

XIII. COMMUNICATIONS BIOPHYSICS*

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A. ANALOG SIGNAL DELAY

A wide-range analog signal delay has been developed that can provide delays from 1-999 ms of a signal sampled 1000 times per second with an 8-bit (1 part in 256) resolution. It is intended for use with relatively low-frequency signals that arise in physiologic measurements that are strictly bandlimited to frequencies less than 500 Hz.

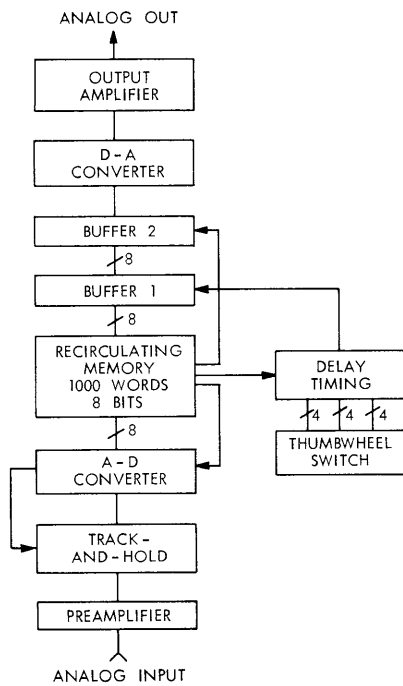


Fig. XIII-1.

Diagram of the system, illustrating data flow.

memory output with each complete 1-ms recirculation. The first buffer is connected to the memory output and its output follows the recirculating data stream until the selected sample occurs. At this time, it ceases to follow the memory and the selected sample is retained. As a new sample is loaded, the sample stored in the first buffer is transferred to the output buffer and directly converted to its analog representation. An output amplifier provides signal conditioning and low source impedance to the analog output connection.

Figure XIII-2 is a schematic diagram of the entire system. The system can be considered in several parts: analog input, recirculating memory, multiple memory buffers, and delay timing. Brief descriptions of each of these sections follow.

The delay is implemented by conversion of the input signal to an 8-bit sample, storing the most recent 1000 samples in a recirculating digital memory, and converting the sample selected from the stored history back to a representative analog output signal. Figure XIII-1 is a block diagram of the system and illustrates the data flow. The analog input drives a high-input impedance preamplifier (10 M Ω) that conditions the signal to the proper range for the analog-to-digital conversion (normally ± 5 V, but adjustable from ± 1 to ± 10). Optionally, filtering could be included at this point to eliminate the possibility of aliasing errors. A track-and-hold circuit holds the sample value during the 200 μ s (maximum) conversion time. The 8-bit digital representation of the sampled analog signal is loaded into the recirculating memory at 1-ms intervals. A 1-second history, ending at the current sample, is stored in the 1000 words of this memory. All samples appear at the

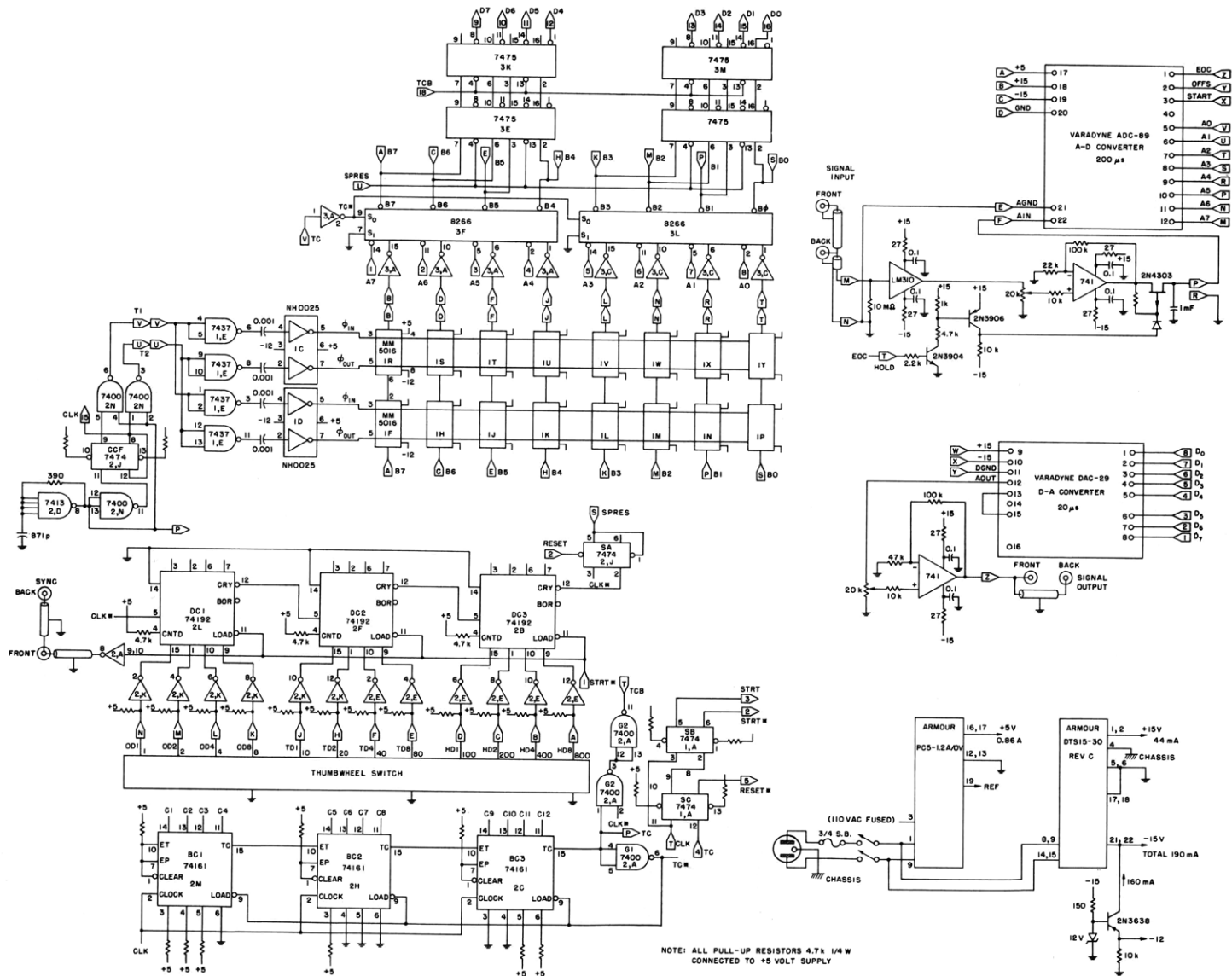


Fig. XIII-2. Schematic diagram of the system.

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1. Input Conversion

An integrated circuit follower provides a $10\text{-M}\Omega$ input impedance. The input range is determined by a noninverting amplifier stage with adjustable gain. A commercially obtained analog-to-digital conversion module is used for quantization. This module produces an 8-bit representation in $200\ \mu\text{s}$ (worst case); hence, a track-and-hold circuit is needed to retain the desired resolution. Since the A-D converter has an input impedance of $5000\ \Omega$, a rather simple FET switch followed by a rather large ($1\ \text{mF}$) capacitor serves this purpose adequately. The FET switch is normally closed, but is opened by the BUSY signal produced by the A-D converter; hence, the voltage on the capacitor normally tracks the input signal and remains constant during the conversion.

2. Recirculating Memory

A 1000-word recirculating memory is the key element in the analog signal delay and allows selection of delays ranging from 1-999 ms. This memory is organized to accept new data at 1-ms intervals and stores the immediately previous 1000 data points in such a way that each stored datum appears sequentially, from the oldest to newest, at the output of the memory. Each stored sample will have appeared in the 1-ms intervals between new samples. The organization of this memory is illustrated in Fig. XIII-3.

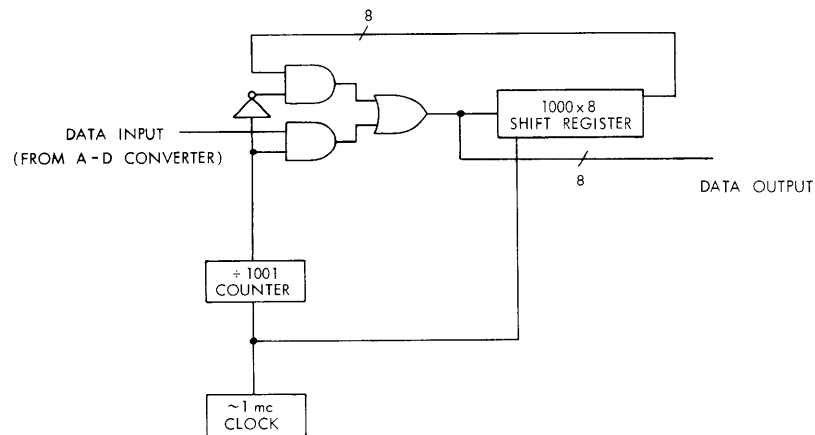


Fig. XIII-3. Recirculating-memory section.

The memory is implemented by use of 16 National Semiconductor Type MM5016 500/512 bit shift registers cascaded to form 1000 8-bit words. The output of the shift register is connected to the input of a two-input multiplexer switch which is normally connected so as to cause the output of the memory to be fed back into its input. Input and output clock pulses are developed by a relaxation oscillator operating at approximately

2 mc (actually $2 \times 1001/1000$ mc) that is divided to form the necessary two-phase clocking waveform. A National Semiconductor NH0025 clock driver is used to interface the shift register. Thus data shifts through the register at half the relaxation oscillator frequency or ~ 1 -mc rate and, after 1000 clock-pairs, the data have completely recirculated. With the onset of the 1001th clock-pair the divide-by-1001 counter produces an output and causes the input of the shift register to be connected to the output of the A-D converter. As a result, the oldest stored sample is replaced by the just-converted sample that appears on the output of the A-D converter.

By selecting the appropriate sample, delay up to 1000 ms, corresponding to the oldest stored sample, might be selected. Selection is implemented by sampling the output of the shift register when the desired sample appears and storing its digital representation in Buffer 1, the first of two 8-bit buffer registers.

The output of the recirculating memory is, in fact, the output of the two-input multiplexer which is also the input of the 1000-bit shift register. This choice is required if minimum delays of 1 ms are to be realized, since A-D conversion requires some time, and propagation through the memory requires exactly 1 ms.

3. Delayed Sample Buffering

Selection of the appropriately delayed sample (Fig. XIII-4) is implemented by continually loading the output of the shift register into Buffer 1 until the desired sample appears, holding this sample until recirculation is complete, and then transferring it into Buffer 2 whose output is connected directly to the input terminals of a D-A converter. This multiple buffering is necessary, since the delayed sample appears at the



Fig. XIII-4. Example of signal delayed 500 ms. Upper channel: undelayed original. Lower channel: delayed, quantized output.

output of the recirculating memory after the new sample is loaded.

An added virtue of using a second buffer is the synchrony of appearance of the input

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and output samples. If a D-A converter with appreciable transient generation and long settling times (glitches) is used, it is desirable to use a sample-and-hold circuit in place of this all-digital buffering.

4. Delay Timing

When the memory input control connects the input to the A-D converter, the oldest stored sample appears at the output of the shift register. If we count from the terminal edge of the memory control pulse, samples $s(-1000T)$, $s(-999T)$, $s(-998T)$, etc. appear at the recirculating memory output at the end of clock cycle $T = 0, 1, 2$, and so forth. Thus $s(-mT)$ will appear at the end of clock cycle $1000 - m$. A three-decade counter, DC1, DC2, and DC3, which loads a three-digit number (m) from a thumbwheel switch, and a D flip-flop (SA) comprise the delay-timing chain. Flip-flop SA is set during the first clock cycle after the memory-load operation and connects Buffer 1 to the memory. When the decade counter overflows, SA is reset, one unit later, and causes sample $s(-mT)$ to be retained in Buffer 1. Flip-flop SB produces a 1-unit delay so that the number of the thumbwheel switch corresponds exactly to the desired delay. Flip-flop SC produces the signal to initiate another A-D conversion. SB is not used for this purpose in order to avoid a possible error caused by the nearly simultaneous sampling and clearing of the A-D converter with 1-unit delays.

