

INFINITE DIMENSIONAL FLAG VARIETIES

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## INFINITE DIMENSIONAL FLAG VARIETIES

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## ABSTRACT

To every Coxeter group  $W$ , one can associate its Hecke algebra  $H$  over the polynomial ring  $\mathbb{Z}[q]$ , which can be thought of as a deformation of the group algebra over  $\mathbb{Z}$  of  $W$ . In the case where  $W$  is a Weyl group,  $H$  can also be interpreted, using a canonical basis, as the algebra of intertwining operators of the space of functions on the flag variety of the corresponding Chevalley group. In [KL], Kazhdan and Lusztig extend the ground ring of  $H$  to be  $\mathbb{Z}[q^{1/2}, q^{-1/2}]$ , and use a different basis to study representations of  $H$  (and  $W$ ). In case  $W$  is a Weyl group, the entries of the matrix of change of basis (the Kazhdan-Lusztig polynomials) turn out to have far reaching interpretations in terms of the geometry and representation theory of the corresponding Lie algebra and groups.

The present work grew out of an attempt to generalize the geometric interpretations of the Kazhdan-Lusztig constructions to the case where  $W$  is a crystallographic group (i.e. the order of the product of a pair of generators is 2,3,4,6 or  $\infty$ ). The link with the classical theory is the fact that crystallographic groups are precisely the 'Weyl groups' of Kac-Moody Lie algebras.

Section 1 contains a construction of Tits's  $\mathbb{Z}$ -form of the universal enveloping algebra of a Kac-Moody algebra  $\mathfrak{g}$  (or, more appropriately, one of Tits's  $\mathbb{Z}$ -forms). The idea is as in [K], and it allows one to extend coefficients to an arbitrary field  $F$  (of any characteristic), and associate to  $\mathfrak{g}$  a 'Kac-Moody group'  $G$  over  $F$ , which is done in section 2. In case  $F$  is finite, the adjoint group of  $G$  has already been constructed in [MT]. The construction here is basically the same as the one Peterson and Kac carry out for  $F$  of characteristic 0 ([PK]), and has the advantage over Tits's of being in the spirit of the classical theory as developed in [S3], a fact which allows a more tractable study of the structure and representations of  $G$ . In case  $\mathfrak{g}$  is finite dimensional, the group  $G$  is a classical Chevalley-type finite dimensional group, while if  $\mathfrak{g}$  is not, then  $G$  is infinite dimensional in the sense that it contains subgroups of arbitrarily large finite dimension. As most of the proofs of the structural facts about the group constructed in [PK] use a completion of a subalgebra of  $\mathfrak{g}$  and the ability to exponentiate its elements (facts which present essential difficulties in positive characteristic), the results in §2 are weaker than those in [PK1] (although the group is the same one if  $\text{char}F=0$ ). However, they afford a different presentation of the flag variety, the study of which is taken up in section 3.

As in [PK], the flag variety  $G/B$  proves to be a Bruhat-type ( $BwB$ ) disjoint union, indexed by  $W$ , of (finite-dimensional) affine cells  $C(w)$ , each of which admits a 'Schubert variety' (still finite-dimensional) as its closure. The geometry of these varieties is studied by: 1) generalizing the results of Dheodar ([Dh]): after reproving the lemmas in [Dh1] in the present setting using the Birkhoff decomposition ( $B_wB$ ), the results of [Dh2-4] carry over almost verbatim; and 2) adapting a construction of Demazure's ([D]), the main tool for which is the fact that 'a

codimension-1 piece of the Borel common to two adjacent minimal parabolics acts trivially', so that one can construct a (finite-dimensional) 'resolution'  $Z_w$  as in [D1] to a closed subset of projective space which is identified, using the Borel fixed point theorem, as being  $\bigcup_{y \leq w} C(y) = X_w$ , for  $w \in W$ .

These facts are applied in section 4 to:

- 1) showing that  $X_w$  is always non-singular in codimension 1,
  - 2) giving geometric interpretations to some of the Kazhdan-Lusztig constructions for crystallographic  $W$ , using the positive characteristic approach of [KL] (the methods of [S] do not seem amenable to direct generalisation because the  $G$  orbits in  $(G/B)^2$  are not finite dimensional),
  - 3) a study of the case where the reduced expressions of  $w \in W$  consist of distinct reflexions (e.g.  $X_w$  is then smooth),
- and 4) an explanation (and proof) of a remark made in [T3], which suggest that no further generalisation (to arbitrary Coxeter groups) can be made.

Thesis Supervisor: Dr. Victor G. Kac, Professor of Mathematics.

Section 1 : Construction of the Enveloping Algebras  $U_{\mathbb{Z}}, U_{\mathbb{K}}$ , and associated Modules.

Start with an  $N \times N$  generalized Cartan matrix; that is,  $A$  is an  $N \times N$  matrix with entries  $a_{ij}$ ,  $1 \leq i, j \leq N$ , satisfying:

$$a_{ii} = 2 \text{ for all } i,$$

$$a_{ij} \in \mathbb{Z}^- \text{ if } i \neq j,$$

with  $a_{ij} = 0$  if and only if  $a_{ji} = 0$ .

We assume for simplicity that  $A$  is indecomposable, i.e. that  $A \neq \begin{pmatrix} A_1 & 0 \\ 0 & A_2 \end{pmatrix}$  after any reordering of the index set.

Fix a  $\mathbb{Q}$ -vector space  $h$  of dimension  $N + \text{corank}(A)$ ; it can then be shown ([K1]) that there exists subsets  $\Pi \subset h^*$ ,  $\Pi^V \subset h$ , where  $\Pi = \{\alpha_i, 1 \leq i \leq N\}$ ,  $\Pi^V = \{\alpha_i^V, 1 \leq i \leq N\}$ , such that:

$\Pi$  is a linearly independent subset of  $h^*$ ,

$\Pi^V$  is a linearly independent subset of  $h$ ,

$$\text{and } \langle \alpha_j, \alpha_i^V \rangle = a_{ij}.$$

The Kač-Moody algebra  $g = g_{\mathbb{Q}}(A)$  is the Lie algebra over  $\mathbb{Q}$  generated by  $h$  and elements  $e_i, f_i$ ,  $1 \leq i \leq N$ , with "defining relations":

$$[h, h] = 0,$$

$$[e_i, f_j] = \delta_{ij} \alpha_i^V,$$

$$[h, e_i] = \langle \alpha_i, h \rangle e_i, \text{ for all } h \in h,$$

$$[h, f_i] = -\langle \alpha_i, h \rangle f_i, \text{ for all } h \in h,$$

$$\text{and } (\text{ad } e_i)^{1-a_{ij}}(e_j) = (\text{ad } f_i)^{1-a_{ij}}(f_j) = 0 \text{ whenever } i \neq j.$$

It can then be shown that, identifying  $h, e_i, f_i$  with their respective images in  $g$ , if  $n_+$  = subalgebra of  $g$  generated by  $e_i$ ,  $1 \leq i \leq N$ , and  $n_-$  = subalgebra of  $g$  generated by  $f_i$ ,  $1 \leq i \leq N$ , then we have ([K1]):

1.0 Proposition :

$$g = n_- \oplus h \oplus n_+ \quad (\text{the triangular decomposition}),$$

$$[g, g] = g' \text{ is generated by } e_i, f_i, 1 \leq i \leq N,$$

$$hng' = \bigoplus_{i=1}^N \mathbb{Q} \alpha_i^V,$$

$h$  is its own centralizer in  $g$ ,

the center of  $g$  is contained in  $hng'$ ,

The abelian subalgebra  $h$  acts, by the adjoint representation, com-

pletely reducibly on  $g$ , so that  $g = \bigoplus_{\alpha \in h^*} g_{\alpha}$ , where  $g_{\alpha} = \{x \in g \text{ such that } [h, x] = \langle \alpha, h \rangle x \text{ for all } h \in h\}$ , and we have  $g_0 = h$  as noted in 1.0.

Now, since  $h$  preserves the decomposition 1.0, one sees that if  $g_{\alpha} \neq 0$ , then either  $g_{\alpha} \subset h$ , or  $g_{\alpha} \subset n_+$ , or  $g_{\alpha} \subset n_-$ . Writing  $Q_+ = \bigoplus_{i=1}^N \mathbb{N} \alpha_i \in h^*$ ,  $Q_- = -Q_+$ , this implies that if  $g_{\alpha} \neq 0$ , either  $\alpha = 0$ , or  $\alpha \in Q_{\pm} - \{0\}$ , in which case  $g_{\alpha} \in n_{\pm}$ ; so that if  $\Delta = \{\alpha \in h^* \mid g_{\alpha} \neq 0\}$  is the set of roots, then  $\Delta = \Delta_+ \cup \Delta_-$ , where  $\Delta_{\pm} = \Delta \cap Q_{\pm}$ .

For each  $1 \leq i \leq N$ , define  $r_i \in GL(h^*)$  by  $r_i(\lambda) = \lambda - \langle \lambda, \alpha_i \rangle \alpha_i$ , and let  $S = \{r_1, \dots, r_N\}$ . The subgroup  $\langle S \rangle$  of  $GL(h^*)$  is called the Weyl group of  $g$ , and is in fact a Coxeter group; more precisely,  $\langle S \rangle$  is isomorphic to the quotient  $W$  of the free group generated by  $r_1, \dots, r_N$  by the subgroup generated by

$$(r_i)^2, \quad 1 \leq i \leq N,$$

$$\text{and } (r_i r_j)^{m_{ij}}, \quad 1 \leq i \neq j \leq N,$$

where  $m_{ij}$  are computed by the following table ([K3]):

If	$a_{ij} a_{ji} =$	0	1	2	3	$\geq 4$	,
then	$m_{ij} =$	2	3	4	6	$\infty$	

Conversely, given a crystallographic group  $W$  (i.e. a Coxeter group as above with  $m_{ij} \in \{2, 3, 4, 6, \infty\}$ ), one can find a generalized Cartan matrix  $A$  such that the Weyl group of  $g_Q(A)$  is precisely  $W$ : indeed it suffices to take  $a_{ij} = -4 \cos^2 \frac{\pi}{m_{ij}}$  if  $i < j$ ,  $a_{ij} = -1$  if  $i > j$  and  $a_{ji} \neq 0$ ;

The matrix  $A$  thus obtained will fail to be indecomposable exactly when the Coxeter graph of  $W$  is disconnected, in which case  $g_Q(A)$  is isomorphic to  $g_Q(A_1) \oplus g_Q(A_2)$ ,  $W = W_1 \times W_2$ , where  $W_i$  is the Weyl group of  $g_Q(A_i)$ . In any case, one gets an embedding of  $W$  in  $GL(h^*)$ .

Similarly, one has an action of  $W$  on  $h$  given by  $r_i h = h - \langle \alpha_i, h \rangle \alpha_i$ ; and the two representations thus obtained are contragredient (i.e.  $\langle r_i \lambda, r_i h \rangle = \langle \lambda, h \rangle$  if  $\lambda \in h^*$ ,  $h \in h$ ).

Write  $e_i^{(m)}$  for the element  $\frac{e_i^m}{m!}$  of the universal enveloping algebra of  $g'$ ,  $1 \leq i \leq N$ ,  $m \in \mathbb{N}$ , and similarly  $f_i^{(m)} = \frac{1}{m!} f_i^m \in U(g')$ . Define  $U_Z$  to be the  $Z$ -subalgebra of  $U(g')$  generated by  $\{e_i^{(m)}, f_i^{(m)}, 1 \leq i \leq N, m \in \mathbb{N}\}$ ,

and set

$U_+ =$  the subalgebra of  $U_{\mathbb{Z}}$  generated by  $e_i^{(m)}$ ,  $1 \leq i \leq N$ ,  $m \in \mathbb{N}$ ,

$U_- =$  the subalgebra of  $U_{\mathbb{Z}}$  generated by  $f_i^{(m)}$ ,  $1 \leq i \leq N$ ,  $m \in \mathbb{N}$ .

