{r_{1}}, \underbrace{w, w, \dots, w}_{q_{1}+\epsilon_{1}}, x-r_{1}-1, x-r_{1}-2 \dots z\right)$$

$$\underbrace{\overset{\mathbf{x}-\mathbf{r}_1,\ldots,\mathbf{x}-\mathbf{r}_1}_{\mathbf{q}_1}}_{\mathbf{q}_1}$$

where 
$$x = a + p - 1 - \frac{(n-1)}{2} = a + \frac{s_1 + \epsilon_1 - 1}{2} + r_1$$

$$w = a + \frac{s_1}{2}$$

$$z = a - p + 1 + \frac{(n-1)}{2} = a - \frac{s_1 + \epsilon_1 - 1}{2} - r_1.$$

If  $H^{s} = T^{s}A^{s}$  is a maximally split Cartan subgroup of  $L_{V}$ and  $v \in A^{s}$ , then

$$v = (0 \dots 0 \ v_1^1 v_2^1 \dots v_{q_1}^1 \ \underbrace{0 \dots 0}_{\epsilon_1 + r_2} v_1^2 \dots v_{q_2}^2 \ \underbrace{0 \dots 0}_{\epsilon_2 + r_3} |$$
$$-v_{q_1}^1, \dots, -v_{1}^1, -v_{q_2}^1, \dots, -v_{1}^2 \dots)$$

To make  $(\lambda_V, v)$  regular integral we need:

$$\left. \begin{array}{c} a + \frac{s_{1}}{2} + v_{1} > \frac{a + s_{1} + \epsilon_{1} - 1 + r_{1}}{2} + r_{1} \\ \\ & \\ a + \frac{s_{1}}{2} - v_{1} < \frac{a - (s_{1} + \epsilon_{1} - 1)}{2} + r_{1} \end{array} \right\} \Rightarrow v_{1} > \max\left[ \frac{\epsilon - 1}{2} + r_{1} + \frac{\epsilon_{1} - 1}{2} + r_{1} \right]$$

$$s_1 = -r_1 + r_2 + \ldots + r_{t+1} + \epsilon_2 + \ldots + \epsilon_t$$
.  
By (\*),  $s_1 \ge 0$ . So  $v_1 > s_1 + \frac{\epsilon_1 - 1}{2} + r_1$ .

Let 
$$v_1 = s_1 + \frac{e_1 - 1}{2} + r_1 + \delta$$
  $\delta = \frac{1}{2}$ . 1  
 $v_j = v_1 + j - 1$ .  
Now,  $\rho(u) |_{L_1} = \left[\frac{n - s - q_1}{2}, \dots, \frac{n - s - q_1}{2}\right] \frac{n - s - q_1}{2}, \dots, \frac{n - s - q_1}{2}$   
 $(\lambda_V - \rho(u)) |_{L_1} =$   
 $\left[\underbrace{a + \frac{s_1}{2} + \frac{e_1 - 1}{2} + r_1 + \frac{s + q_1 - n}{2}, \dots, a + \frac{s_1}{2} + \frac{e_1 - 1}{2} + 1 + \frac{s + q_1 - n}{2}, \dots, a + \frac{s_1}{2} + \frac{s + q_1 - n}{2},$ 

We would like to prove that the K-type with Highest weight

 $\overline{q_1}$ 

$$\eta = (a+1, a, \dots, a | q_{1}-1)$$

$$\underbrace{a+s_{1}, \dots, a+s_{1}}_{q_{1}-1}, a+s_{1}-1, \underbrace{a+s_{2} \dots a+s_{2}}_{q_{2}}, \dots \underbrace{a+s_{t} \dots a+s_{t}}_{q_{t}})_{q_{t}}$$

occurs in the representation X and that the Hermitian form is indefinite on

$$v_{\mu} \oplus v_{\eta}.$$

It is enough to prove the failure of Dirac operator inequality on

$$\mu^{L}\Big|_{L_{1}} \quad \text{and} \quad \rho_{n}^{-}(\iota_{1}) = \rho(\iota \cap k) = \left[\underbrace{\frac{-q_{1}}{2}, \ldots, \frac{-q_{1}}{2}}_{s} | \underbrace{\frac{s}{2}, \ldots, \frac{s}{2}}_{q_{1}} \right].$$

So

$$\mu^{L} \Big|_{L_{1}} - \rho_{n}^{-}(t_{1}) = \left[ a + q_{1} - q + \frac{q_{1}}{2}, \dots, a + q_{1} - q + \frac{q_{1}}{2} \Big| a + s_{1} + s - p - \frac{s}{2}, \dots, a + s_{1} + s - p - \frac{s}{2} \right].$$

Since

$$\begin{split} \rho_{c}(\ell_{1}) &= \rho_{\ell_{1}\cap k} = \left[\frac{s-1}{2}, \frac{s-3}{2}, \dots, \frac{-s+1}{2} \middle| \frac{q_{1}^{-1}}{2}, \dots, \frac{-q_{1}^{+1}}{2} \right], \\ \mu^{L} \middle|_{L_{1}} &= \rho_{n}^{-}(\ell_{1}) + \rho_{c}(\ell_{1}) = \\ &\left[a+q_{1}^{-}q+\frac{q_{1}^{+}s-1}{2}, \dots, a+q_{1}^{-}q+\frac{q_{1}^{-}s+1}{2} \right] \\ &a+s_{1}^{-}p+\frac{s+q_{1}^{-1}}{2}, \dots, a+s_{1}^{-}p+\frac{s-q_{1}^{+1}}{2} \right]. \end{split}$$

Let 
$$y = a + \frac{s_1}{2} + \frac{s+q_1-n}{2}$$
. Then if  $\lambda_1 = (\lambda_V - \rho(u)) |_{L_1}$   
and  $\gamma_1 = (\gamma - \rho(u)) |_{L_1}$ .