5. Output Conversion

A commercially available D-A converter is used to convert the sample stored in Buffer 2 to the desired analog output voltage. Since this system is intended to operate in conjunction with a device that samples and again quantizes these data, we decided not to interpolate further with lowpass filtering. Better transient response might be obtained by substituting a sample-and-hold circuit for the second buffer. This would, of course, necessitate modification of the signals controlling Buffer 1, unless an A-D converter which settled in considerably less than $1 \mu\text{s}$ were used. A buffer amplifier is placed in the output of the D-A converter to reduce the effect of circuit loading, as well as to set the output range.

S. K. Burns

B. PORTABLE CLINICAL OR LABORATORY COMPUTATION SYSTEM

1. Introduction

A small, portable, relatively inexpensive computer system (Fig. XIII-5) has been developed for on-line use in clinical or laboratory situations. The system features an integrated hardware-software package that permits use of all peripherals, such as analog-to-digital converter, oscilloscope, plotter, digital bus, and so forth, with an

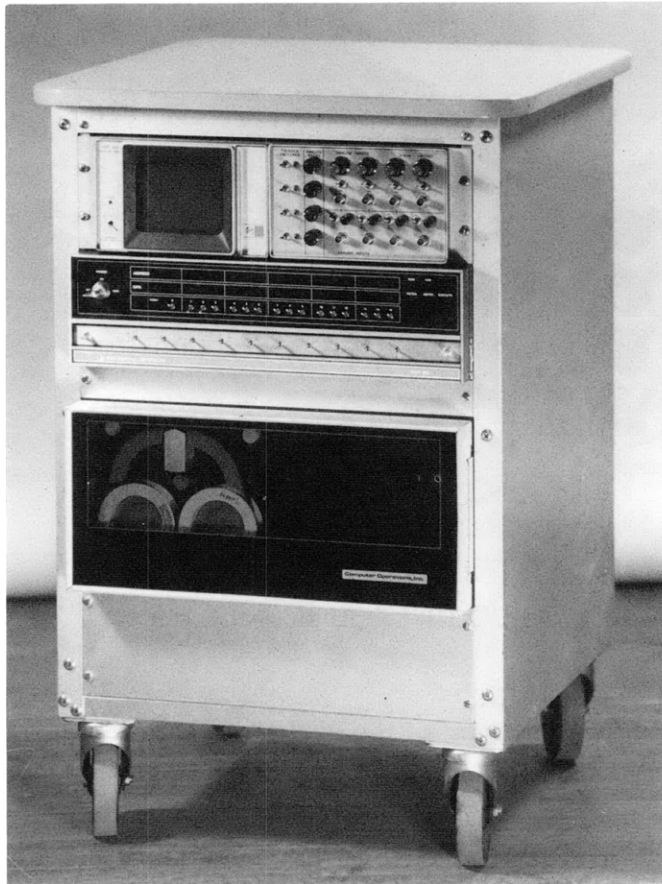


Fig. XIII-5. Portable clinical laboratory computation system.

interpreter constructed around the BASIC programming language.¹ This report gives a brief description of the hardware of the system. Conceptually, the system is similar to the LINC system, which was developed in 1962.² This was the earliest computer system designed explicitly for application in a laboratory environment. Since the development of the LINC, advances in integrated circuit technology have made much more compact and powerful systems possible.

The Analog Interface is a system of I/O devices which communicate among the NOVA series of computers (Data General Corporation), the analog world, and the user. In combination with the NOVA, this system provides a compact, powerful, easy-to-operate system for processing physiologic data. The interface consists of a 15 X 15 in. main logic board and a 5 1/4 in. half-rack analog chassis (Fig. XIII-6). The main logic board is inside the NOVA main frame and the analog chassis is mounted near the NOVA main frame, sharing rack space with a small X-Y display oscilloscope (Tektronix, Inc.).

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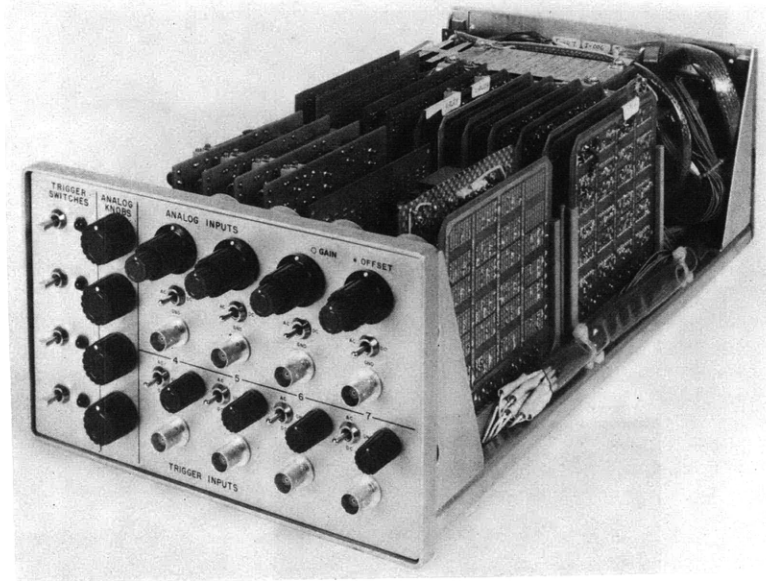


Fig. XIII-6. Analog chassis.

Figure XIII-7 is a block diagram of the system, which is organized around a NOVA line processor and its associated memory. As well as the familiar teletype, special peripherals have been added to enhance the capabilities of the system. The NOVA line includes small general-purpose processors that are compatible from model to model.

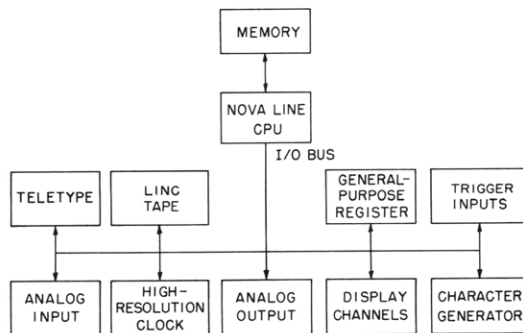


Fig. XIII-7.
Diagram of the clinical laboratory system.

The Central Processing Unit (CPU) is organized around 4 general-purpose registers, two of which may be used as index registers. The NOVA shown in Fig. XIII-5 (NOVA 800) contains 8192 16-bit words of 800-ns memory. At the bottom of the rack is a LINC tape (Computer Operations, Inc.) that provides inexpensive and reliable storage for random access of programs and data. A second system in current use also includes a 256K fixed-head disk for high-speed storage and retrieval. All of the other peripherals shown in Fig. XIII-7 were developed in this laboratory, and will be described in more detail.

2. Analog Chassis Front Panel

The analog chassis front panel (Fig. XIII-8) provides a convenient point of interaction between the user and the system. It is divided into four sections: analog inputs, analog knobs, trigger inputs, and trigger switches. The analog inputs and analog knobs provide input for 8 of the 16 available analog-input channels. The trigger switches allow the user to set flags in the device corresponding to switches; similarly, the trigger inputs accept analog signals as input and set flags when the input exceeds a set threshold.

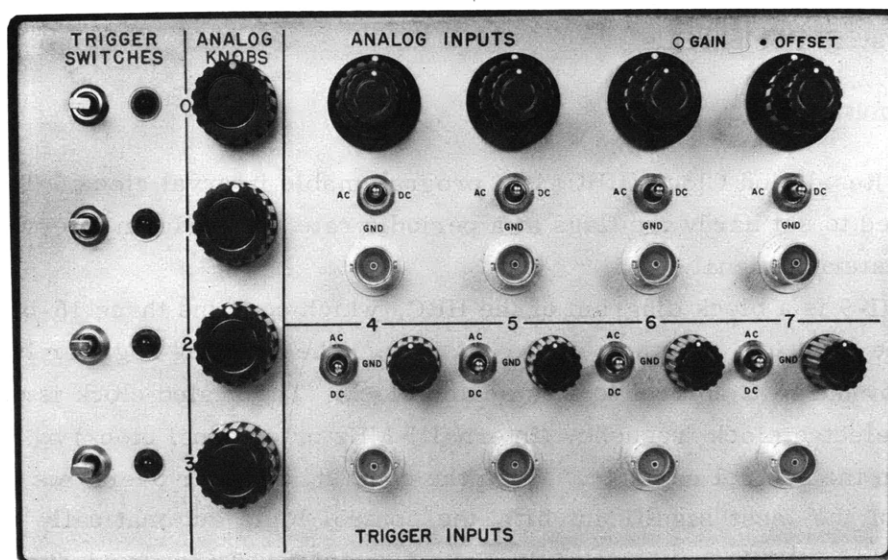


Fig. XIII-8. Analog chassis front panel.

The analog inputs accept analog signals over a range of ± 0.2 to ± 4 V and allow the user to adjust gain and offset controls to bring the signals into the normal operating range of the analog multiplexer (± 2 V). The user may also select ac or dc signal coupling.

The analog knobs provide a convenient method of presenting parameters to a program. A full counterclockwise setting on a knob corresponds to minimum input, while a full clockwise setting corresponds to maximum input.

The trigger inputs accept signals in the range ± 10 V. The user may set a threshold voltage; if the signal exceeds this voltage, the corresponding trigger flag will be set. The trigger circuit provides approximately 0.25 V of hysteresis as protection against noise. The user may also select signal coupling at these inputs.

The trigger switches provide a convenient method of setting a program flag. They may be depressed, in which case they spring back to the rest position when released, or they may be pushed upward into the latch position. The switch will remain in the latch

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position until the user resets it. A Light-Emitting Diode (LED) lamp is associated with each switch. These lamps are under program control, not electrically connected to the switches. While it is convenient to associate them with the state of a switch, they may indicate any condition the user desires.

All signal inputs at the front panel are fully protected against input voltages of ± 30 V. Any input signal outside the normal operating range ($\sim \pm 12$ V) will activate the protective circuitry. If the protective circuitry is overloaded, the circuit will break down in such a way as to open the signal line, and thus disconnect the overload signal from the signal-conditioning electronics.

3. Special System Peripherals

a. High-Resolution Clock

The High-Resolution Clock (HRC) is a programmable interval clock. The HRC may be programmed to set hardware flags at a periodic rate, or perform interval measurements on an external signal.

Figure XIII-9 is a block diagram of the HRC, which contains three 16-bit registers: the preset, the counting, and the output registers. The counting register is periodically incremented by the HRC control logic's scaled clock. The scaled clock is derived by dividing the selected clock frequency (internal 1 MHz or external clock) by the clock scale factor in the control register. When the counting register overflows (produces a carry-out of the most significant bit), the control logic automatically presets the counting register with the contents of the preset register. Thus, for a given scaled-clock frequency, the period between counter register overflows is determined by the contents of the preset register. By loading the preset register and control register with appropriate values, the user can program the counter register to overflow at precise intervals. The scaled-clock frequency selected by the user determines the basic resolution, while the contents of the preset register determines the interval within that resolution. The major constraints on the user are the number of available scaled-clock frequencies (four) and the fact that the counting register is 16 bits, which limits each period to 65,536 scaled-clock cycles. In normal operation, the output register tracks the counting register to allow a readout of the current clock value.

Each time the counting register overflows, the control logic produces an HRC overflow pulse. This overflow pulse is distributed to the other devices in the analog interface. This pulse allows the activity of the analog interface to be clocked at a precise, periodic rate. It is particularly useful for controlling the sampling interval on the analog-to-digital converter and the conversion intervals for the dual-channel digital-to-analog converters.