Also, if  $R$  is any  $\mathbb{Q}$ -algebra with 1, and  $x \in R$ ,  $n \in \mathbb{N}$ , define  $\binom{x}{n} \in R$  to be the element  $\frac{x(x-1)\dots(x-n+1)}{n!}$ . Finally, set

$U_0 =$  the  $\mathbb{Z}$ -subalgebra of  $U(g')$  generated by  $\binom{\alpha_i^v}{n}$ ,  $1 \leq i \leq N$ ,  $n \in \mathbb{N}$ .

( see [ T1 ] ).

one then has

1.1 Proposition :

$$U_0 \subset U_{\mathbb{Z}}, \quad U_0 \otimes \mathbb{Q} = U(h\mathfrak{ng}'),$$

$$U_{\pm} \otimes \mathbb{Q} = U(n_{\pm}),$$

$$U_{\mathbb{Z}} \otimes \mathbb{Q} = U(g'), \text{ and } U_{\mathbb{Z}} = U_- \otimes U_0 \otimes U_+ \quad (\text{ see [ T ] }).$$

proof:

$$\text{Given } n \in \mathbb{N}, \quad e_i^{(n)} f_i^{(n)} = \sum_{k=0}^n f_i^{(k)} \binom{\alpha_i^v - 2k}{n-k} e_i^{(k)} \quad ([ S2 ])$$

$$= \binom{\alpha_i^v}{n} + \sum_{k=1}^n f_i^{(k)} \binom{\alpha_i^v - 2k}{n-k} e_i^{(k)}.$$

Now we can find integers  $a_m^{(k)}$  such that

$$\binom{\alpha_i^v - 2k}{n-k} = \sum_{m=0}^{n-k} a_m^{(k)} \binom{\alpha_i^v}{m} \quad ([ S2 ])$$

so that we actually have

$$\underbrace{e_i^{(n)} f_i^{(n)}}_{\in U_{\mathbb{Z}}} = \binom{\alpha_i^v}{n} + \sum_{k=1}^n \sum_{m=0}^{n-k} a_m^{(k)} f_i^{(k)} \underbrace{\binom{\alpha_i^v}{m} e_i^{(k)}}_{\in U_{\mathbb{Z}}}$$

and each  $\binom{\alpha_i^v}{n}$  is seen to lie in  $U_{\mathbb{Z}}$  by induction on  $n$ , hence  $U_0 \subset U_{\mathbb{Z}}$ ; that  $U_0 \otimes \mathbb{Q} = U(h\mathfrak{ng}')$  is clear as  $h\mathfrak{ng}' = \bigoplus_{i=1}^N \mathbb{Q} \alpha_i^v$  so that  $U(h\mathfrak{ng}')$  is the symmetric algebra in  $\alpha_1^v, \dots, \alpha_N^v$  over  $\mathbb{Q}$  and the first statement is proved.

The second statement is clear by the Birkhoff-Witt theorem applied to  $n_{\pm}$ , so let's verify the last statement:

we have an injection

$$I_1: U_{\mathbb{Z}} \otimes \mathbb{Q} \longrightarrow U(g'), \quad I_1(u \otimes c) = c \cdot u.$$

By the Birkhoff-Witt theorem applied to 1.0, the map

$$I_2: U(n_-) \otimes U(\mathfrak{h} \cap \mathfrak{g}') \otimes U(n_+) \rightarrow U(\mathfrak{g}')$$

given by  $I_2(u_- \otimes u_0 \otimes u_+) = u_- u_0 u_+$  is an isomorphism, so we get an isomorphism

$$I_2^{-1}: U(\mathfrak{g}') \rightarrow U(n_-) \otimes U(\mathfrak{h} \cap \mathfrak{g}') \otimes U(n_+)$$

Using the first two statements just proved, we also obtain a map

$$I_3: U(n_-) \otimes U(\mathfrak{h} \cap \mathfrak{g}') \otimes U(n_+) \rightarrow U_- \otimes U_0 \otimes U_+ \otimes \mathbb{Q}$$

and a map

$$I_4: U_- \otimes U_0 \otimes U_+ \otimes \mathbb{Q} \rightarrow U_{\mathbb{Z}} \otimes \mathbb{Q}, \quad I_4(u_- \otimes u_0 \otimes u_+ \otimes c) = cu_- u_0 u_+.$$

$I_1$  shows that  $U_{\mathbb{Z}} \otimes \mathbb{Q} \subset U(\mathfrak{g}')$ , and  $I_4 \circ I_3 \circ I_2^{-1}$  proves the reverse inclusion.

Finally, it is clear that  $I_2(U_- \otimes U_0 \otimes U_+) \subset U_{\mathbb{Z}}$ , so that

$I_2: U_- \otimes U_0 \otimes U_+ \rightarrow U_{\mathbb{Z}}$  is an injective map, and we need to check that  $I_2^{-1}(U_{\mathbb{Z}}) \subset U_- \otimes U_0 \otimes U_+$ , which follows directly from the formulas

$$e_i^{(n)} f_i^{(m)} = \sum_{j=0}^{\min(m,n)} f_i^{(m-j)} \underbrace{(\alpha_i^v - m - n + 2j)_j}_{\in U_0} e_i^{(n-j)},$$

as before

$$e_j^{(m)} f_i^{(n)} = f_i^{(n)} e_j^{(m)} \quad \text{if } i \neq j,$$

$$(\alpha_j^v)_n f_i^{(m)} = f_i^{(m)} \underbrace{(\alpha_j^v - m \langle \alpha_i, \alpha_j^v \rangle)_n}_{\in U_0}$$

$$\text{and } e_i^{(m)} (\alpha_j^v)_n = \underbrace{(\alpha_j^v + m \langle \alpha_i, \alpha_j^v \rangle)_n}_{\in U_0} e_i^{(m)},$$

all of which can be checked by induction as in [S2].

The decomposition 1.1 can be made more explicit in the case of a classical Cartan matrix (i.e. when  $\mathfrak{g}$  is a finite dimensional simple Lie algebra once one extends coefficients to  $\mathbb{C}$ ), and one can then exhibit a  $\mathbb{Z}$ -basis for  $U_{\mathbb{Z}}$  ([K], [S1]). One problem with generalizing this result is that the root spaces do not in general have any canonical bases, indeed they are not necessarily one-dimensional. The ones that are do however play a central role in the theory, and they can be

easily obtained as follows:

the elements  $e_i, f_i, 1 \leq i \leq N$  act, by the adjoint representation, locally nilpotently on  $U_Z$  (indeed, on  $U(g)$ ), i.e. for every  $u \in U$ , there exists  $M(u) \in \mathbb{N}$  such that  $e_i^{(m)} \cdot u = 0$  for all  $m > M(u)$ , and similarly for  $f_i$ : that is so because of the equality

$$1.2 \quad \text{ad } y^m \cdot (x_1 \dots x_n) = \sum_{j_1 + \dots + j_n = m} \binom{m}{j_1, \dots, j_n} (\text{ad } y^{j_1} \cdot x_1) \dots (\text{ad } y^{j_n} \cdot x_n)$$

which holds in the envelope of any Lie algebra  $\mathfrak{a}$ , when  $y, x_1, \dots, x_n \in \mathfrak{a}$ , and  $\binom{m}{j_1, \dots, j_n} = \frac{m!}{j_1! \dots j_n!}$ , and can be easily checked by induction on

$m$  and  $n \in \mathbb{N}$ ; using 1.2 with  $y = e_i$ , one gets

$$1.3 \quad \text{ad } e_i^{(m)}(x_1 \dots x_n) = \sum_{j_1 + \dots + j_n = m} (\text{ad } e_i^{(j_1)} \cdot x_1) \dots (\text{ad } e_i^{(j_n)} \cdot x_n)$$

from which one concludes that local nilpotence of  $e_i$  (or  $f_i$ ) on  $U$  follows from local nilpotence on the generators of  $U$ , and that is an immediate consequence of the defining relations for  $g$ .

So for  $x \in \{e_i, f_i, 1 \leq i \leq N\}$ , one can define elements  $\exp x, \exp -x$  in  $\text{End}(U_Z)$  by

$$1.4 \quad \exp x \cdot v = \sum_m \text{adx}^{(m)} \cdot v, \quad \varepsilon U_Z \quad \text{if } v \in U_Z$$

$$\text{and } \exp -x \cdot v = \sum_m (-1)^m \text{adx}^{(m)} \cdot v, \quad \varepsilon U_Z \quad \text{if } v \in U_Z,$$

each sum being of course finite as each term beyond  $M(v)$  chosen as above is 0.

The decomposition 1.1 and formula 1.4 show that  $\exp \pm x$  are actually endomorphisms of  $U(g')$  and that if  $v \in g' \subset U(g')$ , then  $\exp x \cdot v \in g'$  again. Now, using 1.3, one easily checks that  $\exp -x = (\exp x)^{-1}$ , and that if  $y_1, y_2 \in g'$  then  $(\exp(\varepsilon x) \cdot [y_1, y_2]) = [\exp(\varepsilon x) \cdot y_1, \exp(\varepsilon x) \cdot y_2]$ ,  $\varepsilon = \pm 1$ , so that  $\exp \pm x$  defined as above are Lie algebra automorphisms.

Let's define, for  $1 \leq i \leq N$ ,  $\dot{r}_i \in \text{Aut}(U_Z)$  by  $\dot{r}_i = \exp e_i \circ \exp -f_i \circ \exp e_i$ . A classical computation shows that  $\dot{r}_i|_h = r_i$  (see, e.g., [K2]), and  $\dot{r}_i$  is a Lie algebra automorphism extending  $r_i$ . We proceed to define for every  $w \in W \hookrightarrow \text{GL}(h)$  such an extension  $\dot{w}$  by choosing a reduced expression  $w = r_{i_1} \dots r_{i_k}$  for  $w$ , and setting  $\dot{w} = \dot{r}_{i_1} \dots \dot{r}_{i_k}$ ; to check that this does indeed define  $\dot{w}$  uniquely, one needs to verify that

1.5 Proposition :

If  $w = r_{i_1} \dots r_{i_k} = r_{j_1} \dots r_{j_k}$ , and  $w$  has length  $k$ ,



| then  $\dot{r}_{i_1} \dots \dot{r}_{i_k} = \dot{r}_{j_1} \dots \dot{r}_{j_k}$ .

proof:

Proceed by induction as follows:

If  $k=1$ , there is nothing to prove. Otherwise, the exchange condition ([K2]) implies that for some  $0 \leq \alpha \leq k-1$ , we have

$$r_{j_1} \dots r_{j_k} = r_{j_1} \dots r_{j_\alpha} r_{j_{\alpha+2}} \dots r_{j_k} r_{i_k}.$$

If  $\alpha \neq 0$ , the induction hypothesis applied to

$$r_{j_{\alpha+1}} \dots r_{j_k} = r_{j_{\alpha+2}} \dots r_{j_k} r_{i_k}$$

gives

$$\underline{1.6} \quad \dot{r}_{j_{\alpha+1}} \dots \dot{r}_{j_k} = \dot{r}_{j_{\alpha+2}} \dots \dot{r}_{j_k} \dot{r}_{i_k},$$

and, applied to  $r_{i_1} \dots r_{i_{k-1}} = r_{j_1} \dots r_{j_\alpha} r_{j_{\alpha+2}} \dots r_{j_k}$  gives

$$\underline{1.6'} \quad \dot{r}_{i_1} \dots \dot{r}_{i_{k-1}} = \dot{r}_{j_1} \dots \dot{r}_{j_\alpha} \dot{r}_{j_{\alpha+2}} \dots \dot{r}_{j_k},$$

hence  $\dot{r}_{j_1} \dots \dot{r}_{j_k} = \dot{r}_{j_1} \dots \dot{r}_{j_\alpha} \dot{r}_{j_{\alpha+2}} \dots \dot{r}_{j_k} \dot{r}_{i_k}$  by 1.6,

$$= \dot{r}_{i_1} \dots \dot{r}_{i_{k-1}} \dot{r}_{i_k} \text{ by 1.6' ;}$$

If  $\alpha=0$ , i.e.  $w=r_{j_2} \dots r_{j_k} r_{i_k}$ , then we know by induction that

$$\underline{1.7} \quad \dot{r}_{i_1} \dots \dot{r}_{i_k} = \dot{r}_{j_2} \dots \dot{r}_{j_k} \dot{r}_{i_k}.$$

We shall also assume that  $w=r_{i_2} \dots r_{i_k} r_{j_k}$  (otherwise, by sym-

metry, we are back in the case  $\alpha \neq 0$ , with  $i$  and  $j$  exchanged).