$$\lambda_{1} = (\underbrace{y + \underbrace{\frac{\epsilon_{1}^{-1}}{2} + r_{1}, y + \underbrace{\frac{\epsilon_{1}^{-1}}{2} + r_{1}^{-1} + \dots, y + \underbrace{\frac{\epsilon_{1}^{-1}}{2} + 1}_{r_{1}}}_{r_{1}}, \underbrace{(\underbrace{y + \underbrace{\frac{\epsilon_{1}^{-1}}{2} + r_{1}^{-1} + y + \underbrace{\frac{\epsilon_{1}^{-1}}{2} + r_{1}^{-1} + \dots, y + \underbrace{\frac{\epsilon_{1}^{-1}}{2} + 1}_{r_{1}}}_{r_{1}}, \underbrace{(\underbrace{y + \underbrace{\frac{\epsilon_{1}^{-1}}{2} + r_{1}^{-1} + y + \underbrace{\frac{\epsilon_{1}^{-1}}{2} + y + \underbrace{\frac{\epsilon_{1}^{-1}}}{2} + y + \underbrace{\frac{\epsilon_{1}^{-1}}{2} + y + \underbrace{\frac{\epsilon_{1}^{-1}}}{2} + y + \underbrace{\frac{\epsilon_{1}^{-1}}{2} + y + \underbrace{\frac{\epsilon_$$

$$\underbrace{\underbrace{y_{\ldots,y}}_{q_1}}_{q_1}\underbrace{\underbrace{y_{+\frac{\epsilon_1^{-1}}{2}}}_{\epsilon_1}}_{q_1}\underbrace{\underbrace{y_{+\frac{\epsilon_1^{-1}}{2}}}_{r_2}}_{r_2}|\underbrace{y_{\ldots,y}}_{q_1}|$$

If  $w \in W_K$  such that  $\omega \gamma$  is dominant, then

$$\omega \gamma_{1} = \left[ \underbrace{y + s_{1} + \frac{\epsilon_{1} - 1}{2} + r_{1} + \delta + q_{1} - 1 \dots y + s_{1} + \frac{\epsilon_{1} - 1}{2} + r_{1} + \delta}_{q_{1}}, \underbrace{y + \frac{\epsilon_{1} - 1}{2} + r_{1} + \delta}_{q_{1}}, \underbrace{y + \frac{\epsilon_{1} - 1}{2}, y + \frac{\epsilon_{1} - 1}{2} - 1, \dots, y + \frac{\epsilon_{1} - 1}{2} - r_{2}}_{r_{1}} \right]$$

$$\underbrace{\underbrace{y - s_1 - \frac{\epsilon_1 - 1}{2} r_1 - \delta, y - s_1 - \frac{\epsilon_1 - 1}{2} r_1 - \delta - q_1 + 1}_{q_1}}_{q_1}.$$

Also

$$\mu^{L}|_{L_{1}} - \rho_{n}^{-}(\ell_{1}) + \rho_{\ell_{1}\cap k} = \left[\underbrace{\underbrace{y + \underbrace{\epsilon_{1}^{-1}}_{2} + r_{1} + q_{1}, \dots, y + \underbrace{\epsilon_{1}^{-1}}_{2} + r_{1} + 1}_{q_{1}}, \underbrace{y + \underbrace{\epsilon_{1}^{-1}}_{1}, \dots, y + \underbrace{\epsilon_{1}^{-1}}_{1}, \dots, y + \underbrace{\epsilon_{1}^{-1}}_{2}, \dots, y + \underbrace{\epsilon_{1}^{-1}}_{2} - r_{2}}_{r_{2}}\right]$$

$$y - \frac{e_1 - 1}{2} r_1 - 1, \dots, y - \frac{e_1 - 1}{2} r_1 - q_1$$

To prove what we want it is enough to prove that

$$(**) \qquad \langle \omega \gamma_{1}, \omega \gamma_{1} \rangle - \langle \mu^{L} |_{L_{1}} - \rho_{n}^{-}(\ell_{1}) + \rho_{\ell_{1}} \cap k,$$
$$\mu^{L} |_{L_{1}} - \rho_{n}^{-}(\ell_{1}) + \rho_{\ell_{1}} \cap k^{\rangle} > 0.$$

But this is equivalent to

$$\sum_{j=1}^{q_1} \left[ \left[ \left[ y + s_1 + \frac{\epsilon_1^{-1}}{2} + r_1 + \delta + j - 1 \right]^2 + \left[ y - s_1^{-\frac{\epsilon_1^{-1}}{2}} - \delta^{-j+1} \right]^2 - \left[ y + \frac{\epsilon_1^{-1}}{2} + r_1^{-j} + j \right]^2 - \left[ y - \frac{\epsilon_1^{-1}}{2} + r_1^{-j} - j \right]^2 \right] > 0.$$

Using that  $(b+c)^2 + (b-c)^2 - (b+d)^2 - (b-d)^2 > 0$  if |c| > |d| we conclude that (\*\*) holds if

$$\left| \mathbf{s}_{1} + \frac{\boldsymbol{\epsilon}_{1}^{-1}}{2} \mathbf{r}_{1} + \boldsymbol{\delta} + \mathbf{j} - 1 \right| > \left| \frac{\boldsymbol{\epsilon}_{1}^{-1}}{2} \mathbf{r}_{1}^{+} \mathbf{j} \right|.$$

Since  $q_1 > 0$  then j > 0. Hence  $\frac{\epsilon_1^{-1}}{2} + r_1 + \delta + j - 1$  $j - 1 \ge 0$  and  $s_1 > 0 \Rightarrow s_1 + \frac{\epsilon_1^{-1}}{2} + r_1 + j > \frac{\epsilon_1^{-1}}{2} + r_1 + j$ 

Now if  $r_1 > r_{t+1}$ , we choose

$$L = U(r_{t}+q_{t}+\epsilon_{t}+r_{t+1},q_{t}) \times U(p-(r_{t}+q_{t}+\epsilon_{t}+r_{t+1}),q-q_{t})$$

and repeat the same argument for this case.

Note that, since  $s_1 = r_1 + r_2 + \ldots + r_{t+1} + \epsilon_2 + \ldots + \epsilon_t$ , then (\*\*) will also hold if  $r_1 = r_{t+1}$  and some  $\epsilon_i > 0$  or some  $r_j > 0$ ; 1 < j,  $i \leq t$ .