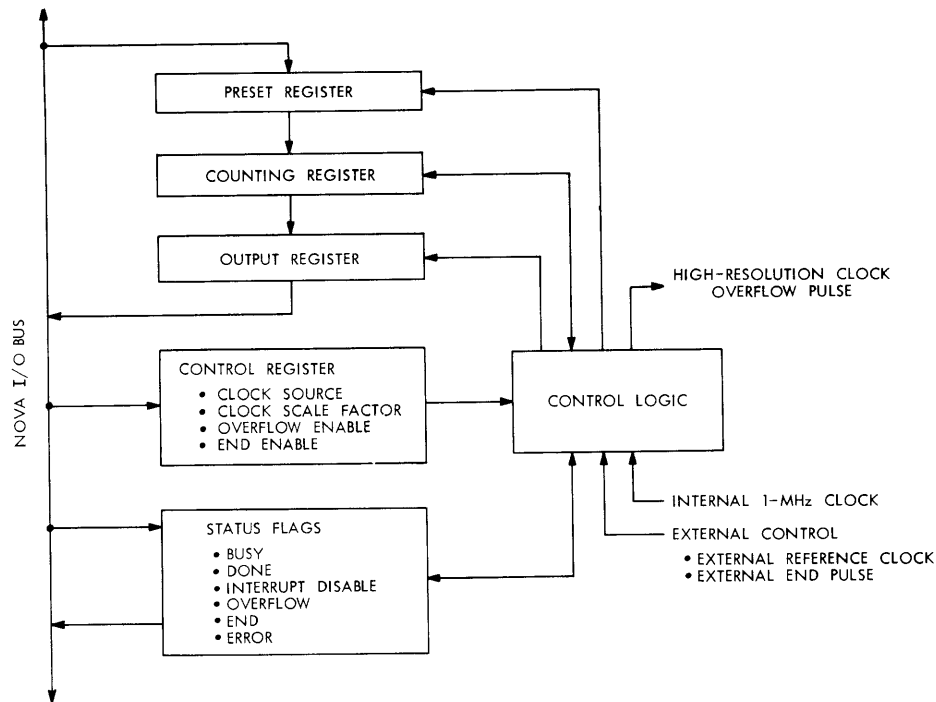


Fig. XIII-9. High-resolution clock. Arrows indicate data and control information flow.

An Overflow Enable feature allows the HRC to request program service when the counting register overflows. By use of this feature, a program can maintain elapsed time. An End Enable feature allows the output register to be frozen upon the occurrence of an external event, and hence provides the capability of interval measurement.

b. Analog Input

The Analog Input (AIN) provides 16 analog input channels. Any channel may be selected under program control to yield a 10-bit sample. Figure XIII-10 is a block diagram of the system. The front end of the device has channel conditioners to drive the 16-channel multiplexer. The output of the multiplexer is sampled by the Sample-and-Hold which drives the 10-bit analog-to-digital converter. The output of the analog-to-digital converter may be read in by the user. In addition to the analog section, a digital section is included containing the channel select register, the control register, the status flags, and the control logic.

The contents of the channel select register determines which of the input channels is selected by the multiplexer for the Sample-and-Hold. If the user selects the scan mode, the content of the channel select register is automatically incremented after each conversion, so that the next sequential channel is selected.

The control register determines the mode of operation of the AIN. It allows the user

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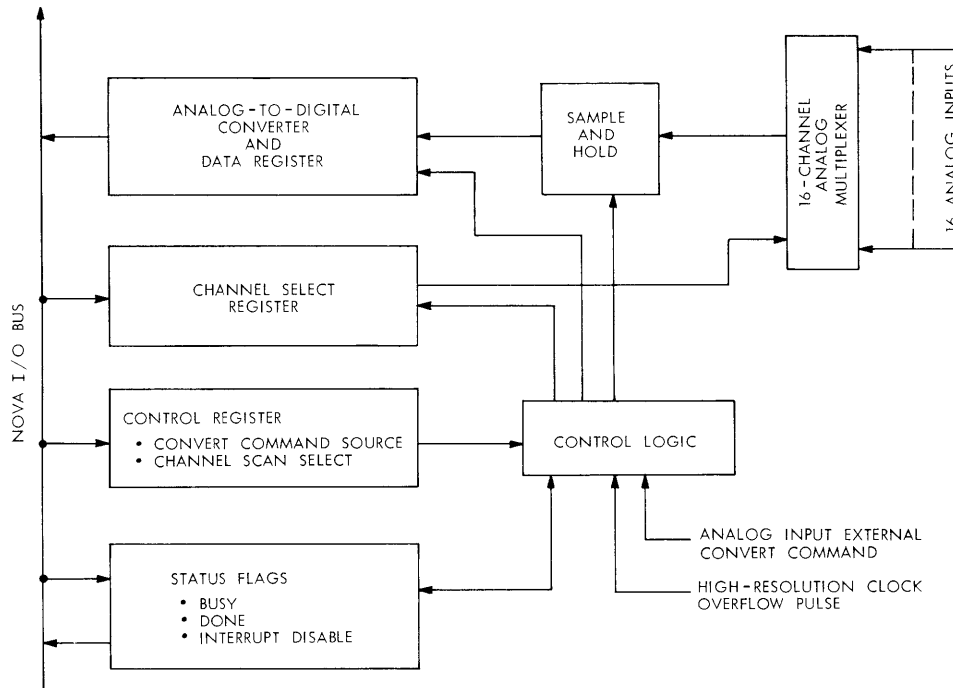


Fig. XIII-10. Analog input.

to specify the source of the command in order to initiate a new conversion cycle, as well as to select a scanning or nonscanning mode. The user may select, as the source of the conversion command, the program, the HRC overflow pulse, or an external control line.

A conversion cycle comprises the following events: First, the request for a conversion cycle is noted by the hardware. When the multiplexer has selected the requested channel (as specified by the channel select register) the conversion is initiated by sampling the output of the multiplexer. After the Sample-and-Hold has acquired the signal and "frozen" it, the analog-to-digital converter begins the actual conversion to a digital representation. Since the Sample-and-Hold maintains the signal value, the multiplexer may be released to scan to a new channel, if this mode is selected. When the converter finishes, the AIN will indicate that a valid conversion value is available to the user.

c. Dual Channel Analog Output

The Dual Channel Analog Output (AOUT) comprises two 10-bit digital-to-analog converters and the associated control logic. The AOUT (Fig. XIII-11) allows the user to generate two channels of analog output in the ± 2 V range. Output conversions may be initiated under direct program control from the HRC overflow pulse, or from an external control line.

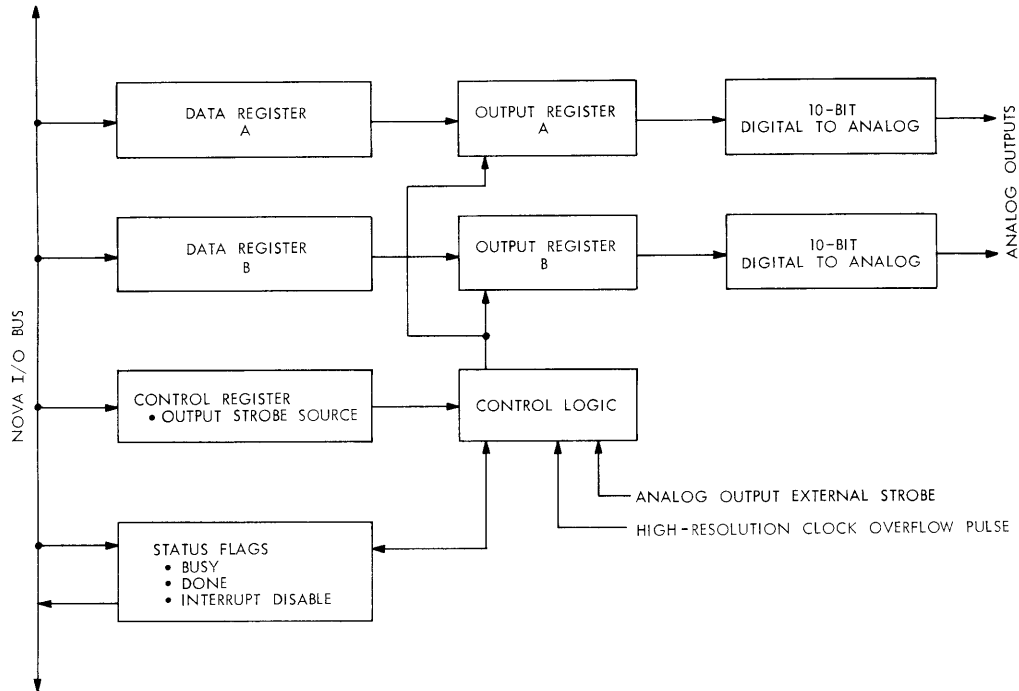


Fig. XIII-11. Analog output.

The data path for each channel goes from the program (by way of the NOVA bus) to the channel data register, from the data register to the output register, and finally to the 10-bit digital-to-analog converter. The user may load the data register directly, while the AOUT control logic loads the output register. While the data registers are loaded independently, the output registers are loaded by the same signal, so that any changes in output are synchronous. The control register selects the source of the signal to initiate the load signal to the output register. Status flags are provided to indicate the current status of the device and to request program service.

The use of two buffers in each channel (double buffering) allows the user to set up the desired output value in advance of the actual time it will be required, without changing the previous output value.

d. Display Hardware

The Display (DISP) provides the capability of generating displays. The DISP has two 10-bit digital-to-analog converters, and provides an addressable field of 1024 × 1024 points. As many as four X-Y display devices may be attached to the DISP output bus. The same X-Y deflection signals are applied to each device, but the devices are individually addressable for beam intensification.

Figure XIII-12 is a block diagram of the DISP. The unit is similar to the AOUT,

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with the addition of intensification logic, and interfacing to the display bus. As in the AOUT, the data registers for each channel may be independently loaded by the user. Output registers for both channels are loaded simultaneously by the control logic. The digital-to-analog converters simply track the contents of the output registers and convert the contents to an analog signal in the ± 2 V range. The control register permits selection of the source of the command to plot a new point, selection of the output channels to be intensified, and specification of special-device options.

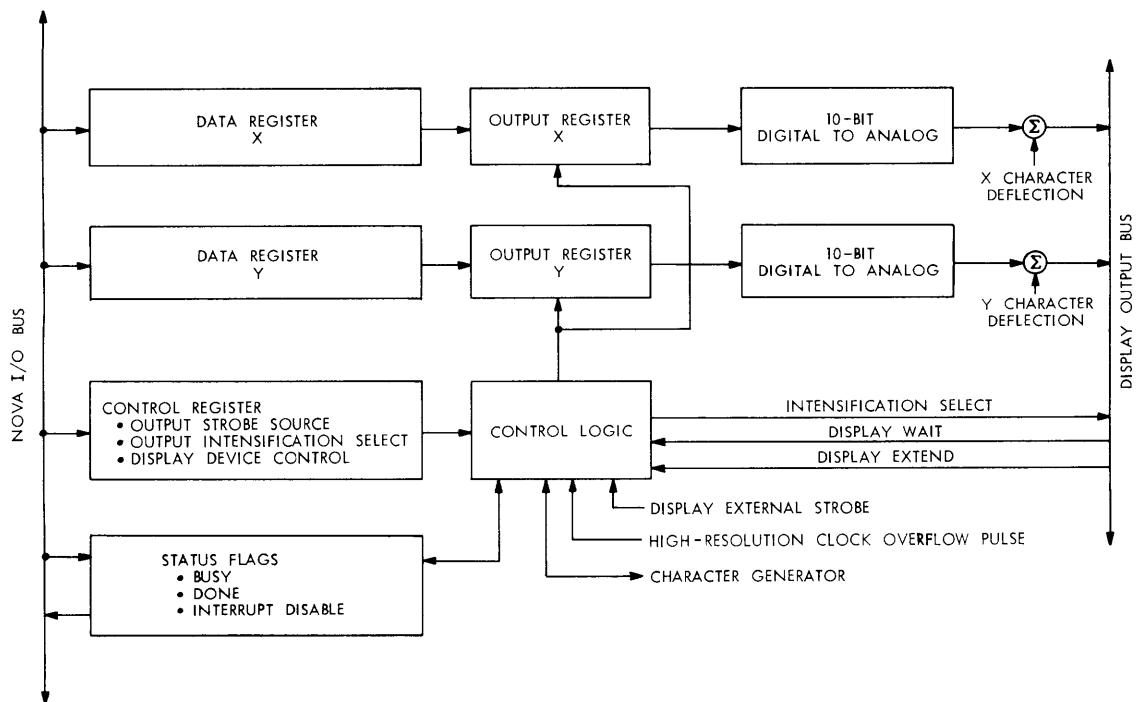


Fig. XIII-12. Display diagram.