We then have similarly

$$\underline{1.7'} \quad \dot{r}_{j_1} \dots \dot{r}_{j_k} = \dot{r}_{i_2} \dots \dot{r}_{i_k} \dot{r}_{j_k},$$

and it suffices to show that the right hand sides of 1.7,

1.7' are equal, i.e. that

$$(\dot{r}_{i_2} \dots \dot{r}_{i_{k-1}}) \dot{r}_{i_k} \dot{r}_{j_k} = (\dot{r}_{j_2} \dots \dot{r}_{j_{k-1}}) \dot{r}_{j_k} \dot{r}_{i_k}.$$

Proceeding by induction, this reduces to proving that

$$\dot{r}_{i_k} \dot{r}_{j_k} \dot{r}_{i_k} \dots = \dot{r}_{j_k} \dot{r}_{i_k} \dot{r}_{j_k} \dots$$

whenever  $r_{i_k} r_{j_k} r_{i_k} \dots = r_{j_k} r_{i_k} r_{j_k} \dots$ .

Now one can compute directly that

if  $m_{ij}=2$ , then  $\dot{r}_i \cdot x_j = x_j$ ,

if  $m_{ij}=3$ , then  $\dot{r}_i \dot{r}_j \cdot x_j = x_j$ ,

if  $m_{ij}=4$ , then  $\dot{r}_i \dot{r}_j \dot{r}_i \cdot x_j = x_j$ ,

if  $m_{ij}=6$ , then  $\dot{r}_i \dot{r}_j \dot{r}_i \dot{r}_j \dot{r}_i \cdot x_j = x_j$

with  $x \in \{e, f\}$  (using classical formulas, as in the proof of 1.1), from which it follows easily that

if  $m_{ij}=2$ , then  $\dot{r}_i \circ \exp(\varepsilon x_j) \circ \dot{r}_i^{-1} = \exp(\varepsilon x_j)$ ,

if  $m_{ij}=3$ , then  $\dot{r}_i \dot{r}_j \circ \exp(\varepsilon x_j) \circ \dot{r}_j^{-1} \dot{r}_i^{-1} = \exp(\varepsilon x_j)$ , ( $\varepsilon = \pm 1$ )

and similarly for  $m_{ij}=4, 6$ , and one concludes that

if  $m_{ij}=2$ , then  $\dot{r}_i \dot{r}_j \dot{r}_i^{-1} = \dot{r}_j$ ,

if  $m_{ij}=3$ , then  $\dot{r}_i \dot{r}_j \dot{r}_i \dot{r}_j^{-1} \dot{r}_i^{-1} = \dot{r}_j$ ,

etc... as needed.

The proof of 1.5 is now complete,

so that  $\dot{w}$  is indeed well-defined.

We thus get a map  $W \rightarrow \text{End}(U(g'))$ ,  $w \mapsto \dot{w}$ , satisfying

- 1)  $\dot{w}$  is an automorphism of  $U(g')$ , leaving  $U_{\mathbb{Z}}$  and  $g'$  stable,
- 2)  $\dot{w}|_h = w \in W \leftrightarrow \text{GL}(h)$ ,
- 3) If  $x, y \in g'$ , then  $\dot{w} \cdot [x, y] = [\dot{w}x, \dot{w}y]$ .

Suppose then that  $\lambda \in \Delta$ ,  $x \in g_{\lambda} \subseteq g'$ ,  $h \in h$ :

$$\begin{aligned} \text{we have } [h, \dot{w} \cdot x] &= \dot{w} \cdot [\dot{w}^{-1} \cdot h, x] \\ &= \dot{w} \cdot [w^{-1} \cdot h, x] \\ &= \dot{w} \cdot (\langle \lambda, w^{-1} \cdot h \rangle x) \\ &= \dot{w} \cdot (\langle w \cdot \lambda, h \rangle x) \\ &= \langle w \cdot \lambda, h \rangle \dot{w} \cdot x, \end{aligned}$$

i.e.  $\dot{w}$  restricts to a bijection  $g_{\lambda} \rightarrow g_{w \cdot \lambda}$ : in particular,  $\Delta$  is  $W$ -invariant ( $W$  acts on  $h^*$  as above), and if  $\lambda \in \Delta$ ,  $\dim g_{\lambda} = \dim g_{w \cdot \lambda}$ . We know from 1.0 that if  $\lambda \in \Pi$  (i.e.  $\lambda = \alpha_i$  for some  $i$ ), then  $\dim g_{\lambda} = 1$  (in fact,  $g_{\lambda} = Qe_i$ ), and similarly for  $\lambda \in -\Pi$ . So one is naturally led to define subsets

$$\Delta^{\text{re}}, \Delta_+^{\text{re}}, \Delta_-^{\text{re}} \text{ of } \Delta \text{ as follows (see [K3]):}$$

$$\Delta^{\text{re}} = (W \cdot \Pi), \Delta_+^{\text{re}} = W \cdot \Pi \cap \Delta_+, \Delta_-^{\text{re}} = \Delta^{\text{re}} \cap \Delta_-.$$

If  $\lambda \in \Delta^{\text{re}}$ , then, as in the finite dimensional case,

$$\Delta \cap Z\lambda = \{\pm\lambda\}, \text{ and } \dim g_\lambda = 1.$$

If moreover  $\lambda = \alpha_i$ , we know that  $g_\lambda = Qe_i$ , and that  $g_\lambda \cap U_Z = Ze_i$  (the intersection taken in  $U(g')$ ). We construct such a basis for  $g_\lambda$ , any  $\lambda \in \Delta^{\text{re}}$ , as follows:

$$\text{Let } X(\lambda) = \{ \pm \dot{w} \cdot e_i, \quad w \in W \text{ and } 1 \leq i \leq N \text{ such that } w \cdot \alpha_i = \lambda \}.$$

### 1.8 Proposition :

$X(\lambda)$  has exactly two elements, for any  $\lambda$ .

proof:

If  $w \in W$ ,  $1 \leq i \leq N$ , then  $\dot{w} \cdot e_i \in U_Z \cap g_Q$ , in fact  $\dot{w} \cdot e_i \in g_{w\alpha_i} = g_\lambda$  which is one dimensional. So if  $w_1 \cdot \alpha_j = w_2 \cdot \alpha_k = \lambda$ , then

$$\dot{w}_1 \cdot e_j = c \dot{w}_2 \cdot e_k, \text{ for some } c \in Q; \text{ hence}$$

$$\dot{w}_1^{-1} \cdot \dot{w}_2 \cdot e_k = \frac{1}{c} e_j.$$

But  $\dot{w}_2 \cdot e_k \in U_Z \cap g_{w_2 \cdot \alpha_k}$ , so  $\dot{w}_1^{-1} \dot{w}_2 \cdot e_k \in U_Z \cap g_{w_1^{-1} w_2 \alpha_k} = U_Z \cap g_{\alpha_j} = Ze_j$ , hence  $c = \pm 1$ .

In other words, if  $w_1 \alpha_j = w_2 \alpha_k = \lambda$ , then  $\dot{w}_1 e_j = \pm \dot{w}_2 e_k \in g_\lambda \cap U_Z$ , and  $X(\lambda)$  does indeed consist of two elements, each the negative of the other.

So we fix an arbitrary  $1 \leq i \leq N$  and  $w_\lambda \in W$  satisfying  $w_\lambda \cdot \alpha_i = \lambda$  (we take  $w_\lambda = 1$  and  $i = k$  if  $\lambda = \alpha_k$ ), and define  $e_\lambda = \dot{w}_\lambda \cdot e_i$ ,  $f_\lambda = \dot{w}_\lambda \cdot f_i$ .

The elements of  $\Delta^{\text{re}}$  are called real roots ([K3]); so for every real root  $\lambda$ , say  $\lambda \in \Delta_+^{\text{re}}$ , we now have root vectors  $e_\lambda$ ,  $f_\lambda$  such that  $g_\lambda = Qe_\lambda$ ,  $g_{-\lambda} = Qf_\lambda$ . Also, if  $x \in g_\lambda$ , say  $x = c \cdot e_\lambda$  with  $c \in Q$ , and if  $x \in U_Z$ , then

$$\dot{w}_\lambda^{-1} \cdot x = c \dot{w}_\lambda^{-1} \dot{w}_\lambda e_i = c e_i;$$

As  $\dot{w}_\lambda^{-1} \cdot x \in g_{\alpha_i} \cap U_Z = Ze_i$ , one concludes that  $c \in Z$ . Therefore, we also have:

$$\underline{1.9} \quad g_\lambda \cap U_Z = Ze_\lambda, \quad g_{-\lambda} \cap U_Z = Zf_\lambda, \text{ for any } \lambda \in \Delta_+^{\text{re}}$$

Finally, with  $\lambda$  as above, define  $\lambda^v = w_\lambda \cdot \alpha_i^v \in h$ . Then  $Qf_\lambda + Q\lambda^v + Qe_\lambda$  is isomorphic to  $\delta \mathcal{L}_2(Q)$  and one easily proves as in 1.1 that  $\binom{\lambda^v}{n} \in U_0$  for all  $n \in \mathbb{N}$ ,  $\lambda \in \Delta_+^{\text{re}}$ , and that  $e_\lambda^{(m)}$ ,  $f_\lambda^{(m)} \in U_Z$  (where  $x_\lambda^{(m)} = \dot{w}_\lambda \cdot x^{(m)}$  for  $x \in \{e, f\}$ ).

Suppose now that  $K$  is a field, so that we may form  $U_K = U_Z \otimes_Z K$ . It follows from 1.1 that if  $U_\sigma(K) = U_\sigma \otimes_Z K$  with  $\sigma \in \{+, -, 0\}$ , then

$$U_K = U_-(K) \otimes_Z U_0(K) \otimes_Z U_+(K),$$

and 1.9 shows that for all  $\lambda \in \Delta_+^{\text{re}}$ ,  $e_\lambda \otimes 1 \neq 0$ ,  $f_\lambda \otimes 1 \neq 0$  in  $U_K$ .

Being the tensor product of two  $\mathbb{Z}$ -algebras,  $U_K$  carries a structure of associative algebra, with  $(x \otimes t) \cdot (y \otimes s) = xy \otimes ts$ . The product is  $K$ -linear so that  $U_K$  is also a  $K$ -Lie algebra. The same holds for  $U_{\mathcal{O}}(K)$  as above, so that we have the Birkhoff-Witt decomposition  $U_K = U_-(K) \otimes U_{\mathcal{O}}(K) \otimes U_+(K)$ .

Finally, one has a map  $W \rightarrow \text{Aut}(U_K)$ , satisfying  $w \cdot (u \otimes t) = (\dot{w} \cdot u) \otimes t$ ; this map is again injective, for of  $w \neq w' \in W$ , one can find  $\alpha_i \in \Pi$  such that  $w\alpha_i \neq w'\alpha_i$ , in which case  $\dot{w}e_i = \varepsilon e_{w\alpha_i}$  while  $\dot{w}'e_i = \varepsilon' e_{w'\alpha_i}$  (with  $\varepsilon, \varepsilon' = \pm 1$ ) so that  $w \cdot (e_i \otimes 1) \neq w' \cdot (e_i \otimes 1)$ , as needed.

A few basic facts about the representation theory of  $U$  will be needed. To distinguish the "good" characters of  $U_{\mathcal{O}}(K)$ , let's make the following definition: for  $a \in \mathbb{Z}$ ,  $n \in \mathbb{N}$ , write

$$\binom{a}{n} = \begin{cases} \frac{a!}{n!(a-n)!} & \text{if } a \geq n, \text{ as usual,} \\ 0 & \text{if } 0 \leq a < n \\ (-1)^n \frac{(n-a-1)!}{n!(-a-1)!} & \text{if } a < 0, \end{cases}$$

so that  $\binom{a}{n}$  is a well-defined integer.