So this reduces to the case

$$\begin{array}{c} \mathbf{r} & \mathbf{q}_{1} + \epsilon_{1} & \mathbf{q}_{2} & \mathbf{r} \\ \overbrace{\mathbf{a} \dots \mathbf{a}}^{\mathbf{r}} & \overbrace{\mathbf{a} \dots \mathbf{a}}^{\mathbf{q}_{1} + \epsilon_{1}} & \overbrace{\mathbf{a} \dots \mathbf{a}}^{\mathbf{q}_{2}} & \overbrace{\mathbf{a} \dots \mathbf{a}}^{\mathbf{r}} \\ \overbrace{\mathbf{a} \dots \mathbf{a}}^{\mathbf{b}_{1} \dots \mathbf{b}_{1}} & \overbrace{\mathbf{a} \dots \mathbf{a}}^{\mathbf{b}_{2} \dots \mathbf{b}_{2}} \\ \overbrace{\mathbf{q}_{1}}^{\mathbf{b}_{1} \dots \mathbf{b}_{1}} & \overbrace{\mathbf{q}_{2}}^{\mathbf{c}_{2} \dots \mathbf{b}_{2}} \end{array}$$

•

But by symmetry, using the case  $r_1 > r_{t+1}$ , we can conclude that  $\epsilon_1 = 0$ .

But then we have

With which we have dealt before. This is solved in the same way as case 1. for  $p_1 < p$ .

This proves Theorem 2.6.7. for G = SU(p,q).

q.e.d.

Chapter 5. 
$$G = SP(n, \mathbb{R})$$

## 5.1. Preliminary Notation

Let  $I_m$  be the identity matrix in  $GL(m, \mathbb{C})$ . We define

$$G = SP(n, \mathbb{R}) = \left\{ g \in SL(2n, \mathbb{R}) \mid {}^{t}g \begin{bmatrix} 0 & I_{n} \\ -I_{n} & 0 \end{bmatrix} g = \begin{bmatrix} 0 & I_{n} \\ -I_{n} & 0 \end{bmatrix} \right\}.$$

The maximal compact subgroup K of G is

$$K = SP(n, \mathbb{R}) \cap U(2n) \cong U(n).$$

Also

.

$$g_{0} = \mathfrak{op}(\mathbf{n}, \mathbb{R}) = \left\{ X \in \mathfrak{ol}(2\mathbf{n}, \mathbb{R}) \middle| {}^{\mathsf{t}} X \begin{bmatrix} 0 & \mathbf{I}_{\mathbf{n}} \\ -\mathbf{I}_{\mathbf{n}} & 0 \end{bmatrix} + \begin{bmatrix} 0 & \mathbf{I}_{\mathbf{n}} \\ -\mathbf{I}_{\mathbf{n}} & 0 \end{bmatrix} X = 0 \right\}$$

that is

$$g_0 = \left\{ X = \begin{bmatrix} A & B \\ \\ C & -t_A \end{bmatrix} \middle| A, B, C \in gl(n, \mathbb{R}), B, C \text{ symmetric} \right\}$$

and if  $\theta$  is the Cartan involution defined by  $\theta(x) = -^{t}X$ ,

$$k_{0} = \{X \in \mathfrak{sp}(n, \mathbb{R}) \mid - {}^{t}X = X\}.$$

$$= \{X = \begin{bmatrix} A & B \\ -B & A \end{bmatrix} \mid A = -{}^{t}A & B = {}^{t}B\} \cong u(n)$$

$$p_{0} = \{X \in g_{0} \mid \theta(X) = -X\}$$

$$= \{X = \begin{bmatrix} A & B \\ B & -{}^{t}A \end{bmatrix} \mid A, B \text{ symmetric}\}.$$

$$\begin{bmatrix} \theta_{1} & \dots \end{bmatrix}$$

If 
$$d(\theta_1, \ldots, \theta_n) = \begin{bmatrix} 0 & 1 & . \\ & & \ddots \\ & & & \theta_n \end{bmatrix}$$
, then the compact

Cartan subgroup of G is

$$H^{c} = \left\{ \begin{bmatrix} \cos \theta_{1}, & 0 & \sin \theta_{1}, & 0 \\ 0 & \cos \theta_{n} & 0 & \sin \theta_{n} \\ -\sin \theta_{1}, & \cos \theta_{1}, & 0 \\ & -\sin \theta_{1}, & 0 & \cos \theta_{n} \end{bmatrix} \mid \theta_{i} \in \mathbb{R} \right\} = T^{c}$$

and its Lie algebra is

$$h_0^{\mathbf{c}} = \left\{ \mathbf{X} = \begin{bmatrix} \mathbf{0} & \mathbf{d}(\theta_1 \dots \theta_n) \\ -\mathbf{d}(\theta_1 \dots \theta_n) & \mathbf{0} \end{bmatrix} \mid \theta_1 \in \mathbb{R} \right\} = t_0^{\mathbf{c}}$$

 $t_0^c \leftrightarrow \mathbb{R}^n$ .

The complexification of these Lie Algebras gives

$$g = \mathfrak{sp}(\mathbf{n}, \mathbb{C}) = \left\{ \mathbf{X} \in \mathfrak{sl}(2\mathbf{n}, \mathbb{C}) \mid {}^{\mathsf{t}} \mathbf{X} \begin{bmatrix} \mathbf{0} & \mathbf{I}_{\mathbf{n}} \\ -\mathbf{I}_{\mathbf{n}} & \mathbf{0} \end{bmatrix} + \begin{bmatrix} \mathbf{0} & \mathbf{I}_{\mathbf{n}} \\ -\mathbf{I}_{\mathbf{n}} & \mathbf{0} \end{bmatrix} \mathbf{X} \right\}$$
$$= \left\{ \mathbf{X} = \begin{bmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{C} & -{}^{\mathsf{t}} \mathbf{A} \end{bmatrix} \mid \mathbf{B} = {}^{\mathsf{t}} \mathbf{B}, \ \mathbf{C} = {}^{\mathsf{t}} \mathbf{C} \right\}$$
$$k \cong gl(\mathbf{n}, \mathbb{C})$$
$$h^{\mathsf{c}} = t^{\mathsf{c}} = \left\{ \mathbf{X} = \begin{bmatrix} \mathbf{0} & \mathbf{d}(\mathbf{z}_{1} \dots \mathbf{z}_{\mathbf{n}}) \\ \mathbf{d}(\mathbf{z}_{1} \dots \mathbf{z}_{\mathbf{n}}) & \mathbf{0} \end{bmatrix} \mid \mathbf{z}_{\mathbf{i}} \in \mathbb{C} \right\} \cong \mathbb{C}^{\mathsf{n}}.$$

 $\hat{\vec{K}}$  can be identified with the space

.