A plot cycle begins when the program senses (through the DISP status flags) the end of previous display channel activity. At this time the program loads the X-Y data registers with the position of the next point. When the DISP control logic receives a command to plot the next point it loads the output registers with the contents of the corresponding X-Y data registers, and thus the analog outputs change. When the X-Y signals have settled to the new position, a plot command is sent to every display output device selected in the control register. After a preset time the DISP control logic indicates that the plot cycle is complete. If a display device is not able to respond to the plot command in the allotted time, it activates the Display Extend line. This causes the control logic to extend the cycle until the device is finished.

A display output device may also indicate that it is not ready to accept changes in the values of X-Y by activating the Display Wait line. The DISP control logic will not change the contents of the output registers while this signal is active, and thus prevents change in the X-Y analog signals. If the control logic receives a request to load the output registers while Display Wait is active, it will honor the request as soon as Display Wait becomes nonactive. Typical applications of the Wait feature are raising and lowering the pen on a hardcopy plotter or preventing writing on a storage oscilloscope while the screen is being erased.

e. Character Generator

The hardware Character Generator (CHAR) provides the user with a system for the generation of characters on the display output devices. The CHAR can represent 64 ASCII characters by a 5 x 7 dot matrix. The hardware in use at the present time is an improved version of a character generator described in an earlier report.³

Figure XIII-13 is a block diagram of the character generator. The user may access the character register, size register, and status flags. The character register specifies the character to be drawn, while the size register specifies the physical size of the character on the display device. The status flags are shared with the DISP logic,

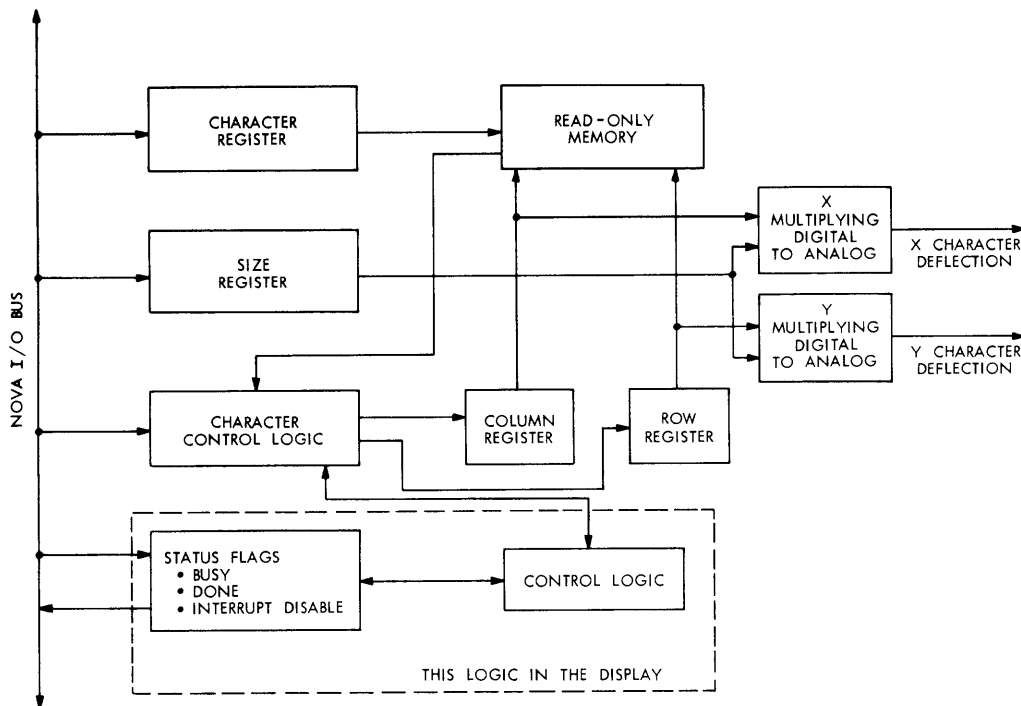


Fig. XIII-13. Character generator.

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so that the user need not remember which device was the last to carry out display activity, nor need he test the status of both DISP and CHAR. All the user need do is verify that the device he wishes to use is free.

The column and row registers (which are not accessible to the user) specify the current column and row address of the dot that is to be plotted. Together with the character code, these registers provide address information for the Read Only Memory. The output of the Read Only Memory for a given character, column and row determines whether or not a dot will be drawn on the display. The column and row registers specify the relative deflections of the X and Y outputs, and thus scan the character field. In Fig. XIII-12 the deflection signals from the CHAR are summed with the current X and Y coordinates to determine the actual position of the character on the display field.

f. General-Purpose Digital Register

The General-Purpose Digital Register (GPR) provides 16 lines of digital input and 16 lines of digital output for the user. The GPR allows the user to interface to digitally programmed hardware that is not used often enough to justify construction of a special-purpose interface to the NOVA.

Figure XIII-14 is a block diagram of the GPR. A 16-bit digital output register is

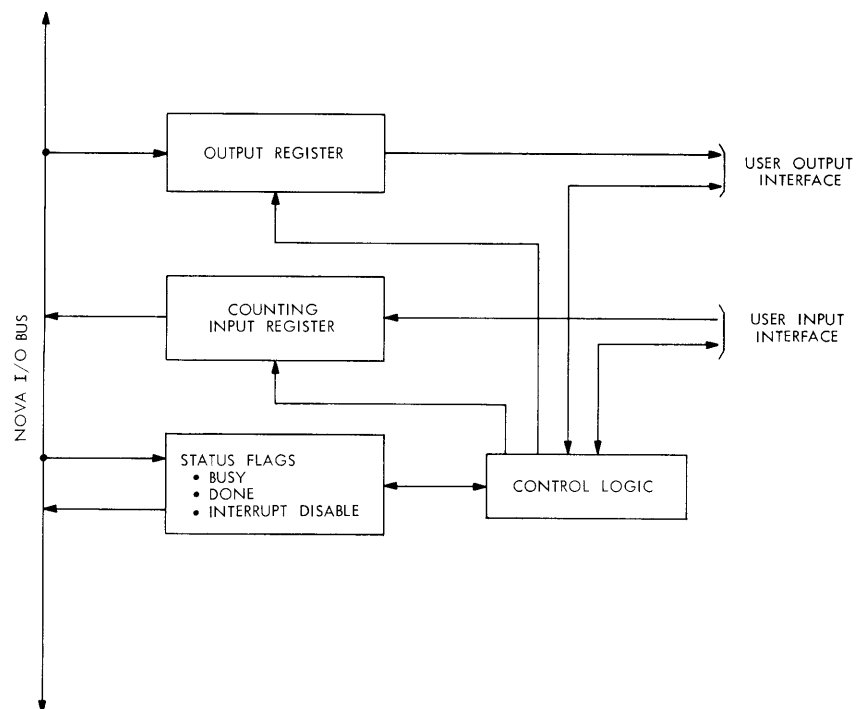


Fig. XIII-14. General-purpose register.

provided. The programmer may load this register and the contents remains fixed until changed by the user. The 16-bit counting input register will accept 16 parallel digital inputs from a user interface. It may also be incremented by the user interface for use as an event counter.

The control logic allows control of the status flags by the user interface, so that the interface may request program service as would any special-purpose interface.

g. Trigger Input

The Trigger Input (TRG) provides a facility for interaction between the user and program. Four front-panel switches are provided, and when the user trips any of these switches a unique hardware flag is set which may be interrogated by the program. Analog trigger inputs are also provided. Each of these inputs allows an analog signal to set a unique trigger flag, which can be interrogated in a manner similar to the switch flags. Thus a wide variety of signals may be used to flag events for the program.

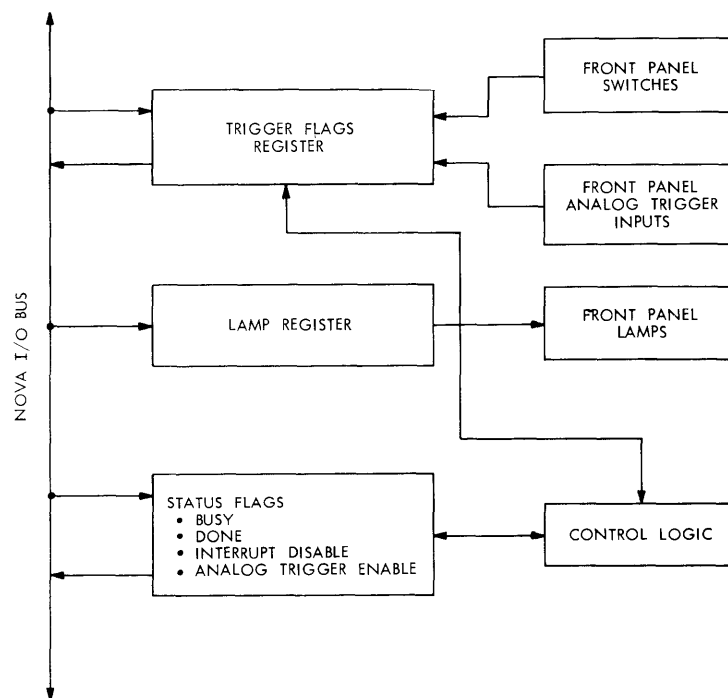


Fig. XIII-15. Trigger input.

Figure XIII-15 is a block diagram of the TRG system. The TRG is represented on the front panel by 4 switches, 4 analog trigger inputs, and 4 LED lamps (see Fig. XIII-8). The various inputs set flag bits in the trigger flags register. The user may load the lamp register, and thus activate a LED lamp on the front panel. The control logic responds

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to changes in the status of the trigger flags register (and thus indirectly in the various inputs) and sets the status flags to request program service.

Each of the analog trigger inputs accepts analog inputs in the ± 10 V range and compares the input with a reference value determined by the threshold knob (above and to the right of the input, see Fig. XIII-8). When the input signal exceeds the reference, an event is said to have occurred on that input. Thus a trigger flag is set on the occurrence of an event, and will remain set even after completion of the event. If another event occurs while the corresponding trigger flag is set, the flag will remain set.

The implementation of this system would not have been possible without the guidance and encouragement of Professor S. K. Burns, who also designed the hardware character generator. The General-Purpose Register was designed by Barry Gaiman, who also provided many useful comments about programming the system during the development stage.

J. B. Walters, Jr.

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C. TIME-DOMAIN FILTERS

1. Introduction

The trend toward computer monitoring and analysis of the electrocardiogram (ECG) has led to the development of programmed digital filters to eliminate noise prior to detection of the QRS complex and analysis of morphology. Multipole frequency-domain filters are adequate for both tasks but, in general, require large amounts of processor time.

Improved detection for the QRS complex in question can be achieved by using a matched filter, but implementation of such a filter by correlation with a template of a QRS complex requires many times more processor time than is required for frequency-domain filters.