It is now easy to check that one obtains a homomorphism

$$(Q^{\vee})^* \rightarrow \text{Hom}(U_{\mathcal{O}}(K), K),$$

where  $(Q^{\vee})^*$  denotes the  $\mathbb{Z}$ -dual, in  $\mathfrak{h}^*$ , of  $Q^{\vee} = \bigoplus_{i=1}^{\mathbb{N}} \mathbb{Z}\alpha_i^{\vee}$ , satisfying

$$\Lambda \left( \binom{\lambda^{\vee}}{n} \otimes t \right) = t \cdot \left( \langle \Lambda, \lambda^{\vee} \rangle \binom{\lambda^{\vee}}{n} \right),$$

for all  $\Lambda \in (Q^{\vee})^*$ ,  $\lambda^{\vee} \in Q^{\vee}$ ,  $n \in \mathbb{N}$ ,  $t \in K$ . Call the image of this map the characters of  $U_{\mathcal{O}}(K)$  (see [T1]).

A  $U_{\mathbb{Z}}$ -module  $M$  is called integrable if all  $e_i, f_i$  act locally nilpotently on  $M$ , i.e. for each  $v \in M$ , there exists a positive integer  $m(v)$  such that if  $m(v) \leq m \in \mathbb{N}$ , then  $e_i^{(m)} \cdot v = f_i^{(m)} \cdot v = 0$ . A  $U_K$ -module is integrable if all  $e_i \otimes 1, f_i \otimes 1$  act locally nilpotently and  $U_{\mathcal{O}}(K)$  acts by characters as above. Finally, a  $\mathfrak{g} = \mathfrak{g}_Q(\Lambda)$ -module  $M$  is integrable if all  $e_i, f_i$  act locally nilpotently, and  $M$  is completely reducible as an  $\mathfrak{h}$ -module, all weight spaces being finite dimensional.

A large class of integrable  $\mathfrak{g}$ -modules has been constructed by V. Kač ([K4]): it consists of certain highest- and lowest-weight modules. To construct these modules, start with a 'weight'  $\Lambda \in \mathfrak{h}^*$  such that  $\langle \Lambda, \alpha_i^{\vee} \rangle \in \mathbb{N}$  for all  $i$ . Let  $J(\Lambda)$  be the ideal of  $U(\mathfrak{g})$  generated by  $n_+$

together with all  $(h-\Lambda(h)), h \in \mathfrak{h}$ . The  $g$ -module  $U(g)/J(\Lambda)$ , on which  $g$  acts by left-multiplication, can be shown to have a unique proper maximal submodule, such that the quotient  $L(\Lambda)$  is an integrable  $g$ -module in the above sense (see [K5]);  $L(\Lambda)$  is irreducible by construction).

$L(\Lambda)$  has highest weight  $\Lambda$ , i.e. if  $v^+ \in L(\Lambda)$  is the image under the quotient maps of  $1 \in U(g)$ , then

$$\begin{aligned} \text{1.10} \quad & U(n_+) \cdot v^+ = 0 \\ & h \cdot v^+ - \langle \Lambda, h \rangle v^+ = 0, \text{ for all } h \in \mathfrak{h}, \\ & \text{and } L(\Lambda) = U(n_-) \cdot v^+. \end{aligned}$$

One then knows ([K5]) that each  $\dot{r}_i$  acts on  $L(\Lambda)$  as before, that  $\dim_{\mathbb{Q}} L(\Lambda)_{r_i \Lambda} = \dim_{\mathbb{Q}} L(\Lambda)_{\Lambda} = 1$ , (where if  $M$  is a  $g$ -module and  $\lambda \in \mathfrak{h}^*$ , then  $M_{\lambda} = \{m \in M \text{ such that } h \cdot m = \langle \lambda, h \rangle m, \text{ for all } h \in \mathfrak{h}\}$ ) and that, in fact,  $\dot{r}_i \cdot v^+ \in (L(\Lambda)_{r_i \Lambda} \cap U_{\mathbb{Z}} \cdot v^+) - \{0\}$ .

Since we also know, by construction, that  $(U_{\mathbb{Z}} \cdot v^+ \cap L(\Lambda)_{\Lambda}) = \mathbb{Z}v^+$ , one can show as in 1.5-1.7 that if  $r_{i_1} \dots r_{i_k} = r_{j_1} \dots r_{j_k} = w \in W$  with  $\text{length}(w) = k$ ,

$\dot{r}_{i_1} \dots (\dot{r}_{i_k} \cdot v^+) \dots = \dot{r}_{j_1} \dots (\dot{r}_{j_k} \cdot v^+) \dots$ , so that for each  $w \in W$  we have a well defined element  $\dot{w} \cdot v^+ \in L_{w\Lambda} \cap U_{\mathbb{Z}} \cdot v^+$ . Finally, one knows that if  $L(\Lambda)_{\lambda} \neq 0$ , then  $\dim_{\mathbb{Q}} L(\Lambda)_{\lambda} < \infty$ ,  $\Lambda - \lambda \in \mathbb{N} \cdot \Pi$ , and  $L(\Lambda) = \sum_{\lambda} L(\Lambda)_{\lambda}$ .

Given a highest weight  $g$ -module  $M$  as above, one obtains canonically a lowest weight module  $M^*$  as follows:

If  $\omega$  is the involution of  $g$  given by  $\omega e_i = -f_i$ ,  $\omega|_{\mathfrak{h}} = -\text{Id}_{\mathfrak{h}}$ , define a new  $g$ -module structure on  $M$  by requiring

$$x \cdot m = \omega(x) \cdot m, \text{ for all } x \in \mathfrak{g}, m \in M. \\ \text{(old) (new)}$$

Writing  $M^*$  for  $M$  with this new  $g$ -module structure, and  $v^-$  for  $v^+$ , one obtains a  $g$ -module satisfying 1.10 with all  $\pm$  signs reversed.

Let  $M$  be an integrable  $g$ -module with highest weight  $\Lambda$ , and let's prove:

1.11 Proposition :

- a)  $(U_{\mathbb{Z}} \cdot v^+ \cap M_{\lambda}) \neq 0$  if  $M_{\lambda} \neq 0$  (in fact,  $(U_{\mathbb{Z}} \cdot v^+ \cap M_{\lambda}) \cap \mathbb{Q} = M_{\lambda}$ );
- b) If  $v = \sum_{\lambda} v_{\lambda} \in U_{\mathbb{Z}} \cdot v^+$ , with  $v_{\lambda} \in M_{\lambda}$ , then  $v_{\lambda} \in U_{\mathbb{Z}} \cdot v^+$  for every  $\lambda$ .

$$c) M_{w\Lambda} \cap U_{\mathbb{Z}} \cdot v^+ = Z\dot{w} \cdot v^+.$$

d)  $(U_{\mathbb{Z}} \cdot v^+) \otimes_{\mathbb{Z}} K$  is an integrable  $U_K$ -module.

the same statements being true of lowest weight modules.

proof:

To prove a), one needs to observe that  $U(g) \cdot v^+ = U(g') \cdot v^+$  as both are equal to  $U(n_-) \cdot v^+$ . Now  $U(n_-) = U_{\mathbb{Q}} \otimes \mathbb{Q}$  by 1.1, so if  $v \in M_{\lambda}$ , then  $v = cu \cdot v^+$  with  $c \in \mathbb{Q}$ ,  $u \in U_-$  hence  $\frac{v}{c} \in U_{\mathbb{Z}} \cdot v^+$ , and the reverse inclusion is clear.

Choose a lattice in  $\mathfrak{h}^*$  of rank  $d = M + \text{corank } A = \dim \mathfrak{h}$  containing  $\Lambda$  and  $\Pi$ , and let  $S_{\Lambda}$  be its  $\mathbb{Z}$ -dual in  $\mathfrak{h}$ , say  $S_{\Lambda} = \sum \mathbb{Z} h_i$ . If  $h \in S_{\Lambda}$  and  $n \in \mathbb{N}$ , the element  $\text{ad} \binom{h}{n}$  of  $\text{End}_{\mathbb{Q}}(U(g))$  stabilizes  $U_{\mathbb{Z}}$ : in fact,

$$\left. \begin{aligned} \text{ad} \binom{h}{n} \cdot e_i^{(m)} &= m \binom{\langle \alpha_i, h \rangle}{n} e_i^{(m)} \\ \text{while } \text{ad} \binom{h}{n} \cdot f_i^{(m)} &= m \binom{-\langle \alpha_i, h \rangle}{n} f_i^{(m)} \end{aligned} \right\} \in U_{\mathbb{Z}}$$

Define a map height:  $Q_+ \rightarrow \mathbb{N}$ , by  $\text{height}(\sum n_i \alpha_i) = \sum n_i$ . For every  $\lambda, \mu \in Q_+$ , we then have  $\text{height}(\lambda + \mu) = \text{height}(\lambda) + \text{height}(\mu)$ , and one defines a gradation on the algebra  $U(n_+)$  so that if  $u \in U(n_+)$  is in the  $\nu$ -weight space of the adjoint action of  $h$  on  $U(n_+)$  then  $\text{degree}(u) = \text{height}(\nu)$ . (this is the principal gradation as in [K1]). Let  $U_j = \{u \in U(n_+) \mid \text{deg}(u) = j\}$ , and let's first show that  $U_+$  is homogeneous with respect to this gradation:

Choose  $h_0 \in S_{\Lambda}$  such that  $\langle \alpha_i, h_0 \rangle = 1$  for all  $i$ . If  $u \in U_+$ , say  $u = u_{j_1} + \dots + u_{j_k}$  with  $u_{j_i} \in U_{j_i}$  and  $j_1 < \dots < j_k$ , then

$$\begin{aligned} \text{ad} \binom{h_0}{j_k} \cdot u &= \sum_{i=1}^{k-1} \binom{j_i}{j_k} u_{j_i} + u_{j_k} \\ &= u_{j_k}. \end{aligned}$$

Proceeding by induction, we find that  $u_{j_i} \in U_{\mathbb{Z}}$  for all  $i$ ; hence  $U_+ = \sum_{j \in \mathbb{N}} (U_+ \cap U_j)$ .

One defines a gradation  $\sum_{j \in \mathbb{N}} U_{-j}$  of  $U(n_-)$  similarly, and we

$$\text{have } U_- = \sum_{j \in \mathbb{N}} (U_- \cap U_{-j}).$$

Again, if  $h \in S_\Lambda$ , and  $n \in \mathbb{N}$ , then  $\binom{h}{n} \in U(g)$ , so  $\binom{h}{n}$  acts on  $M$ . We can now show that  $\binom{h}{n}$  stabilizes  $U_{\mathbf{z}} \cdot v^+$ :

Indeed, suppose  $v = u_{\mathbf{z}} \cdot v^+$ , and assume  $u_{\mathbf{z}} = \sum u_j$  with  $u_j \in U_{-j}$ .

An easy calculation shows that  $\binom{h}{n} u_j \cdot v^+$  is of the form  $\sum_{m_i} \langle \Lambda - m_1 \alpha_1 - \dots - m_N \alpha_N, h \rangle \binom{h}{n} u_j \cdot v^+$ , the sum being over some  $N$ -tuples  $(m_1, \dots, m_N)$  satisfying  $m_1 + \dots + m_N = j$ . In any case, this shows that  $\binom{h}{n} \cdot v \in U_{\mathbf{z}} \cdot v^+$ .