$$\{\mu = (\mathbf{a}_1 \dots \mathbf{a}_n) \mid \mathbf{a}_1 \ge \mathbf{a}_2 \ge \dots \ge \mathbf{a}_n; \mathbf{a}_i \in \mathbb{Z}\}$$
If 
$$X = \begin{bmatrix} 0 & \lambda_1 & \dots & \lambda_n \\ & & & \ddots & \lambda_n \\ -\lambda_1 & \dots & & 0 \end{bmatrix} \in \mathfrak{c}^c.$$

Define, for j = 1, 2, ..., n,  $e_j(X) = i\lambda_j$ . Then, the roots of t in g are

 $\Delta(g) = \Delta(g, t^{c})$ 

=  $\{\pm e_{j} \pm e_{k}; \pm 2e_{\ell} \mid j, k, \ell = 1, 2, ..., n; j < k\}$ 

also

$$\Delta(k) = \Delta(k, t^{c}) = \{\pm(e_{j} - e_{k}) \mid 1 \leq j \leq k \leq n\},\$$

the compact imaginary roots of  $t^{c}$  in g.

$$\Delta(\phi) = \Delta(\phi, t^{c}) = \{\pm(e_{j} + e_{k}); \pm 2e_{\rho} \mid 1 \leq j \leq k \leq n;$$

$$1 \leq \ell \leq n$$

the non-compact imaginary roots of  $t^{c}$  in g.

5.2. Computation of  $\ell_{V}(X)$  for any module X.

As for the preceding cases, fix a positive root system  ${f \Delta}^+(k)$  so that if

 $\mu = (a_1, a_2, \dots, a_n) \qquad a_1 \ge a_2 \ge \dots \ge a_n,$ 

then  $\mu$  is  $\Lambda^+(k)$ -dominant and

$$2\rho_{c} = (n-1, n-3, \dots, -n+3, -n+1).$$

Let  $\mu + 2\rho_{c} = (x_{1}, x_{2}, \dots, x_{n}).$ 

Choosing a positive Weyl Chamber for  $\Lambda(g,h^c)$  corresponds to forming an array of two rows with the

absolute value of the coordinates of  $\mu + 2\rho_c$  so that they are aligned in decreasing order as follows:

If  $x_1 \ge x_2 \ge \ldots \ge x_r \ge 0 > x_{r+1} \ge \ldots \ge x_n$  then  $x_1, \ldots, x_r$  are in the first row  $-x_n, -x_{n-1}, \ldots, -x_{r+1}$  in the second and they all decrease from left to right in the array.

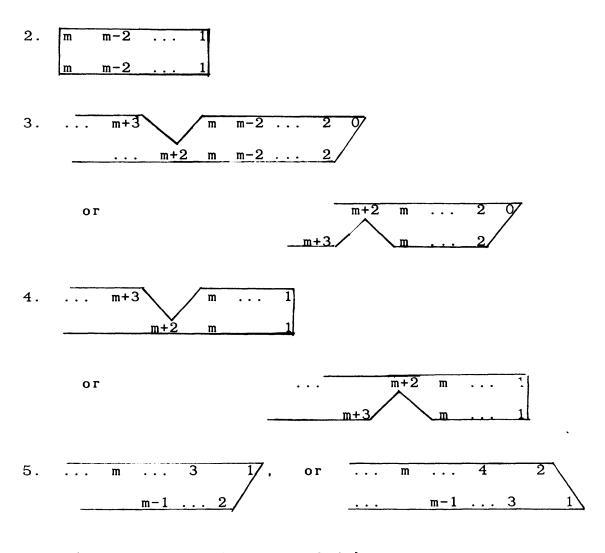
For example, if we have

$$\dots \mathbf{x}_{i} > -\mathbf{x}_{j} > \mathbf{x}_{i+1} > \mathbf{x}_{i+2} > \dots > \mathbf{x}_{k} =$$
$$-\mathbf{x}_{j-1} > \mathbf{x}_{k+1} = -\mathbf{x}_{j-2} > \dots > -\mathbf{x}_{r+1} = \mathbf{x}_{r-1} > \mathbf{x}_{r} = 0$$

the array would look like

As for the case of SU(p,q), the choice of arrows gives a positive root system  $\Lambda^+ = \Lambda^+(g, t^c)$ , compatible with  $\Lambda^+(k)$ .

Again, the entire array is a union of blocks of the following types.

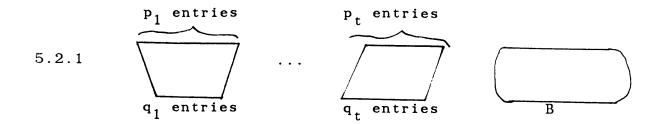


(5 is a particular case of 4.)

6. All blocks of the five types discussed for SU(p,q), not containing 0 or 1.

Again, using the picture, split the coordinates of  $\mu$  by the blocks that  $\mu + 2p_c$  determines as follows.

If  $\mu + 2\rho_c$  gives



with B a block of some type 1-5, set

$$\mu = (a_1 \dots a_1 \dots a_t \dots a_t)$$

$$p_1 \text{ times } p_t \text{ times } m \text{ entries } q_t \text{ times } q_t \text{ times } q_1 \text{ times } q_1$$

where m is the total number of coordinates composing the block B,  $c_1 \ge c_2 \ge \ldots \ge c_m$ .

Example: If

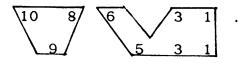
.

 $\mu = (2 \ 2 \ 2 \ 1 \ 1 \ 1 \ 1 \ 1 \ -1),$ 

since 
$$2\rho_c = (8 \ 6 \ 4 \ 2 \ 0 \ -2 \ -4 \ -6 \ -8),$$

$$\mu + 2\rho_{c} = (10 \ 8 \ 6 \ 3 \ 1 \ -1 \ -3 \ -5 \ -9)$$

this gives



Then

$$\mu = (2 2 2 1 1 1 1 1 -1)$$

$$p_1 m q_1$$

is the splitting that we want.

Write 
$$\ell_{V} = \ell_{V}(\mu) \quad \lambda_{V} = \lambda_{V}(\mu)$$
 as in 2.4.7.