An improvement in the speed of such a filter can be accomplished by a simplification of the template. The QRS can be well approximated by a triangular-shaped wave of

similar width and height. Correlation of the incoming signal by this triangle would very closely approximate a matched filter based on the original QRS. Since the operation is

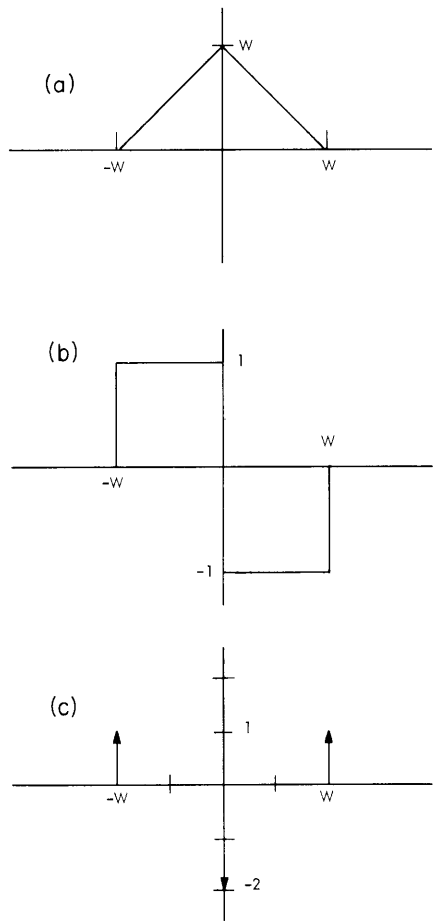


Fig. XIII-16. Triangle lowpass filter.

- (a) Impulse response of a triangle lowpass of width = W samples.
- (b) First derivative of an impulse response.
- (c) Second derivative of an impulse response.

linear, the same result can be obtained by correlating with the second derivative of the triangle and then integrating the result twice. A triangle wave with a base of $2W$ samples is shown in Fig. XIII-16. If the height is set to W to give the triangle unity slope, the second derivative becomes simply

$$h''(n) = u_0(N-W) - 2u_0(N) + u_0(N+W).$$

Correlation of the incoming signal $x(N)$ with the second derivative of the triangle is defined as

$$y''(N) = x(i) h''(i-N),$$

which gives

$$y''(N) = x(N-W) - 2x(N) + x(N+W). \quad (1)$$

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The required multiplication by two can be accomplished easily by a left-shift on a binary computer, which makes the correlation extremely fast. The final result can then be obtained by discrete integration.

$$y'(N) = \sum_{i=-\infty}^N y''(i) \quad (2)$$

$$y(N) = \sum_{i=-\infty}^N y'(i). \quad (3)$$

The integration can be carried out recursively. The derivation follows from Eqs. 2 and 3.

$$y'(N) = \sum_{i=-\infty}^{N-1} y''(i) + y''(N) \quad (4)$$

$$y(N) = \sum_{i=-\infty}^{N-1} y'(i) + y'(N). \quad (5)$$

By substituting (2) and (3) in (4) and (5), we obtain

$$y'(N) = y'(N-1) + y''(N) \quad (6)$$

$$y(N) = y(N-1) + y'(N). \quad (7)$$

If $x(N)$ is a real signal, we may assume that $x(N)$ is zero for $N \leq 0$. Therefore, $y''(N)$, $y'(N)$, and $y(N)$ are zero for $N \leq -W$:

$$y'(N) = 0 \quad (N \leq -W) \quad (8)$$

$$y'(N) = y'(N-1) + y''(N) \quad (N \leq -W) \quad (9)$$

$$y(N) = 0 \quad (N \leq -W) \quad (10)$$

$$y(N) = y(N-1) + y'(N) \quad (N \leq -W). \quad (11)$$

Equations 1 and 8-11 define a filter whose impulse response is a triangle that can be implemented with no multiplicative operations.

The frequency response of this filter is just the Fourier integral of the triangle wave,

$$F(f) = W^2 \sin^2(\pi Wf) / (\pi Wf)^2.$$

As the width of the triangle W is measured in sample intervals, f is measured in

reciprocal sample intervals. If the sample interval is T , then the sample frequency is $F = 1/T$. To convert f to frequency, we must multiply by F . The spectrum of the triangle filter is shown in Fig. XIII-17. It has zeros at multiples of F/W and decreases

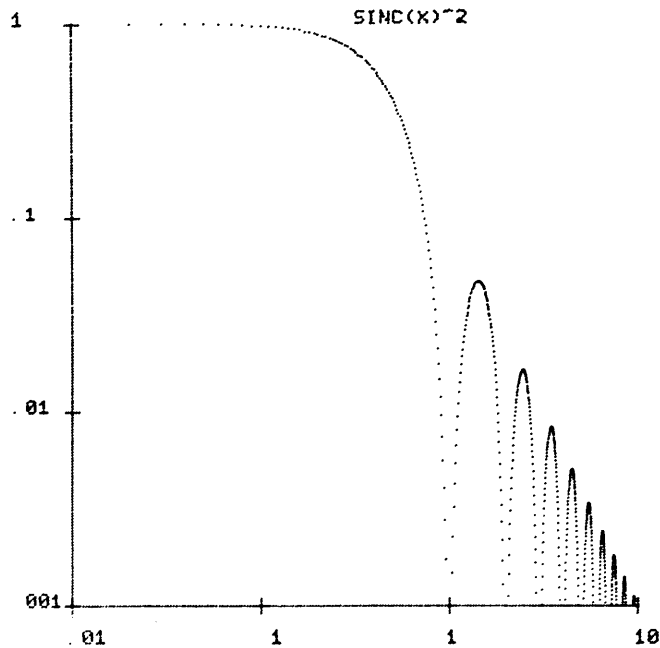


Fig. XIII-17. Normalized magnitude response of triangle lowpass. Gain of actual filter = W^2 . First zero = F/W , with F the sample rate. W = width of triangle as shown in Fig. XIII-16.

asymptotically as $1/f^2$ or at 12 dB/octave. Since $y(N)$ is a real, even function, the imaginary part of the spectrum vanishes and, therefore, the phase spectrum is zero. This is possible because the filter as shown is nonrealizable, since the output is a function of input samples which have not yet occurred. This situation can be remedied by reformulating the filter so that it has a delay of W , and makes the phase spectrum $F(f) = 2\pi Wf$.

The triangle filter has other advantages than its speed of execution. The linear phase shift means that the only phase distortion imparted to the signal is that represented by a delay of W . As it has no ringing and its impulse response is limited to $2W$ points, it is easy to detect the time of an event. By choosing the sample rate to be a multiple of 60 Hz, the width of the filter can easily be arranged so that one of the zeros falls at 60 Hz, thereby eliminating power-line interference.

Since this acts only as a lowpass filter, an additional highpass filter must be added

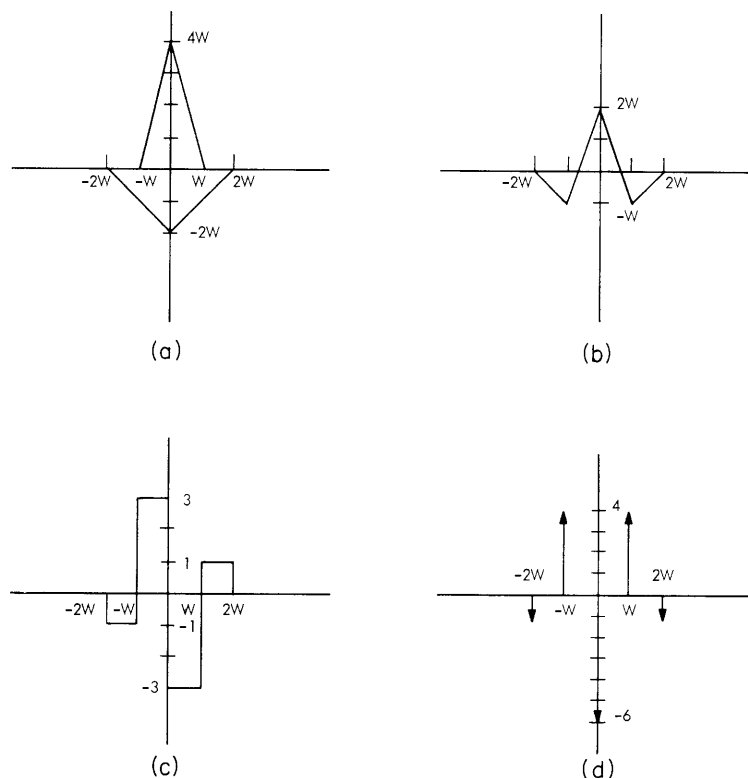


Fig. XIII-18. Impulse response of triangle₂ bandpass.

- (a) Two superimposed triangles in impulse response.
- (b) Impulse response.
- (c) First derivative of impulse response.
- (d) Second derivative of impulse response.

to remove baseline shift. This problem can be solved by using the following filter. Two triangles of width W and $2W$, with equal but opposite areas, are superimposed as shown in Fig. XIII-18. Again the heights of the triangles are adjusted so that the resultant waveform has integral slopes. The waveform looks very much like a QRS complex, and, as it has zero area (zero dc component), its transform must have a zero at $f = 0$. In fact, its spectrum is the superposition of the spectra of the two triangles,

$$F(f) = 4W^2 \left(\frac{\sin^2(2\pi Wf)}{(2\pi Wf)^2} - \frac{\sin^2(4\pi Wf)}{(4\pi Wf)^2} \right)$$

as shown in Fig. XIII-19. Correlation with this waveform is performed as before, by correlation with its second derivative,

$$h''(N) = -u_o(N-2W) + 4u_o(N-W) - 6u_o(N) + 4u_o(N+W) - u_o(N+2W)$$

to obtain

$$y''(N) = -x(N-2W) + 4x(N-W) - 6x(N) + 4x(N+W) - x(N+2W). \quad (12)$$

Integration is performed using Eqs. 8-11 as before. Multiplication by 4 or 6 can be performed by a short series of addition and shift operations and no multiplications are required for implementation of this filter.

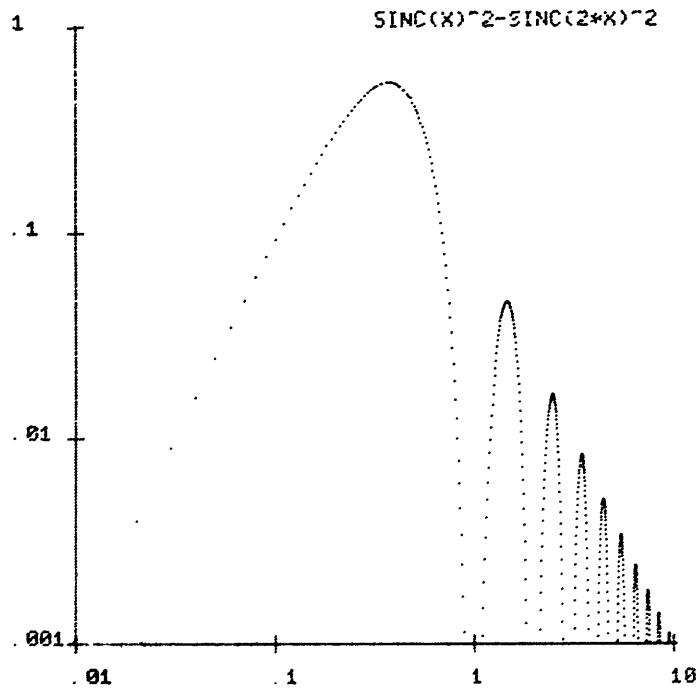


Fig. XIII-19. Normalized magnitude response of triangle₂ band-pass filter. Actual gain = $4W^2$. First zero = F/W , with F the sample rate. W = width of filter as shown in Fig. XIII-18.

The filter, which will be referred to as a triangle₂ bandpass, falls off at approximately 12 dB/octave at the low end, as can be shown from the series expansion of $F(f)$. It also has linear phase characteristics, and therefore only minimally distorts the signal. As a narrow bandpass filter it is excellent for detecting the QRS.