Assume now that  $v = \sum_{\lambda \in A} v_\lambda$ , where  $A$  is a (finite) subset of weights, and let  $\mu \in A$ . One knows that the subring of  $Q[X_1, \dots, X_d]$  (polynomials in  $d$  variables with coefficients in  $Q$ ) generated by all monomials of the form  $\binom{X_i}{n_i}$ ,  $1 \leq i \leq N$ ,  $n_i \in \mathbb{N}$ , separates points in  $\mathbb{Z}^d$  ([S1]). So for each  $\lambda \in A - \{\mu\}$ , find such a polynomial  $P_\lambda(X_1, \dots, X_d)$  satisfying

$$P_\lambda(\langle \lambda, h_1 \rangle, \dots, \langle \lambda, h_d \rangle) = 0,$$

$$P_\lambda(\langle \mu, h_1 \rangle, \dots, \langle \mu, h_d \rangle) = 1,$$

$$\text{and let } F(X_1, \dots, X_d) = \prod_{\lambda \in A - \{\mu\}} P_\lambda.$$

If  $u = F(h_1, \dots, h_d)$ , then  $u \in U(g)$ ,  $u$  stabilizes  $U_{\mathbf{z}} \cdot v^+$  by our choice of polynomials  $P_\lambda$ , and

$$\begin{aligned} u \cdot v &= \sum_{\lambda \in A} u \cdot v_\lambda = \sum_{\lambda \in A - \{\mu\}} F(\langle \lambda, h_1 \rangle, \dots, \langle \lambda, h_d \rangle) v_\lambda \\ &\quad + F(\langle \mu, h_1 \rangle, \dots, \langle \mu, h_d \rangle) v_\mu \\ &= 0 + v_\mu \\ &= v_\mu. \end{aligned}$$

As  $v \in U_{\mathbf{z}} \cdot v^+$ , we must have  $v \in U_{\mathbf{z}}$ , which proves b).

The proof of c) is identical to that of 1.9 (note that  $\dim M_{w\Lambda} = 1$ ).

proof of d): If  $v = \sum_i (u_i \cdot v^+) \otimes t_i$ , then for every  $i$ , there exists a positive integer  $m_i$  such that  $f_1^{(m)} \cdot (u_i \cdot v^+) = 0$  and  $e_1^{(m)} \cdot (u_i \cdot v^+) = 0$  if  $m > m_i$ . So if  $\underline{m}(v) = \max_i(m_i)$ , then  $e_1^{(\underline{m})} \cdot v = \sum e_1^{(\underline{m})} \cdot (u_i \cdot v^+) \otimes t_i = 0$ , and similarly for  $e_2, \dots$ .

So we need only check the action of  $U_0(K)$  on  $M$ :

By b), it is sufficient to check that if  $m$  is of the form  $m = (u \cdot v^+) \otimes s$  with  $u \cdot v^+ \in M_\mu$  and  $u \in U_{\mathbb{Z}}$ , then  $u \cdot m = \chi_m(u \cdot m)$  for all  $u \cdot m \in U_0(K)$ , where  $\chi_m$  is some character of  $U_0(K)$  as above. Without loss of generality, we may take  $u \cdot m = \begin{pmatrix} \lambda^v \\ n \end{pmatrix}$ ,  $\lambda^v \in Q^v$ , and compute:

$$\begin{aligned} \left( \begin{pmatrix} \lambda^v \\ n \end{pmatrix} \otimes t \right) \cdot m &= \begin{pmatrix} \lambda^v \\ n \end{pmatrix} \cdot u \cdot v^+ \otimes st \\ &= t \begin{pmatrix} \langle \mu, \lambda^v \rangle \\ n \end{pmatrix} u \cdot v^+ \otimes s \\ &= t \begin{pmatrix} \langle \mu, \lambda^v \rangle \\ n \end{pmatrix} m \end{aligned}$$

so that  $\chi_m$  is the image character of  $\mu \in (Q^v)^*$ .

Finally, we show that

**1.12 Proposition :**

If  $K$  has characteristic  $p > 0$ , then  $U_K$  carries a Frobenius map  $F: U_K \rightarrow U_K$  satisfying  $F(x \otimes t) = x \otimes t^p$  whenever  $x \in \{ \alpha_i^v, e_i, f_i, 1 \leq i \leq N \}$ , and every  $U_K$ -module  $U_{\mathbb{Z}} \cdot v^+ \otimes K$  carries a Frobenius such that  $F(u \cdot v) = F(u) \cdot F(v)$  whenever  $v \in U_{\mathbb{Z}} \cdot v^+ \otimes K$ ,  $u \in U_K$ .

proof:

If  $U_j(K) = \{ \sum_i x_i \otimes t_i, x_i \in U_+ \text{ with degree}(x_i) = j \}$ , then  $U_j(K)$  is a  $Q^v \otimes K$ -stable finite dimensional subspace of  $U_+(K)$ .

Using the  $\mathbb{Z}$ -basis  $\Pi^v$  for  $Q^v$ , we obtain a Frobenius  $F$  on  $Q^v \otimes K$ , and  $F$  extends uniquely to each  $U_j(K)$  in such a way that  $F(\sum x_i \otimes t_i) = \sum x_i \otimes t_i^p$  ([ J ]), hence to all of  $U_+(K)$ . Define  $F$  on  $U_-$  similarly, and extend the Frobenius on  $Q^v \otimes K$  to  $U_0(K)$  by identifying the latter with the symmetric algebra of  $Q^v \otimes K$ . We finally obtain  $F: U_K \rightarrow U_K$  by using 1.1 so that  $F(u \cdot u_0 \cdot u_+) = F(u_-) \cdot F(u_0) \cdot F(u_+)$ , with  $u_0 \in U_0(K)$ .

Now, if  $v \in M$ , say  $v = \sum_i v_i \otimes t_i$  with  $v_i \in U_{\mathbb{Z}} \cdot v^+$ ,  $t_i \in K$ , then each  $v_i$  is of the form  $\sum_{\lambda} v_{i\lambda}$ , where  $v_{i\lambda} \in M_{\lambda} \cap U_{\mathbb{Z}} \cdot v^+$  by 1.11, so that, defining  $F$  on  $(M_{\lambda} \cap U_{\mathbb{Z}} \cdot v^+) \otimes K$  by  $F(\sum v_{i\lambda} \otimes t_i) = \sum v_{i\lambda} \otimes t_i^p$  (recall that  $\dim_K(M_{\lambda} \cap U_{\mathbb{Z}} \cdot v^+) \otimes K \leq \dim_Q(M_{\lambda}) < \infty$ ), one sees that  $F(v) = \sum_i v_i \otimes t_i^p$  does satisfy  $F(u \cdot v) = F(u) \cdot F(v)$ .



Example 1: One always has  $\dot{r}_i e_i = -f_i$ ; assume that  $N \geq 2$ , and  $a_{12} = -2$ . Then  $\dot{r}_1 e_2 = e_1^{(2)} e_2 + e_2 e_1^{(2)} - e_1 e_2 e_1$ . In particular, if  $N=2$ , then  $\dot{r}_1^2$  is the identity on  $U_2$ .

More generally, one has  $\dot{r}_i e_j = a_{ij} e_i^{(-a_{ij})} \cdot e_j$ ,

$$\text{and } \dot{r}_i f_j = (-1)^{a_{ij}} a_{ij} f_i^{(-a_{ij})} \cdot f_j$$

if  $i \neq j$ .

## Section 2 : Construction of the group.

Fix a field  $K$ . For every  $\alpha \in \Delta_+^{re}$ ,  $t \in K$ , let  $X_\alpha(t)$  be the formal sum  $\sum_{n=0}^{\infty} e_\alpha^{(n)} t^n$ , and  $X_\alpha(K)$  the set  $\{X_\alpha(t), t \in K\}$ .

Defining a product in  $X_\alpha$  by  $X_\alpha(t) \cdot X_\alpha(s) = X_\alpha(t+s)$ , one can easily see that  $X_\alpha$  becomes a group, isomorphic to  $G_a$ , and such that if  $M$  is any integrable  $U_K$ -module, there exists a homomorphism  $X_\alpha \rightarrow \text{Aut}_K(M)$ , with  $X_\alpha(t) \cdot v = \sum_{n=0}^{\infty} t^n e_\alpha^{(n)} \cdot v$ , the sum being finite by integrability.

Similarly, let  $Y_\alpha(K) = \{Y_\alpha(t) = \sum_{n=0}^{\infty} f_\alpha^{(n)} t^n, t \in K\}$ , defined for any  $\alpha \in \Delta_+^{re}$ , and consider the group  $G_K^*$  = free product of all  $X_\alpha, Y_\beta$ , over all  $\alpha, \beta \in \Delta_+^{re}$ , so that  $G_K^*$  acts on all integrable  $U_K$ -modules as above.

If  $I_0^*$  = intersection, taken over all  $U_{Z \cdot v \pm \mathbb{N}K}$  as in 1.11, of the kernels of the representation of  $G_K^*$  on  $U_{Z \cdot v \pm \mathbb{N}K}$ , and  $I_1^*$  = kernel of the action of  $G_K^*$  on  $U_K$ , set  $I^* = I_0^* \cap I_1^*$ , and let  $G(K) = G_K^*/I^*$  :  $G(K)$  is the Kač-Moody group associated to the generalized Cartan matrix  $A$  ( or the crystallographic group  $W$ ). If  $A$  were a classical Cartan matrix,  $G(K)$  would be the universal (simply-connected) group associated to  $A$ . Using  $I_1^*$  instead of  $I^*$  would yield the adjoint group .

In order to study the structure of  $G$ , let  $x_\alpha(t), y_\alpha(t), x_{\alpha_i}, y_{\alpha_i}$  be the images in  $G(K)$  of  $X_\alpha(t), Y_\alpha(t), X_{\alpha_i}, Y_{\alpha_i}$  in  $G_K^*$ , let  $A^i$  be the subgroup of  $G$  generated by  $X_{\alpha_i}, Y_{\alpha_i}$ ,  $1 \leq i \leq N$ , and call  $\text{Ad}: G(K) \rightarrow \text{Aut}(U_K)$  the representation of  $G(K)$  on  $U_K$ .

It is easy to see that  $\text{Ad}(A^i)$  stabilizes the Lie subalgebra of  $U_K$  spanned by the ordered basis  $(e_i, a_i^v, f_i)$  and that with respect to this basis,

$$\text{Ad}_{X_{\alpha_i}}(t) \text{ has matrix } \begin{pmatrix} 1 & -2t & -t^2 \\ 0 & 0 & t \\ 0 & 0 & 1 \end{pmatrix},$$

$$\text{and } \text{Ad}_{Y_{\alpha_i}}(t) \text{ has matrix } \begin{pmatrix} 1 & 0 & 0 \\ -t & 1 & 0 \\ -t^2 & 2t & 1 \end{pmatrix},$$

so that: a) the maps  $X_{\alpha_i} \rightarrow G(K)$ ,  $Y_{\alpha_i} \rightarrow G(K)$

$$X_{\alpha_i}(t) \mapsto x_{\alpha_i}(t), \quad Y_{\alpha_i}(t) \mapsto y_{\alpha_i}(t)$$

are injective ,

and b)  $\text{Ad } A^i|_{K e_i \mathbb{1} + K \alpha_i^v \mathbb{1} + K f_i \mathbb{1}} \simeq \text{PSL}_2(K)$

On the other hand, one knows that given any integrable module M for the algebra  $U_K(\mathfrak{sl}_2)$  defined as above, there exists a representation  $\text{SL}_2(K) \rightarrow \text{Aut}(M)$  satisfying  $\begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} \cdot v = X(t) \cdot v$ ,  $\begin{pmatrix} 1 & 0 \\ t & 1 \end{pmatrix} \cdot v = Y(t) \cdot v$ , and  $\begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix} \cdot v = t^{\langle v, \alpha^v \rangle} v$  if  $U_0(\mathfrak{sl}_2)$  acts on v by the character  $v$  ([T2]).

Fixing i and applying this theorem to the  $\mathfrak{sl}_2$  sub-Lie algebra of  $U_K$  spanned by  $e_i \mathbb{1}$ ,  $\alpha_i^v \mathbb{1}$ ,  $f_i \mathbb{1}$ , one gets a homomorphism  $\Phi_i: \text{SL}_2(K) \rightarrow G(K)$  such that  $\Phi_i(\text{SL}_2(K)) = A^i$ ; as we also have  $\text{Ad } A^i \simeq \text{PSL}_2(K)$ , we can assert that  $A^i$  is a group of type  $A_1$ .