Proposition 5.2.2. If  $\mu \in i(t_0^c)^*$  gives figure 5.2.1 then

$$\lambda_{V}(\mu) =$$

$$\underbrace{\begin{pmatrix}\lambda_1 \dots \lambda_1 \\ p_1 \text{ times } p_2 \text{ times } p_2 \text{ times } m \end{pmatrix}}_{p_1 \text{ times } p_2 \text{ times } m } \underbrace{\begin{pmatrix}\lambda_1 \dots \lambda_1 \\ p_1 \end{pmatrix}}_{q_1} - \underbrace{\begin{pmatrix}\lambda_1 \dots \lambda_1 \\ p_1 \end{pmatrix}}_{q_1}$$

$$\ell_{V}(\mu) \cong u(p_{1},q_{1}) \oplus \ldots \oplus u(p_{t},q_{t}) \oplus \mathfrak{op}(\mathfrak{m},\mathbb{R})$$

with

$$\lambda_1 > \lambda_2 > \ldots > \lambda_t > 0.$$

Proof. Observe that

a) If  $\beta_{i_0} = e_{j_0} + e_{k_0} \in \Delta^+(g, h^c)$  is such that  $-c_i = \langle \mu + 2\rho_c - \rho, \beta_i \rangle = -1$  then  $e_{j_0} - e_{k_0} \in \beta_{i_0}^{\perp}$  and if

$$\langle \mu + 2\rho_{c} - \rho + \frac{1}{2} c_{i_{0}} \beta_{i_{0}}, e_{j_{0}} - e_{k_{0}} \rangle \langle 0,$$

then  $e_j - e_k_0$  should be included in the set  $\{\beta_i\}$  of Proposition 2.4.7.

b) Suppose that  $a_1 + p - 1 \ge -b_1 + q - 1$ . Then the root system generated by the roots involving the  $(p_1,q_1)$  coordinates:

$$\{e_1 + e_n; -e_n - e_2; e_2 + e_{n-1}; \dots\}$$

is isomorphic to  $A_{p_1+q_1-1}$ . Since  $\ell_V$  centralizes an elliptic element then  $t^C \subseteq \ell_V$ . Hence the real form of  $A_{p_1+q_1-1}$  is  $U(p_1,q_1)$ .

Except for these extra considerations, the proof of this proposition is analogous to the one for the corresponding result for SU(p,q).

5.3. Lowest K types of the modules  $A_q(\lambda)$ . Let  $x \in i(t_0^c)^*$ . We may assume that it is of the form

$$\mathbf{x} = (\underbrace{\mathbf{x}_1 \dots \mathbf{x}_1}_{\mathbf{p}_1}, \dots, \underbrace{\mathbf{x}_t \dots \mathbf{x}_t}_{\mathbf{p}_t}, \underbrace{\mathbf{0} \dots \mathbf{0}}_{\mathbf{m}}$$

$$\underbrace{\overset{-\mathbf{x}_{t}\cdots-\mathbf{x}_{t}}{q_{t}}\cdots\overset{-\mathbf{x}_{1}\cdots-\mathbf{x}_{1}}{q_{1}}}_{q_{1}}$$

$$\mathbf{x}_1 > \mathbf{x}_2 > \ldots > \mathbf{x}_t > 0.$$

Write

$$\Delta(\iota) = \{ \alpha \in \Delta(g, h^{\mathbf{C}}) \mid \langle \alpha, x \rangle = 0 \},\$$

$$\Delta(u) = \{ \alpha \in \Delta(g, h^{\mathbf{C}}) \mid \langle \alpha, \mathbf{x} \rangle > 0 \},\$$

as in 2.3. Then

a)  $\ell \cong u(p_1,q_1) \oplus u(p_2,q_2) \oplus \ldots \oplus u(p_t,q_t) \oplus sp(m,\mathbb{R});$ 

b) 
$$2\rho(u \cap p) = (\underbrace{n-q_1+1...n-q_1+1}_{p_1}, \underbrace{n-2q_1-q_2+1...n-2q_1-q_2+1}_{p_2}, \underbrace{p_2}_{p_2})$$

,

$$\underbrace{p_t}^{n-2(q_1+\ldots+q_{t-1})-q_t+1,\ldots,n-2(q_1+\ldots+q_{t-1})-q_t+1}_{m},\underbrace{p_{q_1},\ldots,p_{q_t}}_{m}$$

$$\underbrace{-1-n+2(p_1+\ldots+p_{t-1})+p_t\cdots-1-n+2(p_1+\ldots+p_{t-1})+p_t\cdots}_{q_t}$$

$$\underbrace{\stackrel{-1-n+p_1\cdots-1-n+p_1}{q_1}}_{q_1}$$

c) 
$$2\rho(u\cap k) = (\underbrace{n-p_1 \cdots n-p_1}_{p_1}, \underbrace{n-2p_1-p_2 \cdots n-2p_1-p_2}_{p_2} \cdots \underbrace{p_2}_{p_2})$$
  
 $\underbrace{n-2(p_1+\cdots+p_{t-1})-p_t, \cdots, n-2(p_1+\cdots+p_{t-1})-p_t}_{p_t}, \underbrace{q-p, \cdots, q-p}_{m}$   
 $\underbrace{-n+2(q_1+\cdots+q_{t-1})+q_t, \cdots -n+2(q_1+\cdots+q_{t-1})+q_t}_{q_t}, \cdots, \underbrace{-n+q_1\cdots -n+q_1}_{q_1})$ 

Set  $n_i = p_i + q_i$ .

d) 
$$2\rho(u) = (\underbrace{2n-n_1+1...2n-n_1+1}_{p_1}, \underbrace{2n-2n_1-n_2+1...2n-2n_1-n_2+1}_{p_2})$$

$$\underbrace{\underbrace{2^{n-2}(n_1+n_2\cdots+n_{t-1})-n_t+1,\ldots,2^{n-2}(n_1+n_2\cdots+n_{t-1})-n_t+1,}_{p_t}}_{p_t}$$

$$\underbrace{\underset{m}{\overset{0\ldots 0}{\underset{m}{\overset{-2n+2(n_1+\ldots+n_{t-1})+n_t-1\ldots-2n+2(n_1+\ldots+n_{t-1})+n_t-1\ldots}{q_t}}}_{q_t}$$

$$\underbrace{\stackrel{-2n+n_1-1\ldots-2n+n_1-1)}{q_1}}_{q_1}$$

Now suppose that  $\mu \in i(t_0^c)^*$  is the highest weight of a representation of K.