A highpass filter may be implemented by subtracting the output of a triangle lowpass filter from the input signal, $x(N)$, after normalizing for the gain of the filter. The filter is defined by

$$y(N) = W^2 x(N) - y_t(N),$$

where $y_t(N)$ is the output of a triangle filter of width W (and gain W^2). Since multiplication

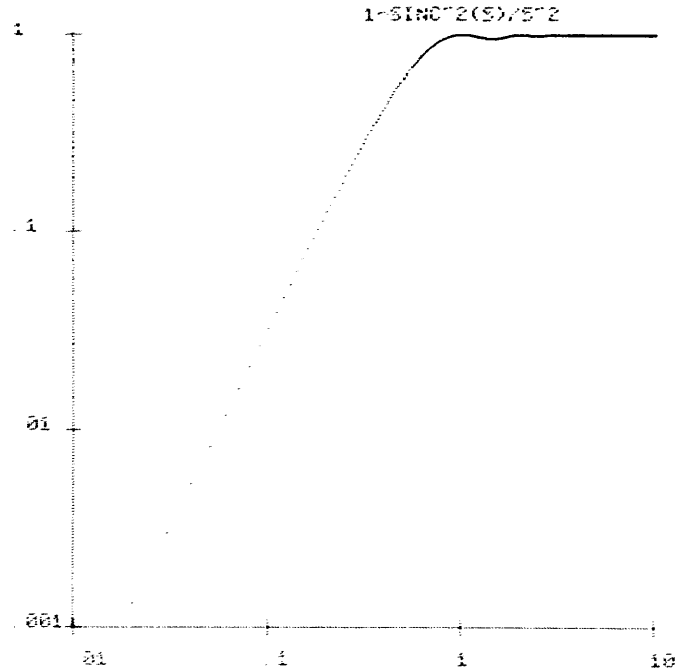


Fig. XIII-20. Normalized magnitude response of triangle highpass filter. Actual gain = W^2 . To convert x axis to frequency, multiply x coordinate by F/W , with F the sample rate. W = width of the triangle.

by W^2 is needed to normalize the gain of the triangle lowpass, this filter can take somewhat longer to execute than the previous filters unless a hardware multiply instruction is available or unless W is a multiple of two. The filter has the frequency response shown in Fig. XIII-20 which rises like a triangle bandpass at 12 dB/octave and which has a slight ripple in the passband. Like the triangle lowpass, it has a linear phase shift and tends to distort the QRS less than a frequency-domain filter does.

2. Comparison of Frequency-Domain and Time-Domain Filters

To evaluate the effectiveness of the time-domain filters described here, they were compared with first-, second- and third-order frequency-domain filters on representative sections of ECGs with varying amounts of noise. Paynter filters were chosen for comparison because the smoothness of their transient response makes them ideal for use on ECGs. The z transforms of the Paynter filters were derived by use of the bilinear transformation described by Gold and Rader,¹ and the results were then transformed into difference equations. The difference equations were then implemented² in Real-Time BASIC on a Data General Nova 800 minicomputer. Four-second records of ECGs with combinations of muscle noise, baseline shift, and 60-Hz interference were sampled at a rate of 240 Hz and analyzed with the described highpass, lowpass,

and bandpass filters. The results were then normalized to have the same maximum deviation from the baseline as the original, and were plotted with the original for comparison.

ECGs with 60-Hz interference and a muscle noise were lowpass-filtered. It was found that second-order filters were far superior to first-order filters in removing both types of noise. Third-order filters were only slightly better than second-order, however. Triangle filters appeared to be approximately as effective as third-order filters in decreasing the maximum peak-to-peak amplitude of the noise, which is the essential criterion if the output of the filter is to be sent to a threshold detector. The triangle filters, however, left a considerable amount of low-amplitude, high-frequency noise in the signal, probably because of the lobes in the response of the triangle filters (Fig. XIII-21).

Somewhat different results are found when highpass filters were run on segments

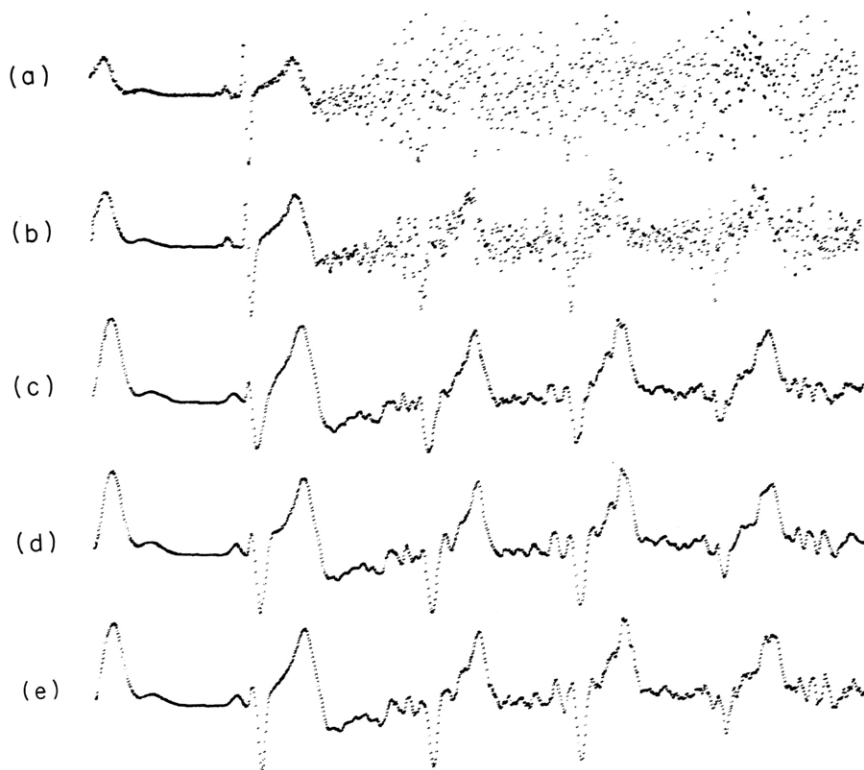


Fig. XIII-21. Lowpass filters.
 (a) Four-second segment of ECG with severe muscle noise sampled at 240 Hz.
 (b) First-order lowpass at 12 Hz.
 (c) Second-order lowpass at 12 Hz.
 (d) Third-order lowpass at 12 Hz.
 (e) Triangle lowpass, $W = 10$.

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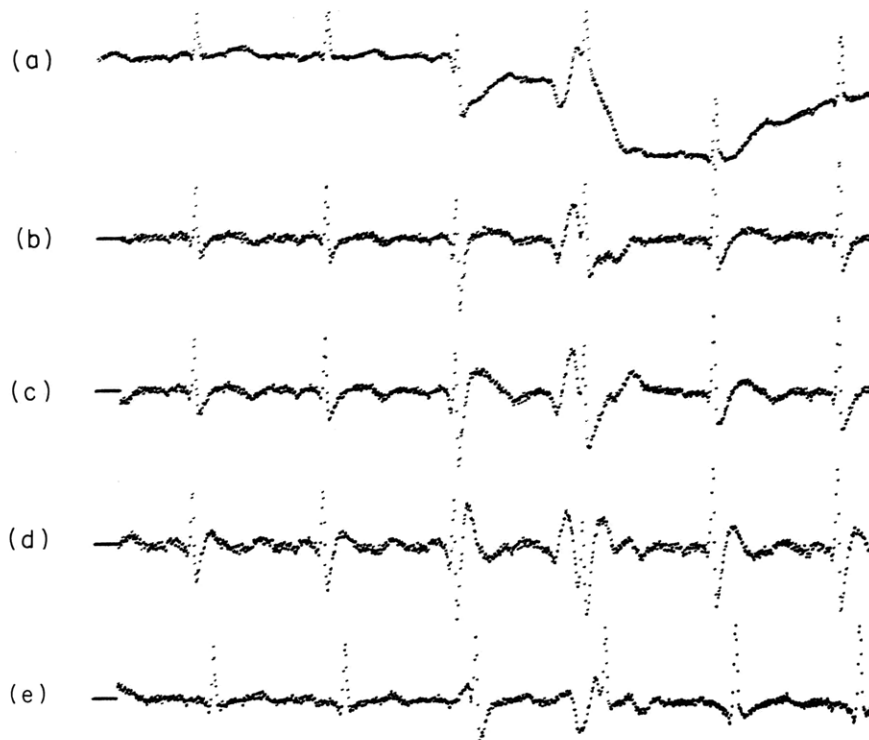


Fig. XIII-22. Highpass filters.
(a) Four-second segment of ECG with baseline shift sampled at 240 Hz.
(b) First-order highpass at 6 Hz.
(c) Second-order highpass at 6 Hz.
(d) Third-order highpass at 6 Hz.
(e) Triangle highpass, $W = 30$.

of ECGs with baseline shift. First-order filters did an admirable job in removing most of the artifact, and higher order filters seemed to improve performance only slightly (Fig. XIII-22). In fact, in many cases the overshoot and ringing of the higher order filters was so objectionable that first-order filters seemed to be the best choice. Triangle filters were far superior to frequency-domain filters for all cases. The peak amplitude of the artifact after filtering was always less with triangle highpass filters, and they had no ringing.

Data with combinations of muscle noise, 60-Hz noise and baseline shift were filtered with bandpass filters and some surprising results were found (Fig. XIII-23). The slopes and bandwidth of the bandpass filters seem to be more important than the center frequencies in determining their usefulness as QRS detectors. According to the uncertainty relation, the equivalent bandwidth and the equivalent duration of a signal are inversely related. As the bandwidth of the bandpass filters becomes narrower, the energy of the QRS complex becomes more spread out in time.

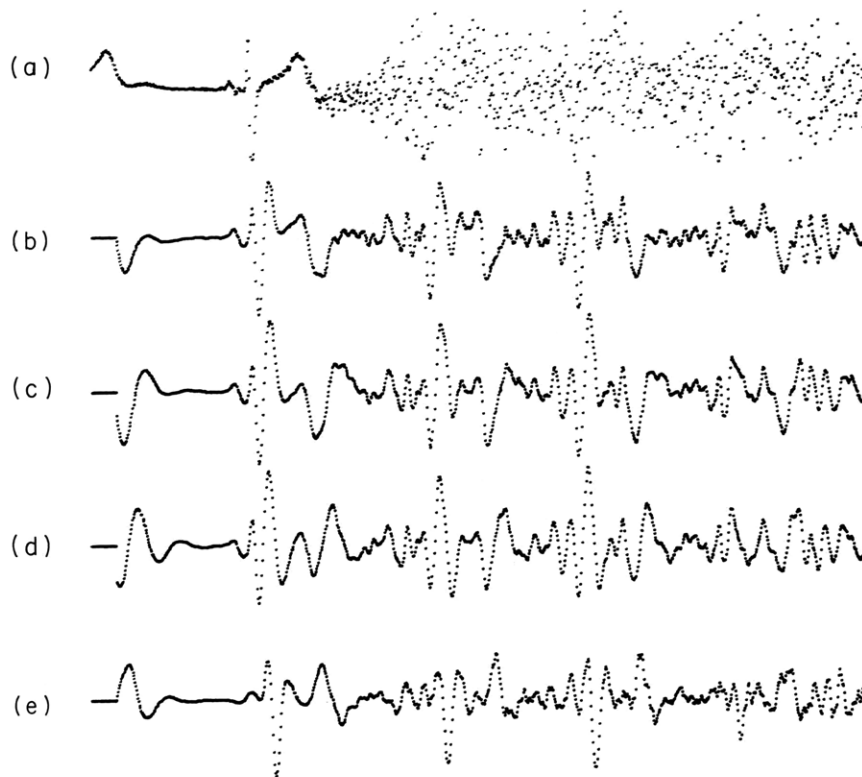


Fig. XIII-23. Bandpass filters.
 (a) Four-second segment of ECG with severe muscle noise sampled at 240 Hz.
 (b) First-order highpass at 12 Hz, third-order lowpass at 12 Hz.
 (c) Second-order highpass at 8 Hz, third-order lowpass at 12 Hz.
 (d) Third-order highpass at 6 Hz, third-order lowpass at 12 Hz.
 (e) Triangle₃ bandpass, $W = 10$.

Therefore, it becomes more difficult to determine the center of the complex in the noise, and this makes narrow bandpass filters poor detectors for arrhythmia monitors where the timing of the QRS complex is important.