Before obtaining commutation relations and structural facts about G, let's observe that if  $\text{char}(K) = p > 0$ , and if the map  $K \rightarrow K$ ,  $t \mapsto t^p$  is invertible, then  $G(K)$  carries a Frobenius map such that  $F x_\alpha(t) = x_\alpha(t^p)$ : indeed, the map  $\tilde{F}: G_K^* \rightarrow G_K^*$  given by  $\tilde{F}(z_\alpha(t)) = z_\alpha(t^p)$  for  $z \in \{x, y\}$  satisfies  $\tilde{F}(I^*) = I^*$  by 1.12, and hence factors to the desired map on G itself.

Let's denote by  $H_i = \{H_i(t) = \Phi_i \begin{pmatrix} t & 0 \\ 0 & 1/t \end{pmatrix}, t \in K\} \subset A^i$ ,  $M_i =$  normalizer of  $H_i$  in  $A^i$ ,  $H =$  subgroup of G generated by  $H_1, \dots, H_N$ ,  $M =$  subgroup of G generated by  $M_i, 1 \leq i \leq N$ ,  $U_+ =$  subgroup of G generated by all  $x_\alpha, \alpha \in \Delta_+^{re}$ ,  $U_- =$  subgroup of G generated by all  $y_\alpha, \alpha \in \Delta_+^{re}$ ,  $\dot{r}_i(t) = x_{\alpha_i}(t) y_{\alpha_i}(-1/t) x_{\alpha_i}(t)$ .

2.1 Theorem : (see [PK2])

- a) If M is an integrable  $U_K$ -module, and if  $u \in U_K$ , then  $(\text{Ad } x_\alpha(t)(u)) = x_\alpha(t) u x_{-\alpha}(t)$ , in  $\text{End}(M)$
- b) If  $\alpha, \beta \in \Delta_+^{re}$ , &  $N\alpha + N\beta \in \Delta_+^{re} = N\alpha + N\beta \in \Delta_+^{re} \subset \{\alpha, \beta, \alpha + \beta\}$  then for some  $n_{\alpha, \beta} \in \mathbb{Z}$ , and all  $t, s \in K$ ,  $(x_\alpha(t), x_\beta(s)) = x_{\alpha + \beta}(-n_{\alpha, \beta} ts)$ .
- c)  $\dot{r}_i(1) x_\alpha \dot{r}_i(-1) = x_{r_i \alpha}$  if  $\alpha \in \Delta_+^{re} - \{\alpha_i\}$ .
- d)  $(H_i, H_j) = 1$  for all  $i, j$ .
- e)  $h U_+ h^{-1} = U_+$  if  $h \in H$ .
- f)  $W = M/H$

proof:

To prove a) we may assume that  $u = u_0 \otimes s$ ,  $u_0 \in U_Z$ ,  $s \in K$ ,  $v \in M$ , so that  $(\text{Ad } x_\alpha(t) \cdot (u)) \cdot v = \sum_m t^m (\text{ade}_\alpha^{(m)} \cdot u_0 \otimes s) \cdot v$ , while

$$\begin{aligned}
x_\alpha(t) u_\alpha(-t).v &= \sum_{i,j} (-t)^{i+j} (e_\alpha^{(j)} u_\alpha^{(i)}).v \\
&= \sum_{i,j} (-1)^i t^{i+j} ((e_\alpha^{(j)} u_\alpha^{(i)}) u_\alpha).v \\
&= \sum_{i,j} t^{2i+j} (e_\alpha^{(j)} u_\alpha^{(2i)}) u_\alpha.v \\
&\quad - t^{2i+j+1} (e_\alpha^{(j)} u_\alpha^{(2i+1)}) u_\alpha.v \\
&= \sum_m t^m \left( \sum_i e_\alpha^{(m-2i)} u_\alpha^{(2i)} - e_\alpha^{(m-2i-1)} u_\alpha^{(2i+1)} \right) u_\alpha.v
\end{aligned}$$

so that a) is proved if we know that

2.2 
$$\text{ad } e_\alpha^{(m)}.u_\alpha = \sum_i e_\alpha^{(m-2i)} u_\alpha^{(2i)} - e_\alpha^{(m-2i-1)} u_\alpha^{(2i+1)}$$

But one can easily prove by induction, starting with the formula  $\text{ad } e_i.u = e_i u - u e_i$  that

$$\text{ad } e_i^m.u = \sum_{j=0}^m (-1)^j \binom{m}{j} e_i^{m-j} u e_i^j, \text{ which implies 2.2 if we}$$

let  $u = (\dot{w}^\alpha)^{-1} u_\alpha$ , and apply  $\dot{w}^\alpha$  to the above equality, with  $i$  chosen so that  $\dot{w}^\alpha.\alpha_i = \alpha$ .

Now to check all equalities  $A=B$  with  $A, B \in G$ , we use a) to verify that  $AB^{-1} \in I^*$  where  $A$  and  $B^{-1}$  are interpreted as elements of  $G^*$ , i.e.

To prove b): let's first compute  $n_{\alpha,\beta}$ : if  $\alpha+\beta \notin \Delta_+^{re}$ ; set  $n_{\alpha,\beta}=0$  for we know that in this case  $e_\alpha$  and  $e_\beta$  commute. Otherwise, as in 1.9, we know that  $(\dot{w}^{\alpha+\beta})^{-1}.[e_\beta, e_\alpha]$  is an element of  $U_Z \cap g_{\alpha_i} = Z e_i$ , where  $i$  is such that

$\dot{w}^{\alpha+\beta} \alpha_i = \alpha+\beta$ , hence  $(\dot{w}^{\alpha+\beta})^{-1}.[e_\beta, e_\alpha] = n e_i$  for some  $n \in Z$ , and we let  $n_{\alpha,\beta} = n$ .

We now check that  $(X_\alpha(t), X_\beta(s)).X_{\alpha+\beta}(n_{\alpha,\beta}ts) \in I_o^*$ :

Fix  $t, s \in K$ , and set  $R = Z[T, S]$ , the ring of polynomials in two variables with integer coefficients, and let  $U_R$  denote  $U_Z \otimes R$ . let  $v_o \in U_Z$ , and let  $D_T \in \text{End } U_R$  be the operator  $T \frac{d}{dT}$ .

As  $e_\lambda$  acts locally nilpotently on  $U_Z$  in the adjoint representation, for any  $\lambda \in \Delta_+^{re}$ , we can define elements

$$E(c e_\lambda) = \sum_k \text{ad } e_\lambda^{(k)} c \in \text{End } U_R, \text{ for any } c \in Z[T, S]. \text{ Now write}$$

2.3

$$f(T,S) = E(Te_\alpha)E(Se_\beta)E(-Te_\alpha)E(-Se_\beta)E(n_{\alpha,\beta}TSe_{\alpha+\beta}) \cdot v_0 \mathbb{1},$$

and let's compute  $D_T f = T(\text{ad}(e_{\mathbb{1}}))f$

$$\begin{aligned} &+ T.E(Te_\alpha) \{ E(Se_\beta)(-\text{ad}(e_{\mathbb{1}}))E(-Te_\alpha)E(-Se_\beta)E(n_{\alpha,\beta}TSe_{\alpha+\beta}) \cdot v_0 \mathbb{1} \\ &\quad + E(Se_\beta)E(-Te_\alpha)E(-Se_\beta)(\text{ad}_{\alpha+\beta} n_{\alpha,\beta} S)E(n_{\alpha,\beta}TSe_{\alpha+\beta}) \cdot v_0 \mathbb{1} \} \end{aligned}$$

(using the "product rule"),

$$\begin{aligned} &= T(\text{ad}_{\alpha} \mathbb{1})f - T \text{ad}(E(Te_\alpha)E(Se_\beta) \cdot e_{\alpha} \mathbb{1})f \\ &\quad + n_{\alpha,\beta} S \text{ad}(E(Te_\alpha)E(Se_\beta)E(-Te_\alpha)E(-Se_\beta) \cdot e_{\alpha+\beta} \mathbb{1})f \end{aligned}$$

(using a)),

$$\begin{aligned} &= T.\text{ad}(e_{\alpha} \mathbb{1} - e_{\alpha} \mathbb{1} - S[e_\beta, e_\alpha] \mathbb{1} + n_{\alpha,\beta} Se_{\alpha+\beta} \mathbb{1})f \\ &\quad \text{(as with our assumptions on } \alpha, \beta, \\ &\quad e_\alpha \text{ and } e_\beta \text{ commute with } e_{\alpha+\beta}) \end{aligned}$$

= 0, so that

2.4

$$f(T,S) = f(0,S) = v_0 \mathbb{1}.$$

Now we form the tensor product  $U_R \otimes_R K \simeq U_K$ , where  $K$  has the  $\mathbb{Z}[T,S]$ -module structure obtained by mapping  $T \mapsto t, S \mapsto s$ :

Then equations 2.3 and 2.4 give

$$(X_\alpha(t), X_\beta(s)) X_{\alpha+\beta}(n_{\alpha,\beta} st) \cdot v_0 \mathbb{1} = v_0 \mathbb{1},$$

which is verified for all  $v_0 \in U_Z$ , from which one concludes that

$$(X_\alpha(t), X_\beta(s)) X_{\alpha+\beta}(n_{\alpha,\beta} st) \in I_1^*.$$

To check that  $(X_\alpha(t), X_\beta(s)) X_{\alpha+\beta}(n_{\alpha,\beta} st) \in I_0^*$  one proceeds exactly as above, and b) is proved.

proof of c): given  $\alpha \in \Delta_+^{re} - \{\alpha_i\}$ ,  $1 \leq i \leq N$ , we already know that  $\dot{r}_i \cdot e_\alpha \in U_Z \cap g_{r_i \alpha} = Z e_{r_i \alpha}$ , so that there exists an integer  $k_{i,\alpha} \in \mathbb{Z}$  with

$$\dot{r}_i e_\alpha = k_{i,\alpha} e_{r_i \alpha}.$$

In fact,  $\dot{r}_i^{-1} e_{r_i \alpha} \in U_Z \cap g_\alpha$ , hence  $k_{i,\alpha} = \pm 1$ :

Let's show that  $\dot{r}_i(1) X_\alpha(t) \dot{r}_i(-1) X_{r_i \alpha}(-k_{i,\alpha} t) \in I^*$ :

If  $M$  is an integrable  $U_K$ -module, and  $v \in M$ , then

$$\dot{r}_i(1) X_\alpha(t) \dot{r}_i(-1) \cdot v = \sum_m t^m \dot{r}_i(1) \cdot (e_\alpha^{(m)} \mathbb{1}) \cdot \dot{r}_i(-1) \cdot v$$

$$\begin{aligned}
&= \sum_m t^m (\text{Ad} \dot{r}_i(1) \cdot (e_\alpha^{(m)} \otimes 1)) \cdot v, \text{ by a),} \\
&= \sum_m t^m (\dot{r}_i \cdot e_\alpha^{(m)} \otimes 1) \cdot v, \text{ by the definition of } \dot{r}_i, \\
&= \sum_m t^m k_{i,\alpha} e_{r_1 \alpha}^{(m)} \otimes 1 \cdot v \\
&= X_{r_1 \alpha}(k_{i,\alpha} t) \cdot v.
\end{aligned}$$

As  $X_{r_1 \alpha}(k_{i,\alpha} t) = X_{r_1 \alpha}(-k_{i,\alpha} t)^{-1}$ , we obtain

$$\dot{r}_i(1) X_\alpha(t) \dot{r}_i(-1) = X_{r_1 \alpha}(\pm t), \text{ as needed.}$$

proof of d): it is a priori clear that  $(H_i, H_i) = 1$ . Now assume that  $M$  is an integrable module as in 1.11, so that for all  $v \in M$ ,  $v = \sum_\lambda v_\lambda \otimes t_\lambda$ , with  $v_\lambda \in U_{\mathbb{Z}} \cdot v^+ \cap M_\lambda$ ,  $t_\lambda \in K$ . We know that  $H_i(s) \cdot v = \sum_\lambda s^{\langle \lambda, \alpha_i^v \rangle} v_\lambda \otimes t_\lambda$ , so that if  $1 \leq i \leq N$ , and  $s_i, s_j$  are elements of  $K$ , then

$$\begin{aligned}
H_i(s_i) H_j(s_j) H_i(s_i^{-1}) H_j(s_j^{-1}) \cdot v &= \sum_\lambda s_i^{\langle \lambda, \alpha_i^v \rangle} s_j^{\langle \lambda, \alpha_j^v \rangle} s_i^{-\langle \lambda, \alpha_i^v \rangle} \\
&\quad s_j^{-\langle \lambda, \alpha_j^v \rangle} v_\lambda \otimes t_\lambda \\
&= \sum v_\lambda \otimes t_\lambda = v
\end{aligned}$$

and hence  $H_i(s_i) H_j(s_j) H_i(s_i^{-1}) H_j(s_j^{-1}) \in I_0^*$ .