By the proof of Proposition 2.5.6 we may use  $\mu$  to determine a compact parabolic subalgebra  $q \cap k = l \cap k + u \cap k$ .

Set 
$$2\rho(u\cap k) = 2\rho(\Lambda(u\cap k))$$
. Suppose that

$$\mu + 2\rho(u \cap k) =$$

$$\underbrace{(\underbrace{a_1 \dots a_1}_{r_1} \dots \underbrace{a_t \dots a_t}_{r_t} \underbrace{0 \dots 0}_{m} - \underbrace{a_t \dots - a_t}_{s_t} \dots - \underbrace{a_1 \dots - a_1}_{s_1})}_{s_1}$$

Proposition 5.3.2. In the above setting, set  $n_i = r_i + s_i$ then  $\mu$  is the LKT of some  $A_a(\lambda)$ 

$$\Leftrightarrow \qquad a_i - a_{i+1} \ge n_i + n_{i+1}$$

and  $a_t \ge n_t + 2m + 1$ .

Proof. If  $\mu = \lambda + 2\rho(u \cap p)$ , then

$$\lambda = (\lambda_1 \dots \lambda_1 \dots \lambda_t \dots \lambda_t 0 \dots 0 - \lambda_t \dots - \lambda_t \dots - \lambda_1 \dots - \lambda_1)$$

 $\lambda_1 \geq \lambda_2 \geq \ldots \geq \lambda_t \geq 0$ 

hence the coordinates of  $\mu + 2\rho(u \cap k) = \lambda + 2\rho(u)$  give

$$\lambda_{i} + 2n - 2(n_{1} + ... + n_{i-1}) - n_{i} + 1 - (\lambda_{i+1} + 2n - 2(n_{i} + ... + n_{i}) - n_{i+1} + 1)$$

$$= \lambda_{i} - \lambda_{i+1} + n_{i} + n_{i+1} \ge n_{i} + n_{i+1}$$

and

$$\lambda_t + 2n - 2(n_1 + n_2 + ... + n_{t-1}) - n_t + 1 \ge n_t + 2m + 1$$

Conversely, suppose we are given  $\mu$  satisfying the conditions of the theorem. Let q = l + u be the parabolic defined by  $\mu + 2\rho(u \cap k)$ . Set

$$\lambda_i = a_i - 2n + 2(n_1 + \dots + n_{i-1}) + n_i - 1.$$

 $\langle \lambda, \Delta(u) \rangle \geq 0$ , So

 $\langle \lambda, \Delta(\ell) \rangle = 0,$ 

and  $\mu = \lambda + 2\rho(u \cap p).$ 

q.e.d.

5.4. Proof of Theorem 2.6.7 for  $G = SP(n, \mathbb{R})$ .

Let X as in Theorem 2.6.7, with infinitesimal character  $\gamma \in (h^{c})^{*}$   $\mu \in (t^{c})^{*}$ , the highest weight of a LKT of X. Suppose X is not a module  $A_{q}(\lambda)$ . Let

$$\ell_{V} = \ell_{V}(\mu) = (u(p_{1},q_{1}) \oplus u(p_{2},q_{2}) \oplus \ldots \oplus u(p_{t},q_{t})) \oplus sp(m,\mathbb{R})$$
  
(cfr. 5.2.2) and  $p = \Sigma p_{i}$   $q = \Sigma q_{i}$ . Set

$$l_1 \cong u(p,q), \quad l_2 = sp(m,\mathbb{R})$$

then 
$$l = l_1 \oplus l_2 \supseteq l_y$$

Define  $u \subseteq u_V$  by  $u_V = u + (u_V \cap l)$ .

Then  $q \supset q_V$  and by Proposition 2.4.15, a) of Theorem 2.6.7 holds.

Now let  $X_L$  be an  $(l, L\cap K)$ -module such that X occurs only as composition factor of  $\Re_q(X_L)$ . We can see  $X_L$  as the exterior tensor product  $X_L = X_L \otimes X$  with  $1 \qquad L_2$  $X_L$  an  $(l_i, L_i \cap K)$ -module.

That  $X_L^h$  has a Hermitian form  $\langle , \rangle^L$  follows from Lemma 2.7.4.

Lemma 5.4.1.  $X_{L_1} \cong A_{q^0}(\lambda^0)$ , for some  $q^0 \subseteq L_1$ ;  $\lambda^0$ :  $\ell_0 \longrightarrow \mathbb{C}$ .

Proof. By Theorem 2.6.7, b) and c) (proved for SU(p,q)) and Theorem 2.6.8, if  $X_{L_1} \neq A_q(\lambda)$  then there are  $\delta_j \in (L_1 \cap K)^2$ , j = 1, 2, K-types of  $X_{L_1}$  such that  $\langle , \rangle^{L} | V_{\delta_{1}} \oplus V_{\delta_{2}}$  is indefinite. Moreover, we know that if  $\mu^{i} = \mu^{L} |_{L_{i}}$  and  $\mu^{L} = \mu - 2\rho(u \cap p)$ . Then there is  $\beta \in \Delta(\ell_{1} \cap p)$  such that  $\langle , \rangle^{L}$  is indefinite on the sum

$$V_{\mu^1} \oplus V_{\mu^1+\beta}$$
.

If  $\mu = (x_1, \ldots, x_p, x_{p+1}, \ldots, x_{p+m}, x_{p+m+1}, \ldots, x_m)$  and

$$2\rho(u \cap p) = (\underbrace{n-q+1, \ldots, n-q+1}_{p}, \underbrace{p-q, \ldots, p-q}_{m}, \underbrace{-1-n+p, \ldots -1-n+p}_{q})$$

then, since

 $\Delta(\ell_1 \cap p) = \pm\{(e_i + e_j) \mid 1 \leq i \leq p, p + m \leq j \leq n\}$ 

it is clear that if  $\mu^1 + \beta$  is dominant for  $\Delta(\ell_1 \cap k)$ , then  $\mu + \beta$  is dominant for  $\Delta^+(k)$ , unless  $x_p = x_{p+1}$  or  $x_{p+m} = x_{p+m+1}$ .

Suppose then that  $x_p = x_{p+1}$ .