In order to compare quantitatively the effectiveness of the bandpass filters, several detection algorithms were simulated with different filters used. Triangle bandpass filters were consistently better than frequency-domain bandpass filters in detecting the QRS. They were also better for determining the exact time of occurrence of the QRS.

Effectiveness of the two types of filters was further compared after each was written in Assembler language for a Nova 800 computer. The fastest possible implementation of the filters was used even to the extent of using in-line signed multiplies instead of calling a subroutine in the frequency-domain filters. It was found that a

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triangle lowpass requires approximately $15\ \mu\text{s}$ per data point, and a triangle₂ bandpass requires $20\ \mu\text{s}$ per point. Frequency-domain filters without multiply hardware take considerably longer. A three-pole filter requires $250\ \mu\text{s}$ per point, and the execution time for still higher order filters was found to be roughly proportional to the number of poles. If a hardware multiply unit is added to the system, the time for a three-pole filter is approximately $67\ \mu\text{s}$ per point, five times higher than a triangle lowpass.

3. Conclusion

The time-domain filters that we have described seem to be extremely effective detectors of a QRS complex. The best triangle bandpass filter for detection appears to be a triangle bandpass with $F/W = 24\ \text{Hz}$, where F is the sample rate. The best frequency-domain detector uses a one-pole highpass at $12\ \text{Hz}$ and a three-pole lowpass at $12\ \text{Hz}$ and disables the detector for the T wave. If the timing of the QRS is not important, a higher order highpass at a lower frequency will improve the detector slightly.

Although triangle lowpass filters are not better in many cases than their frequency-domain counterparts, and in fact are sometimes somewhat worse, triangle highpass filters seem to be without equal. If time is not an issue, therefore, one might want to employ a triangle highpass and a three-pole lowpass in his detector.

J. M. Arnold

References

1. B. Gold and C. W. Rader, Digital Processing of Signals (McGraw-Hill Book Company, Inc., New York, 1969).
2. B. Gaiman, "A Real-Time Digital Processing System for Electrophysiologic Waveforms," S. M. Thesis, Department of Electrical Engineering, M. I. T., 1970.

D. VECTORCARDIOGRAPHIC CHARACTERIZATION OF PREMATURE VENTRICULAR CONTRACTIONS

An incidence of premature ventricular contractions (PVCs) can be a serious clinical sign in cardiac patients. Frequent PVCs furnish a measure of cardiac irritability and are often precursors of more serious cardiac conditions, such as ventricular tachycardia and ventricular fibrillation. Even an occasional PVC, under certain conditions, can be premonitory. Thus early detection of PVCs allows time to attempt to forestall more serious conditions.¹ Considerable effort has been invested in the development of automatic detection systems for PVCs. Special-purpose hardware²⁻⁴ and computer monitoring⁵ have both been employed.

An approach employing vectorcardiography for the detection of PVCs is being developed. Theoretical aspects of our approach and some preliminary experimental results are presented in this report. This research has four interrelated goals: (i) characterization of myocardial electrical activity attributable to PVCs in terms of vectorcardiograms, (ii) development of an algorithm to predict the occurrence of the next heartbeat, given past beat-to-beat times, (iii) implementation of a real-time PVC detection system using the results of (i) and (ii) on a minicomputer (Data General Nova), and (iv) evaluation of the real-time detection system with data collected in the clinic.

The rudiments of vectorcardiography and the characteristics of a PVC will be outlined. An algorithm to compute the morphological feature of a ventricular contraction defined as the "signed area vector" will be derived. The important properties of the algorithm and a practical implementation of it will be described. Some experimental results will also be presented.

1. Vectorcardiography and Premature Ventricular Contractions

The muscular contractions of the heart establish electric and magnetic fields. A single equivalent electric dipole with fixed origin is a commonly used model of myocardial electrical activity. This dipole changes its magnitude and direction with time

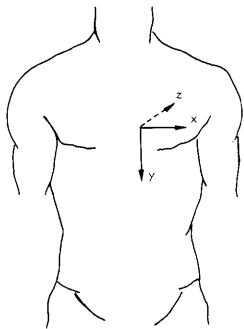


Fig. XIII-24. Vectorcardiographic axes.

as the contractile sequence progresses. A vectorcardiogram is a display of the time progression of the dipole constructed by measuring electrical potentials at the body surface. The vectorcardiogram is constructed from three simultaneous signals that can be viewed collectively as a time-variant three-vector. At any given time, the vector is assumed to be proportional to the equivalent electric dipole vector. Anatomical axes (Fig. XIII-24) are ordinarily used for the signals. The vectorcardiogram is viewed as an x-y plot of pairs of the component scalar waveforms. The three standard planes or projections are the frontal (xy), horizontal, (xz) and sagittal (yz) planes.

A well-defined contraction sequence occurs in the normal heart. Consequently, the equivalent electric dipole exhibits a characteristic progression during the contraction

and varies only slightly from beat to beat. Premature ventricular contractions are abnormal, and consequently their vectorcardiogram often looks different from that of the normal ventricular contraction (Fig. XIII-25).

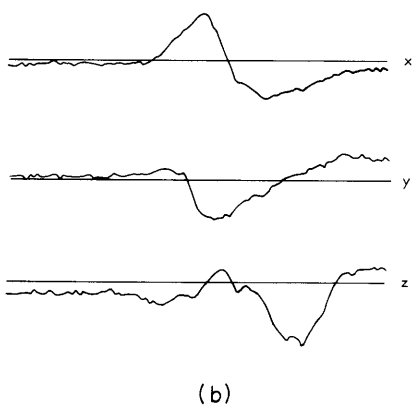
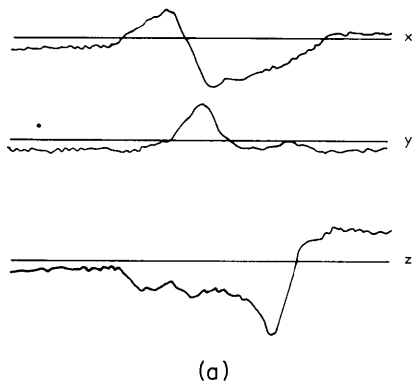


Fig. XIII-25.

Scalars of vectorcardiograms for (a) normal ventricular contraction and (b) premature ventricular contraction. The x components are similar, the y and z components are different. (250 ms samples.)

As the name suggests, a common feature of the PVC is prematurity; that is, it comes before the next expected normal beat. Both the temporal and morphological characteristics of the PVC can be useful in the discrimination between normal and premature ventricular contractions.

The vector tip sweeps out a complicated curve in three-space as a ventricular contraction occurs. Although the points corresponding to the beginning and the end of the ventricular contraction are seldom the same, they are usually near the origin and hence close in comparison with the deviations of the vector observed during the contraction. The planar curve corresponding to the projection of the three-dimensional curve onto a standard projection plane can be quite complex, for example, self-intersecting and not closed. The shape, direction, and relative sizes of these projected curves are of diagnostic value.⁶

The "signed area" of the region enclosed by a projected planar curve is the

morphology measure used to characterize ventricular contractions. For the moment, assume that the projected curve is closed; that is, it is a loop, and nonintersecting. (These conditions will be relaxed later.) The magnitude of the signed area of the region enclosed by a loop is the area of the region. The sign of the area is arbitrary; a convention is established by observing in which direction the loop is formed (clockwise +, counterclockwise -). (See Fig. XIII-26.)

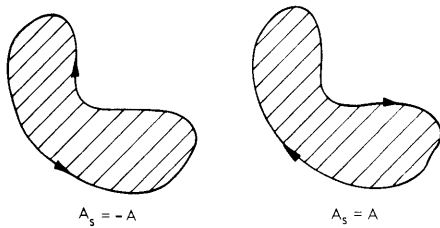


Fig. XIII-26. Signed area, A_s , of a region of area A . The direction of formation for the loop boundary is indicated by arrows.

Three parameters corresponding to the signed areas of the standard projection loops (frontal, horizontal, and sagittal) are assigned to each ventricular contraction. An algorithm to compute the signed area of a large class of loops will be derived and some of its properties discussed. Since polygons can be used to approximate continuous loops, the algorithm is specialized for computing signed areas of polygons.

2. Algorithm to Compute the Sign Area Vector

The derivation of the algorithm is based on Green's theorem of differential geometry.⁷ A few terms should be defined before the statement of the relationship. A region is said to be connected if every pair of points belonging to the region can be joined by a piecewise-smooth curve lying entirely within the region. A simple closed curve is a nonintersecting closed curve (loop) of a finite number of arcs joined end to end. A simply connected region has the property that every simple closed curve drawn in the interior of the region can be shrunk by a continuous deformation to a point without crossing the boundaries of R .

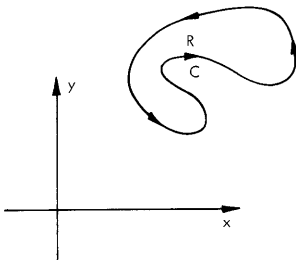


Fig. XIII-27. A simply connected region R bounded by closed curve C .

Green's theorem relates a line integral to a planar integral: Let R be a bounded simply connected planar region with a simple closed curve C as its boundary (Fig. XIII-27).

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Let $M = M(x, y)$ and $N = N(x, y)$. Assume $\partial M/\partial y$ and $\partial N/\partial x$ are continuous throughout R . Then

$$I \equiv \iint_R \left[\frac{\partial M}{\partial y} - \frac{\partial N}{\partial x} \right] dx dy = - \oint_C [M(x, y) dx + N(x, y) dy]. \quad (1)$$

The area, A , of the region R can be computed by appropriate choices of $M(x, y)$ and $N(x, y)$. Let $M(x, y) = -y$, and $N(x, y) = x$. Clearly $\partial M/\partial y$ and $\partial N/\partial x$ are continuous in R . Substitute our choices in (1). Then

$$\frac{\partial M}{\partial y} = -1 \quad \frac{\partial N}{\partial x} = +1$$

$$\iint_R [(-1) - (+1)] dx dy = - \oint_C [y dx + x dy]$$

$$2 \iint_R dx dy = \oint_C (-y dx + x dy)$$

$$2A = \oint_C (-y dx + x dy)$$

$$A = \frac{1}{2} \oint_C (-y dx + x dy). \quad (2)$$

Vectorcardiographic curves are parametrized by time. Consequently, (2) must be specialized for bounding curves specified parametrically. Let $(x(t), y(t))$, $T_i \leq t \leq T_f$, be a parametric description of a closed curve. Since the curve is closed, $x(T_i) = x(T_f)$ and $y(T_i) = y(T_f)$. Assume that dx/dt and dy/dt are continuous for $T_i \leq t \leq T_f$. Then $dx = \frac{dx}{dt} dt$ and $dy = \frac{dy}{dt} dt$, and upon substitution in (2),

$$A = \frac{1}{2} \int_{T_i}^{T_f} \left(-y \frac{dx}{dt} + x \frac{dy}{dt} \right) dt. \quad (3)$$

If the bounding curve is formed by joining arcs (a_1, a_2, \dots, a_n) , then (3) can be modified (Fig. XIII-28):

$$A = \frac{1}{2} \sum_{j=1}^n \int_{t_{j-1}}^{t_j} \left(-y \frac{dx}{dt} + x \frac{dy}{dt} \right) dt, \quad (4)$$

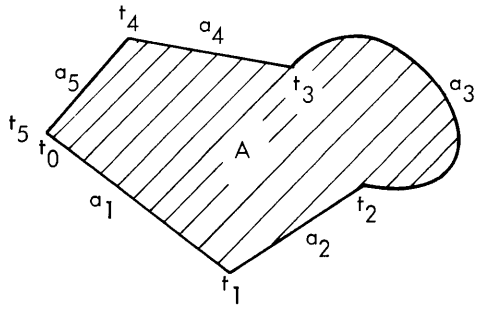


Fig. XIII-28.