The proof that  $H_i(s_i) H_j(s_j) H_i(s_i^{-1}) H_j(s_j^{-1}) \in I_1^*$  is similar,

and as we already know that  $H_k(s^{-1}) = H_k(s)^{-1}$ , we obtain

$(H_i, H_j) = 1$  in  $G$ , for all  $i, j$ .

To prove e), we keep the notation of d), and find that

$$\begin{aligned}
H_i(t) X_\alpha(s) H_i(t^{-1}) \cdot v &= \sum_m H_i(t) \cdot e_\alpha^{(m)} \otimes s^m \cdot H_i(t^{-1}) \cdot v \\
&= \sum_m (\text{Ad} H_i(t) \cdot (e_\alpha^{(m)} \otimes s^m)) \cdot v
\end{aligned}$$

Now  $U_0(K)$  acts by the image character of  $m$  on  $e_\alpha^{(m)} \otimes s^m$

$$\begin{aligned}
\text{so that, using 1.11, } \text{Ad} H_i(t) \cdot (e_\alpha^{(m)} \otimes s^m) &= t^{\langle m\alpha, \alpha_i^v \rangle} e_\alpha^{(m)} \otimes s^m \\
&= e_\alpha^{(m)} \otimes (t^{\langle \alpha, \alpha_i^v \rangle} s)^m.
\end{aligned}$$

Plugging this expression back into the equation above, one finds that

$$\begin{aligned}
H_i(t) X_\alpha(s) H_i(t^{-1}) \cdot v &= \sum_m e_\alpha^{(m)} \otimes (t^{\langle \alpha, \alpha_i^v \rangle} s)^m \cdot v \\
&= X_\alpha(t^{\langle \alpha, \alpha_i^v \rangle} s) \cdot v, \text{ as needed.}
\end{aligned}$$

Proof of f): As each  $M_i$  is generated by  $\dot{r}_i(1)$  and  $H_i$ ,  $M/H$

is generated by the images under the quotient maps of  $\{\dot{r}_i(1), 1 \leq i \leq N\}$ , and a classical computation shows that  $\dot{r}_i(1)^2 \in H_i$ , so that each generator of  $M/H$  has order 2. Now fix  $i \neq j$ , and assume that  $m_{ij}$  is finite, so that the matrix  $A_{ij} = \begin{pmatrix} 2 & a_{ij} \\ a_{ji} & 2 \end{pmatrix}$  is a Cartan matrix in the usual sense. We wish to check that

2.5

$$\underbrace{(\dot{r}_i(1)\dot{r}_j(1)\dots)}_{m_{ij} \text{ terms}} \underbrace{(\dot{r}_j(1)\dot{r}_i(1)\dots)}_{m_{ij} \text{ terms}}^{-1} = 1.$$

To this end, let  $a_{ij}$  be the subalgebra of  $\mathfrak{g}_Q(A)$  generated by  $\{e_i, e_j, f_i, f_j\}$ ; letting  $\Sigma_{ij}$  be the positive root system  $\Delta^+(a_{ij}, Q\alpha_i^V + Q\alpha_j^V)$ ,  $a_{ij} \mathbb{C}$  is the Lie algebra associated to the matrix  $A_{ij}$  and has  $\{e_\lambda, f_\lambda, \lambda \in \Sigma_{ij}\} \cup \{\alpha_i^V, \alpha_j^V\}$  for Chevalley basis. Order the set  $\Sigma_{ij}$ , say  $\Sigma_{ij} = \{\lambda_1, \dots, \lambda_n\}$ . Then the Kostant  $\mathbb{Z}$ -form  $U_{ij}$  of the universal envelope of  $a_{ij} \mathbb{C}$  has  $\mathbb{Z}$ -basis  $\{f_{\lambda_1}^{(p_1)} \dots f_{\lambda_n}^{(p_n)} \binom{\lambda_1^V}{q_1} \binom{\lambda_2^V}{q_2} e_{\lambda_1}^{(r_1)} \dots e_{\lambda_n}^{(r_n)}\}$ , and

$U_{ij} \subset U_{\mathbb{Z}}$  by 1.9.

Now let  $R_{ij}$  be the left hand side of 2.5, and fix  $1 \leq k \leq N$ ,  $s \in \mathbb{N}$ . As each  $e_\lambda$  and  $f_\lambda$  act locally nilpotently on  $U_{\mathbb{Z}}$ , the  $a_{ij} \mathbb{C}$ -module  $\text{ad} U_{ij} \cdot e_k^{(s)} \mathbb{C}$  is finite dimensional. Let  $G_{ij}$  be the associated Chevalley group (with respect to the lattice  $\text{ad} U_{ij} \cdot e_k^{(s)}$ ) over  $K$ , and let  $\tilde{G}_{ij}$  be the group generated by  $\text{Ad} A^i, \text{Ad} A^j$  in  $\text{Aut}(U_{ij} \cdot e_k^{(s)} \mathbb{C})$ . By construction,  $\tilde{G}_{ij} \subset G_{ij}$ . If  $\tilde{R}_{ij}$  is the image of  $R_{ij}$  in  $\tilde{G}_{ij}$ , we then know ([S3]) that  $\tilde{R}_{ij} = 1$ , hence  $\text{Ad} R_{ij} \cdot e_k^{(s)} \mathbb{C} = e_k^{(s)} \mathbb{C}$ . One proves similarly that  $\text{Ad} R_{ij}$  fixes the remaining generators  $f_k^{(s)}$ , and we obtain  $R_{ij} \in I_1^*$ . On the other hand if  $v^+ \in M$  as in 1.11 and  $u \in U_{\mathbb{Z}}$ ,  $t \in K$ , we have

$$\begin{aligned} R_{ij} \cdot u \mathbb{C} t \cdot v^+ \mathbb{C} 1 &= (\text{Ad} R_{ij}(u \mathbb{C} t)) \cdot R_{ij}^{-1} \cdot (v^+ \mathbb{C} 1) \\ &= u \mathbb{C} t \cdot R_{ij}^{-1} (v^+ \mathbb{C} 1). \end{aligned}$$

One shows that  $R_{ij} v^+ \mathbb{C} 1 = v^+ \mathbb{C} 1$  as above (using the Chevalley group with respect to the finite dimensional module  $U_{ij} \cdot v^+$ ) hence  $R_{ij} \cdot v = v$  for all  $v \in U_{\mathbb{Z}} \cdot v^+ \mathbb{C} K$ , so that  $R_{ij} \in I_0^*$ , and the

proof of 2.5 is now complete.

If  $m_{ij}$  is odd, 2.5 implies that

$$\begin{aligned} & \underbrace{\dot{r}_i(1)\dot{r}_j(1)\dots\dot{r}_i(1)\dot{r}_j(1)\dot{r}_i(1)}_{m_{ij} \text{ terms}} \cdot \underbrace{\dot{r}_j(1)\dot{r}_i(1)\dots\dot{r}_j(1)\dot{r}_i(1)\dot{r}_j(1)}_{m_{ij} \text{ terms}} \\ &= \underbrace{\dot{r}_j(1)\dot{r}_i(1)\dots\dot{r}_j(1)\dot{r}_i(1)\dot{r}_j(1)}_{m_{ij} \text{ terms}} \cdot \underbrace{\dot{r}_j(1)\dot{r}_i(1)\dots\dot{r}_j(1)\dot{r}_i(1)\dot{r}_j(1)}_{m_{ij} \text{ terms}} \end{aligned}$$

and the right hand side is in  $H$  because  $\dot{r}_i(1)^2 \in H$  and each  $\dot{r}_i(1)$  normalizes  $H$ , so the equation gives

$$(\dot{r}_i(1)\dot{r}_j(1))^{m_{ij}} \in H,$$

and similarly if  $m_{ij}$  is even then  $(\dot{r}_i(1)\dot{r}_j(1))^{m_{ij}} \in H$ .

Writing  $R_i = \dot{r}_i(1)H \in M/H$ , we have proved that  $M/H$  is generated by  $\{R_1, \dots, R_N\}$ , and that these satisfy

$$\begin{cases} R_i^2 = 1, \\ (R_i R_j)^{m_{ij}} = 1 \text{ if } i \neq j, m_{ij} < \infty. \end{cases}$$

By the definition of  $W$ , one obtains a surjective homomorphism  $\Psi: W \rightarrow M/H$ , with  $\Psi(r_i) = R_i$ .

We check that  $\Psi$  is 1-1: let  $w \in W - \{1\}$  have reduced expression  $w = r_{i_1} \dots r_{i_k}$ , and choose  $i_0 \in [1, N]$  such that  $w \alpha_{i_0} \in \Delta_-^{re}$ : then  $\text{Ad}(\dot{r}_{i_1}(1) \dots \dot{r}_{i_k}(1)) \cdot (e_{i_0} \otimes 1) \notin \text{Ke}_{i_0}$ , hence there exists no  $h$  in  $H$  such that  $\dot{r}_{i_1}(1) \dots \dot{r}_{i_k}(1)h \in I_1^*$ , so  $\dot{r}_{i_1}(1) \dots \dot{r}_{i_k}(1) \notin H$ .

As  $\dot{r}_{i_1}(1) \dots \dot{r}_{i_k}(1)H = \Psi(w)$ , this shows that  $\Psi(w) \neq 1$ .

And this completes the proof of f) and of 2.1 .

In the proof above, we used the fact that each  $\dot{r}_j(1)$  normalizes  $H$ . In fact, if  $s \in K^X$ , one has

$$\dot{r}_j(1)h_i(s)\dot{r}_j(-1) = h_i(s)h_j(s^{-a_{ij}}),$$

a formula which can be easily checked using 2.1a) as before.

Remark: Let's write  $z_\lambda$  for  $x_\lambda$  if  $\lambda \in \Delta_+^{re}$ ,

$$y_\lambda \text{ if } \lambda \in \Delta_-^{re}.$$



Assume that  $\alpha \neq \beta \in \Delta^{\text{re}}$ ,  $\alpha + \beta \neq 0$ , let  $S = ((\mathbb{N}\alpha + \mathbb{N}\beta) \cap \Delta) - \{\alpha, \beta\}$ , and assume that  $S \subset \Delta^{\text{re}}$  and that  $S$  is finite.

Then one can show as in 2.1b) and [S2] that there exists integers  $c_i$  such that for all  $s, t \in K$ ,

$$(z_\alpha(s), z_\beta(t)) = \prod_{n_i \alpha + m_i \beta \in S} z_{n_i \alpha + m_i \beta} (c_i s^{n_i} t^{m_i})$$

the product taken in the ordering  $n_i \alpha + m_i \beta < n_j \alpha + m_j \beta$  iff  $n_i + m_i < n_j + m_j$  or  $n_i + m_i = n_j + m_j$  and  $n_i < n_j$ .

Let us now fix  $i$  and write  $U^{\alpha_i}$  for the subgroup of  $U_+$  generated by all elements of the form  $xyx^{-1}$  with  $x \in x_{\alpha_i}$ ,  $y \in y_\beta$ ,  $\beta \in \Delta_+^{\text{re}} - \{\alpha_i\}$ .