Note that  $2\rho(u_V \cap \rho) \Big|_{L_2} = 2\rho(u \cap \rho) \Big|_{L_2}$  then  $\mu^v \Big|_{L_2} = \mu^2$ and hence  $\mu^2$  is fine and  $X_{L_2}$  is a principal series.

So  $\mu^2 \in \{(0...0); (1...1, 0...0); (0, ...0, -1, -1...-1)\}.$ Suppose that  $\mu^2$  is of the form

$$\mu^2 = (\underbrace{1 \dots 1}_{a}, \underbrace{0 \dots 0}_{m-a}) \quad \text{with} \quad a > 0.$$

Then for  $\eta^2 = (0...0, -1...-1)$ ,  $V_{\eta^2}$  is also a LKT of  $\prod_{m=a}^{m=a} a$  $X_{L_2}$ , by Frobenius reciprocity. So if  $\eta = \mu^1 + \eta^2 + 2\rho(u \cap p)$ ,  $V_n$  is a LKT of X. Since  $\eta$  is also dominant, it follows that  $x_{p+m} - 1 \ge 1$  $x_{p+m+1}$ .

Suppose that  $\mu^1 + \beta$  is dominant for some  $\beta \in$  $\Delta(l_1 \cap p) \cap U(p_t, q_t)$ . If

$$\beta = (\underbrace{0 \dots 0}_{\mathbf{p}-\mathbf{p}_{t}} \underbrace{1 \dots 0}_{\mathbf{p}_{t}} \underbrace{0 \dots 0}_{\mathbf{m}} \underbrace{1 \dots 0}_{\mathbf{q}}),$$

since  $x_{p+m} - 1 \ge x_{p+m+1}$ ,  $\mu + \beta$  is dominant. Ιf

$$\beta = (\underbrace{0 \dots 0 \ 0 \dots 0 \ 0}_{p}, \underbrace{0 \dots 0 \ -1}_{m}, \underbrace{0 \dots 0 \ -1}_{q_{t}}, \underbrace{0 \dots 0 \ -1}_{q-q_{t}}, \underbrace{0 \dots 0}_{q-q_{t}}, \underbrace{0 \dots$$

then, since  $\mu^{L}|_{L_{1}} = \eta^{L}|_{L_{1}}$  then  $\eta + \beta$  is a dominant candidate.

If 
$$\mu^2 = (\underbrace{0...0, -1...-1}_{m-a})$$
, with  $a > 0$ , a similar

argument shows that  $x_p^{-1} \ge x_{p+1}$ . If  $\mu^2 = (0...0)$  then both differences  $x_p - x_{p+1}$ and  $x_{p+m} - x_{p+m+1}$  must be strictly positive. This is

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clear from the pictures of  $\mu + 2\rho_c$ .

q.e.d.

Lemma 5.4.2. In the above setting, assume that  $X_{L_1} \cong A_{q^0}(\lambda^0)$  for some  $q^0 \subseteq \ell_1$  and  $\lambda^0 : \ell^0 \longrightarrow \mathbb{C}_{\lambda^0}$ .

Then, Theorem 2.6.7 is true if we assume that

(5.4.3) 
$$\begin{cases} x_{p} - x_{p+1} \ge 2 \\ \\ and x_{p+m} - x_{p+m+1} \ge 2. \end{cases}$$

Proof. Suppose first that  $\mu^2 = (1, 1, \dots, 1, 0 \dots 0)$ . Then if  $\rho_n^+ = \left[\frac{m+1}{2}, \dots, \frac{m+1}{2}\right]$ , an easy calculation shows

$$<\mu^2 - \rho_n^+ + \rho_{\ell_2 \cap k}, \mu^2 - \rho_n^+ + \rho_{\ell_2 \cap k} > < <\rho, \rho >.$$

By 2) in Lemma 2.7.2, there is a

$$\beta \in \{(\underbrace{0...0 - 1}_{a}, \underbrace{0...0 - 1}_{m-a}), (\underbrace{0, 0...0 - 2}_{m})\}$$

making  $V \oplus V$  into a space on which  $\langle , \rangle^L$  is  $\mu^2 \mu^2 + \beta$  indefinite.

Moreover  $\mu + \beta$  is  $\Delta^+(k)$ -dominant, by (5.4.3). Similarly if  $\mu^2 = (\underbrace{0...0}_{m-a} \underbrace{-1,-1,...,-1}_{a})$  then

$$\beta \in \{(1,0...0 \ 1 \ 0...0); (2,0...)\}.$$

Now, if  $\mu^2 = (0...0)$  then the Dirac operator inequality fails for any choice of  $\rho_n = \rho(\Delta^+(\ell_2 \cap \beta))$ , unless  $\gamma | \ell_2 = \rho_{\ell_2}$  in particular, if  $\rho_n^+ = \left[\frac{m+1}{2}, \ldots, \frac{m+1}{2}\right]$ ; and, obviously,  $\mu + \beta$  is also dominant for  $\beta \in \Delta(\beta^+ \cap \ell_2)$ . Now if  $\gamma | \ell_2 = \rho_{\ell_2}$ , then, the Langlands subquotient of  $X_{L_2}$  is the trivial representation. (In fact, the representation  $X_{L_2} = I(\delta_V^{L_2} \otimes \nu_V^{L_2})$  is a principal series and  $\delta_V^{L_2} = trivial; \gamma | \ell_2 = \nu_V | \ell_2 = \nu_V^{L_2}$ .)

Hence the Langlands submodule of

$$\mathscr{R}_{q}(\mathsf{X}_{L_{1}} \otimes \mathsf{X}_{L_{2}}) = \mathscr{R}_{q}(\mathsf{X}_{L_{1}}) \otimes \mathscr{R}_{q}(\mathsf{X}_{L_{2}})$$

i s

$$X \cong \mathfrak{R}_{q}(A_{q^{0}}(\lambda^{0})) \otimes \mathfrak{R}_{q}(\text{trivial representation}).$$

By induction by stages, X is an  $A_q(\lambda)$ , contradicting our assumptions on X.

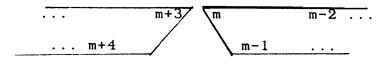
This proves the lemma..

**q.e.**d.

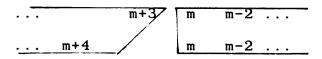
To finish the proof of Theorem 2.6.7, suppose now that  $x_p - x_{p+1} \leq 1$ .