A simply connected region bounded by a series of joined arcs (a_1, a_2, a_3, a_4, a_5). The area of the region is indicated.

$$A = \frac{1}{2} \sum_{j=1}^s \int_{t_{j-1}}^{t_j} \left(-y(t) \frac{dx(t)}{dt} + x(t) \frac{dy(t)}{dt} \right) dt.$$

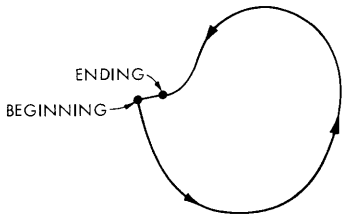


Fig. XIII-29.

Closure of a nonclosed planar curve; beginning and ending of the curve are joined by a line segment.

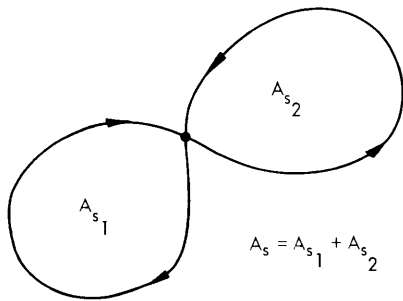


Fig. XIII-30.

Signed area of an intersecting curve. A_{s_1} is positive and A_{s_2} is negative. Consequently $|A_s|$ is not the sum of the areas of the component regions.

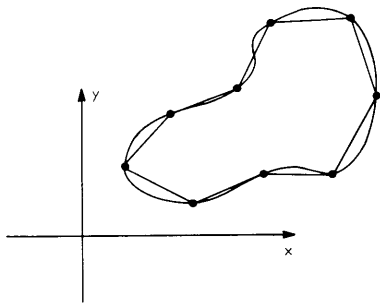


Fig. XIII-31.

Polygonal approximation to a continuous loop.

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where the arc a_j is defined on the parameter interval $t_{j-1} \leq t \leq t_j$.

The algorithm to compute the signed area (A_s) of a simply connected region is easily derived from the area integral (4). Only a sign convention depending on the direction of the loop need be introduced (Fig. XIII-26). Hence $A_s = -A$, and from (4) we have

$$A_s = -A = \frac{1}{2} \sum_{j=1}^n \int_{t_{j-1}}^{t_j} \left(x \frac{dy}{dt} - y \frac{dx}{dt} \right) dt \quad T_i \leq t \leq T_f. \quad (5)$$

The signed area as defined in (5) was derived under the assumption that the planar bounding curves are closed and nonintersecting. Unfortunately, very few vectorcardiography curves are closed, and some curves are intersecting. We handle these cases as follows. If the curve is not closed, we arbitrarily close it by joining the beginning and ending points of the curve by a line segment (Fig. XIII-29). If the beginning and ending of the curve are close, the overall shape of the resulting closed curve should not be grossly distorted.

An interpretation of the number derived from the signed area algorithm can be given to closed intersecting curves. The algorithm will compute a number equal to the sum of the signed areas of the component simply connected regions bounded by such a curve (Fig. XIII-30).

Loops of practical importance are polygons. Since digital processing of the vectorcardiographic signals involves sampling, the continuous loop of a projected standard is approximated by a polygon (Fig. XIII-31). If the loop is intersecting, it can be approximated by two or more polygons.

As the number of sides goes to infinity and the length of the largest side goes to zero, the polygon has the same signed area as the continuous loop. The number of sides approximating the loop should be large enough to approximate the signed area reasonably, but a large number of sides could impose formidable computational requirements and make roundoff errors significant in a practical implementation.

The signed area of a polygon can be computed from (5). Suppose the vertices of the polygon are $(x(k), y(k))$ $k=0, N$ (Fig. XIII-32). Assume $y(0) = y(N)$ and $x(0) = x(N)$. Fortunately, the signed area of a polygon reduces to a sum of differences of products

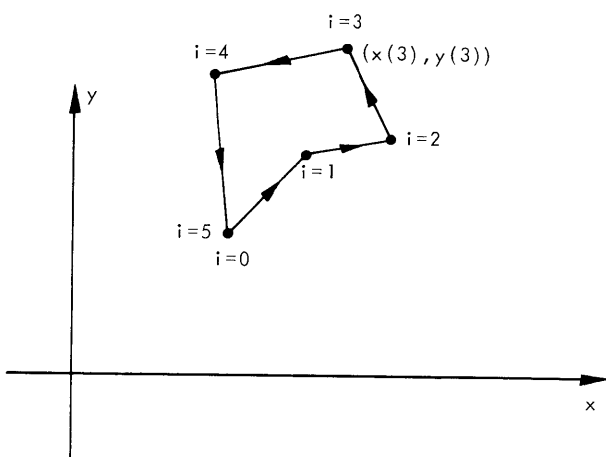


Fig. XIII-32.

Specification of polygon vertices.

$$A_s = \frac{1}{2} \sum_{i=1}^N [x(i)y(i-1) - y(i)x(i-1)]. \quad (6)$$

Let \underline{u}_x , \underline{u}_y , and \underline{u}_z be the usual unit vectors. Let $\underline{v}(i) = x(i)\underline{u}_x + y(i)\underline{u}_y$. Then (6) reduces to a sum of cross products.

$$A_s \underline{u}_z = \frac{1}{2} \sum_{i=1}^N \underline{v}(i) \times \underline{v}(i-1). \quad (7)$$

3. Implementation

The three-dimensional implementation of (7) for vectorcardiographic loops is straightforward. Assume $\underline{v}(kT) = v_x(kT)\underline{u}_x + v_y(kT)\underline{u}_y + v_z(kT)\underline{u}_z$, where T is the sampling period, k is the sample number, and $v_x(kT)$, $v_y(kT)$, $v_z(kT)$ are the values of the scalar components at time kT . The signed area vector is

$$\underline{A}_s = \frac{1}{2} \sum_{k=1}^N \underline{v}(k) \times \underline{v}(k-1) = A_s^s \underline{u}_x + A_s^h \underline{u}_y + A_s^f \underline{u}_z, \quad (8)$$

where A_s^s , A_s^h , and A_s^f are the signed areas of the sagittal, horizontal, and frontal projections. Note that $\underline{v}(NT)$ is set equal to $\underline{v}(0)$ so that the projected curves are closed.

A few comments are in order. The integral for the signed area has conveniently reduced to a sum of cross products. The real-time implementation of (8) on a computer is not difficult; it requires the computation of cross products between successive samples (6 multiplications and 3 subtractions). Another virtue of (8) is that the signed area vector is invariant with respect to the placement of the origin. The practical implication of this invariance is that the algorithm is unaffected by the ever-present baseline shifts in an otherwise noiseless environment.

Although baseline shift does not affect the value of the signed area in a noiseless environment, the signed area becomes more sensitive to noise as the baseline value increases in magnitude. Suppose $\hat{x}(k) \equiv x(k) + n_x(k)$ and $\hat{y}(k) \equiv y(k) + n_y(k)$. Assume that $n_x(k)$ and $n_y(k)$ are samples of uncorrelated identically distributed zero-mean Gaussian random variables with variance σ^2 . If

$$\hat{A} = \frac{1}{2} \sum_{k=1}^N \hat{x}(k)\hat{y}(k-1) - \hat{y}(k)\hat{x}(k-1)$$

and

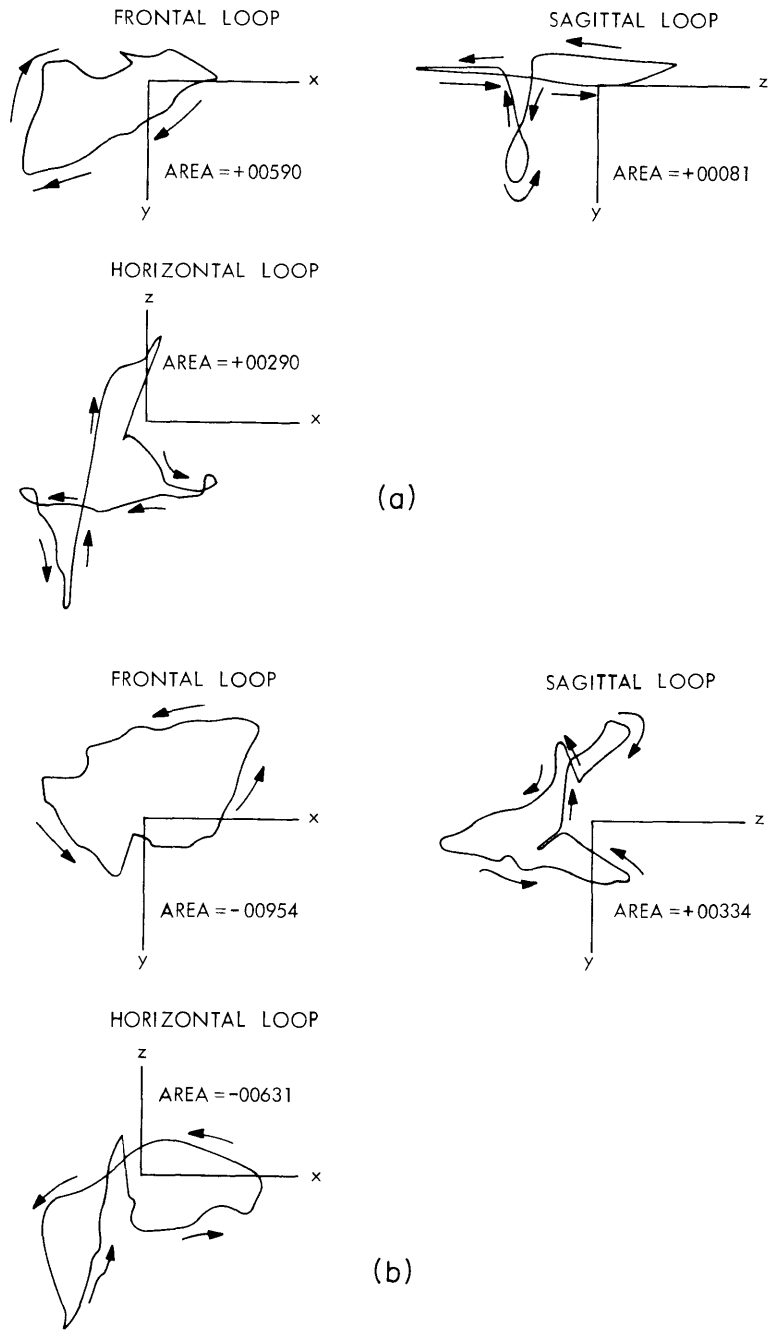


Fig. XIII-33. Signed areas (a) for normal ventriculation contraction and (b) for a PVC. Arrows indicate direction of loop.

$$A = \frac{1}{2} \sum_{k=1}^N x(k) y(k-1) - y(k) x(k-1) \quad (\text{the actual signed area}),$$

then it can be shown that

$$\begin{aligned} E[\hat{A}] &= A \quad (\text{unbiased}) \\ \text{Var} [\hat{A}] &= N\sigma^2 \hat{R} + 2N\sigma^4, \end{aligned} \quad (9)$$

where

$$\hat{R} = \frac{1}{N} \sum_{i=1}^N [x^2(i) + y^2(i)].$$

The second term in (9) corresponds to the effects of noise alone on introducing uncertainty in \hat{A} . The first term represents uncertainty arising from the interaction of the noise and the signal. To minimize the first term, the baseline should be near zero. Consequently \hat{A} is likely to be close to A , the true signed area.

The signed area vectors of actual vectorcardiographic loops have been computed by implementing (8) on a Data General Nova computer. A 400-Hz sampling rate allows real-time computation of the signed area vector. The loops corresponding to standard projections for a normal ventricular contraction and a PVC are shown in Fig. XIII-33. The signed areas of the loops are shown (with an arbitrary scale factor). The signed areas for the frontal and horizontal loops are quite different for the normal contraction and the PVC. The wide separation is due primarily to the differences in directions of the loops.

The use of the signed area vector to classify beat type is promising. Statistics on the signed area vectors for the normal beat and the PVC must be compiled in order to partition the parameter space (the signed area vector is in three-space) into decision regions.

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