Lemma 5.4.4. Under the hypothesis of Lemma 5.4.2, if  $x_p - x_{p+1} = 1$  and  $x_{p+m} - x_{p+m+1} \ge 2$ , then Theorem 2.6.7 is true.

Proof. The assumptions on the coordinates of  $\mu$  imply that the picture of  $\mu + 2\rho_c$  around the coordinates involved is either



or



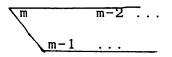
that is,

 $\mu + 2\rho_{c} = (\dots m+5 \ m+3 \ | \ m \ m-2 \ \dots \ -m+1 \ | \ -m-4 \ \dots)$ 

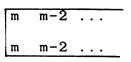
or

$$\mu + 2\rho_{c} = (\dots m + 5 m + 3 | m \dots - m | - m - 4 \dots)$$

Observe that  $\mu^{L_V} = \mu - 2\rho(u_V \cap p)$  is fine and that the fine K-type that gives the picture



is  $\mu^2 = (1, 1, \dots, 1, 0, \dots 0)$  and the fine K-type that gives



is  $\mu^2 = (0...0).$ 

Arguing as in the proof of Lemma 5.4.2. we can find, in both cases

$$\beta \in \{(0...0 - 1, 0...0 - 1); (0...0 - 2)\}$$

as we want.

q.e.d.

Assume now that

(5.4.5) 
$$\begin{cases} 0 \leq x_{p} - x_{p+1} \leq 1 \\ 0 \leq x_{p+m} - x_{p+m+1} \leq 1 \end{cases}$$

We want to contradict the assumption that the infinitesimal character  $\gamma$  is regular and integral.

Since we have an  $A_q(\lambda)$ -module for  $L_1 = U(p,q)$ , we have some control on  $\gamma$ .

Recall that  $L = U(p,q) \times SP(m,\mathbb{R})$  and  $L \supseteq L_V = \begin{pmatrix} t \\ \Pi & (U(p_i,q_i)) \end{pmatrix} \times SP(m,\mathbb{R})$ . We may assume  $p_t \ge q_t$ . By i=1

the computation in 4.3, either

$$\lambda_{V} \left| U(p_{t}, q_{t}) \right|$$

$$= (\underbrace{\lambda_{t} + s, \lambda_{t} + s - 1 \dots \lambda_{t} + 1}_{s}, \underbrace{\lambda_{t} \dots \lambda_{t}}_{q_{t} + 1}, \underbrace{\lambda_{t} - 1 \dots \lambda_{t} - s}_{s} \left| \underbrace{\lambda_{t} \dots \lambda_{t}}_{q_{t}} \right|$$

or

$$\frac{\lambda_{V}}{U(p_{t}, q_{t})} = (\underbrace{\lambda_{t} + s, \dots, \lambda_{t} + \frac{1}{2}}_{q_{t}} \underbrace{\lambda_{t} \dots \lambda_{t}}_{q_{t}} \underbrace{\lambda_{t} - \frac{1}{2} \dots \lambda_{t} - s}_{q_{t}} | \underbrace{\lambda_{t} \dots \lambda_{t}}_{q_{t}})$$

and

$$v | U(p_t, q_t) = (0 \dots 0 v_1 \dots v_t 0 \dots 0 | -v_1 \dots -v_t).$$

Inside  $SP(n, \mathbb{R})$  this gives

$$(\lambda_{t}+s,\ldots,\lambda_{t}\ldots\lambda_{t}\cdots\lambda_{t}-s \mid -\lambda_{t}\ldots-\lambda_{t})$$
$$(0\ldots 0 \quad v_{1}\ldots v_{q_{t}} \quad 0\ldots 0 \mid v_{1}\ldots v_{q_{t}}).$$

If  $\gamma$  is regular integral

$$\lambda_{t} + \nu_{t} > \lambda_{t} + s,$$

$$\lambda_{t} - s > 0 > -\lambda_{t} + \nu_{1} \ge -\lambda_{t} + q_{t} + \nu_{t} - 1$$

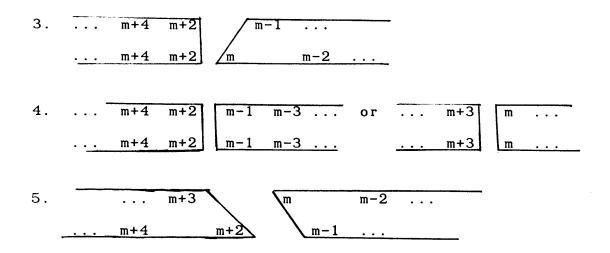
$$\Rightarrow \begin{cases} \nu_{q_{t}} > s \\ \lambda_{t} > \nu_{q_{t}} + q_{t}^{-1} \end{cases}$$

$$\Rightarrow \lambda_{t} \ge s + q_{t}$$

Claim. If  $\mu$  satisfies (5.4.5) then  $\lambda_t - s \leq 1$ .

Proof. The picture for  $\mu + 2\rho_c$  around these coordinates can be of the following types.

1. ... 
$$m+2$$
  $m$   $m-2$  ...  
...  $m+2$   $m-1$  ...  
2. ...  $m+2$   $m-1$  ...  
...  $m+3$   $m$   $m-2$  ...



So we either have (considering that 5 and 2, and 3 and 1 are symmetric)

$$\mu + 2\rho_{c} = (\dots m+k+2, m+k \mid \dots \mid -m-k\dots)$$

and 
$$\rho = (...m+k+2,m+k | ... | -m-k+1...)$$

or

$$\mu + 2\rho_{c} = ( \dots m+2 | \dots | -m-3\dots),$$

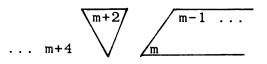
with 
$$\rho = ( ... m+1 | ... | -m-2... )$$

In both cases we get

$$\lambda_{V} = (\dots 1 \ 1 \ | \ 0 \dots 0 \ | \ -1 \ -1 \dots).$$

This proves the claim.

This reduces to the case when  $q_t = 0$ . But then,  $\mu + 2\rho_c$  gives, at worst,



Because if  $q_i = 0$ ,  $p_i = 1$ , since  $U(p_i, q_i)$  is quasisplit. So, we have  $x_{p+m} - x_{p+m+1} \ge 2!$ 

This concludes the proof of Theorem 2.6.7.

q.e.d.

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