2.6 Proposition : ([PK1])

$$U_+ = x_{\alpha_i} \rtimes U^{\alpha_i}.$$

proof:

Suppose  $\beta \in \Delta_+^{\text{re}} - \{\alpha_i\}$ , and let  $\varepsilon = \begin{cases} 1 & \text{if } \langle \alpha_i, \beta^V \rangle \geq 0, \\ -1 & \text{if } \langle \alpha_i, \beta^V \rangle < 0 \end{cases}$

so that  $\varepsilon \langle \alpha_i, \beta^V \rangle \geq 0$ .

We now show that there exists a subset  $S$  of  $\Delta_+^{\text{re}} - \{\alpha_i\}$  such that

$$\text{if } \varepsilon = 1, (x_{\alpha_i}, x_\beta) \prod_{\lambda \in S} x_\lambda,$$

$$\text{and if } \varepsilon = -1, (y_{\alpha_i}, x_\beta) \prod_{\lambda \in S} x_\lambda.$$

Indeed let  $S = (\mathbb{N}\varepsilon\alpha_i + \mathbb{N}\beta) \cap \Delta - \{\varepsilon\alpha_i, \beta\}$ . Then  $S$  clearly does not contain  $\alpha_i$ ; now let  $\lambda_{n,m} = (n\varepsilon\alpha_i + m\beta)$ ,  $n, m \in \mathbb{N}$ , and assume that  $\lambda_{n,m} \in \Delta$ : then either  $\varepsilon = -1$ ,  $n=1$ , and  $m=0$ , in which case

$\lambda_{n,m} = -\alpha_i$ , or  $\lambda_{n,m} \in \Delta_+$ ; assume that the latter is true, and

let  $r_\beta$  be the reflexion in the real root  $\beta$ : we have

$$r_\beta \lambda_{n,m} = n\varepsilon\alpha_i - \underbrace{(n\varepsilon\langle \alpha_i, \beta^V \rangle + m)}_{> 0} \beta, \text{ so } r_\beta \lambda_{n,m} > 0.$$

Therefore  $\lambda_{n,m} \in \{\lambda \in \Delta_+ \mid r_\beta \lambda < 0\}$ ;

Hence  $S \subset \{\lambda \in \Delta_+ \mid r_\beta \lambda < 0\}$ , a set which is finite and which consists entirely of real roots ([K3]). By the remark made

above, there exist integers  $c_{n,m}$  such that if  $\varepsilon=1$ , then for all  $s, t \in K$ ,  $(x_{\alpha_i}(s), x_{\beta}(t)) = \prod_{\lambda_{n,m} \in S} x_{\lambda_{n,m}}(c_{n,m} s^n t^m)$ , and if  $\varepsilon=-1$ ,

then for all  $s, t \in K$ ,  $(y_{\alpha_i}(s), x_{\beta}(t)) = \prod_{\lambda_{n,m} \in S} x_{\lambda_{n,m}}(c_{n,m} s^n t^m)$ .

(Note that in case A is symmetrizable, i.e. for some diagonal matrix  $D$ ,  $D.A$  is symmetric, Kač and Peterson show that  $c_{n,m}=0$  if  $nm \neq 1$  ([PK2]), and one computes  $c_{1,1}$  as in 2.1b)).

Suppose now that  $\langle \alpha_i, \beta^V \rangle \geq 0$ : we then have

$$\dot{r}_i(1) x_{\alpha_i}(t) x_{\beta}(s) x_{\alpha_i}(-t) \dot{r}_i(-1) = \dot{r}_i(1) (x_{\alpha_i}(t), x_{\beta}(s)) \dot{r}_i(-1) \dot{r}_i(1) x_{\beta}(s) \dot{r}_i(-1)$$

which, by the result above lies in  $U^{\alpha_i}$ ,

while if  $\langle \alpha_i, \beta^V \rangle < 0$ , and  $t \in K^\times$ , we have

$$\dot{r}_i(1) x_{\alpha_i}(t) x_{\beta}(s) x_{\alpha_i}(-t) \dot{r}_i(-1) = H_i(u) \dot{r}_i(-t) x_{\alpha_i}(t) x_{\beta}(s) x_{\alpha_i}(-t) \dot{r}_i(t) H_i(u)^{-1}$$

where  $u$  is chosen so that  $\dot{r}_i(-t) = \dot{r}_i(1) H_i(u)^{-1}$ ,

$= H_i(u) x_{\alpha_i}(-t) (y_{\alpha_i}(t^{-1}), x_{\beta}(s)) x_{\beta}(s) x_{\alpha_i}(t) H_i(u)^{-1}$ , which is again in  $U^{\alpha_i}$  (using 2.1e)). This shows that  $\dot{r}_i(1)$  normalizes  $U^{\alpha_i}$ .

It is clear from the definition of  $U^{\alpha_i}$  that  $x_{\alpha_i}$  normalizes it, and if  $g \in x_{\alpha_i} \cap U^{\alpha_i}$ , then  $\dot{r}_i(1) g \dot{r}_i(-1) \cdot v^+ \mathbb{1} = v^+ \mathbb{1}$  for all  $v^+ \in M$  as in 1.11 because  $\dot{r}_i(1) g \dot{r}_i(-1)$  lies in  $y_{\alpha_i} \cap U^{\alpha_i}$  which is contained in  $U_+$ ; but the only element of  $y_{\alpha_i}$  that fixes all such  $v^+ \mathbb{1}$  is  $y_{\alpha_i}(0) = 1$ , so  $\dot{r}_i(1) g \dot{r}_i(-1) = 1$ , i.e.  $g=1$  and we must have

$$x_{\alpha_i} \cap U^{\alpha_i} = 1.$$

Let's note that, in fact,  $U^{\alpha_i} = U_+ \dot{r}_i(1) U_+ \dot{r}_i(-1)$ : indeed,

$\dot{r}_i(1) U_+ \dot{r}_i(1) = (y_{\alpha_i} \rtimes U^{\alpha_i})$  by 2.6, hence

$$U^{\alpha_i} \subseteq U_+ \dot{r}_i(1) U_+ \dot{r}_i(-1),$$

while if  $g = x_{\alpha_i}(t) g' \in U_+$  (with  $g' \in U^{\alpha_i}$ ,  $t \in K$ ) is in  $U_+ \dot{r}_i(1) U_+ \dot{r}_i(-1)$ ,

then  $\dot{r}_i(1)x_{\alpha_i}(t)g'\dot{r}_i(-1) \in U_+$ , i.e.  $y_{\alpha_i}(-t)\dot{r}_i(1)g'\dot{r}_i(-1) \in U_+$ , so  $t=0$ , hence  $g=g'$ , so  $U_+ \cap \dot{r}_i(1)U_+\dot{r}_i(-1) \subset U^{\alpha_i}$ , as needed.

We conclude this section by defining subgroups  $B_\varepsilon$  by  $B_\varepsilon = HU_\varepsilon$ , for  $\varepsilon = \pm 1$ , and observing that

2.7 Proposition :

- a)  $U_- \cap B_+ = 1$
- b) If  $G(K)$  carries a Frobenius map  $F$  as above, then  $FB_\varepsilon \subset B_\varepsilon$ ,  $FH \subset H$ , and  $\dot{r}_i(1) \in G(K)^F$  for all  $i$ .

proof:

indeed, if  $g \in U_-$ , and  $\{g.(v^+ \otimes 1), v^+ \otimes 1\}$  is linearly dependent in  $U_+ \cdot v^+ \otimes K$  for all  $v^+ \in M$  as in 1.11, then  $g=1$ , whereas if  $g$  is in  $B_+$ , then  $g.v^+ \otimes 1 \in Kv^+ \otimes 1$ , and a) is proved.

b) is clear.

Example 2: Suppose  $\mu \in \Delta_+^{re}$ , say  $\mu = w\alpha_k$ , and let  $\mu_m = wr_k \alpha_m \in \Delta_+^{re}$ ;  $\mu$  and  $\mu_m$  are 'adjacent' roots. Let's show that  $x_\mu$  and  $x_{\mu_m}$  must then commute:

If  $i, j \in \mathbb{N}$ , then  $r_k w^{-1}(i\mu_m + j\mu) = i\alpha_m - j\alpha_k$ , and  $i\mu_m + j\mu$  is a root if and only if  $r_k w^{-1}(i\mu_m + j\mu)$  is one; however the right hand side is neither in  $\Delta_+$  nor in  $\Delta_-$  unless  $i$  or  $j$  is zero. Hence  $N\mu_m + N\mu \cap \Delta_+ = \{\mu_m, \mu\}$ , so  $x_\mu, x_{\mu_m}$  commute by 2.1b).

## Section 3 : The Bruhat decomposition and Schubert cells

We have seen that if  $w \in W$  has reduced expression  $w = r_{i_1} \dots r_{i_k}$ , and  $X, Y$  are subgroups of  $G$  such that  $H \subset X$  and  $H$  normalizes  $Y$ , then one may define subsets  $wX, wYw^{-1}$  of  $G$  by requiring that

$$wX = \{ \dot{r}_{i_1}(1) \dots \dot{r}_{i_k}(1)x, x \in X \},$$

$$wYw^{-1} = \{ \dot{r}_{i_1}(1) \dots \dot{r}_{i_k}(1)y\dot{r}_{i_k}(-1) \dots \dot{r}_{i_1}(-1), y \in Y \}$$

so that if  $w = w_1 w_2$  with  $w_i \in W$ , then  $wX = w_1(w_2X)$  and  $wYw^{-1} = w_1(w_2Yw_2^{-1})w_1^{-1}$  whenever these expressions make sense : indeed, if  $w_1$  has reduced expression  $r_{m_1} \dots r_{m_j}$  and  $w_2 = r_{n_{j+1}} \dots r_{n_k}$  then as in 2.1f) one has  $\Psi(w) = \Psi(w_1)\Psi(w_2)$ , hence for some  $h \in H$ ,  $\dot{r}_{i_1} \dots \dot{r}_{i_k} = \dot{r}_{m_1} \dots \dot{r}_{n_k} h$ .

Using this notation, one can define for each  $w \in W$  a subset  $B_+ w B_+$  of  $G$ , and we shall now show that

3.1 Proposition : (see [PK2])

$$G = \bigcup_{w \in W} B_+ w B_+ = \bigcup_{w \in W} B_- w B_+$$

The proof of these decompositions will be carried out in stages: the decomposition itself is proved in 3.1-3.7 and uniqueness is established by 3.11.

In what follows, we fix  $w \in W$ ,  $w = r_{j_1} \dots r_{j_k}$  a reduced expression, and write  $\underline{w} = \dot{r}_{j_1}(1) \dots \dot{r}_{j_k}(1)$ .

Assume now that  $g \in w B_+ r_i$ , for some  $i$ , so that  $g = \underline{w} h x_{\alpha_i}(t) g_0 \dot{r}_i(1)$ , with  $h \in H$ ,  $t \in K$ ,  $g_0 \in U^{\alpha_i}$ ;

3.2 If  $w \alpha_i \in \Delta_+$ , then  $\underline{w} x_{\alpha_i}(t) \dot{r}_i(1) = x_{w \alpha_i}(s) \underline{w} \dot{r}_i(1)$  for some  $s \in K$ ,

$$\text{hence } g = (\underline{w} h \underline{w}^{-1}) \underline{w} x_{\alpha_i}(t) \dot{r}_i(1) (\dot{r}_i(-1) g_0 \dot{r}_i(1))$$

$$= h' x_{w \alpha_i}(s) \underline{w} \dot{r}_i(1) g_0' \quad \text{with } h' \in H, g_0' \in U_+,$$

$$\text{i.e. } g \in B_+ w r_i B_+,$$

whereas

3.3 If  $w \alpha_i \in \Delta_-$ , and  $t \neq 0$ , then  $\underline{w} x_{\alpha_i}(t) \dot{r}_i(1) = \underline{w} y_{\alpha_i}(t^{-1}) x_{\alpha_i}(t) h_0$ , with  $h_0 \in H$ ,

$$= x_{-\alpha_i}(s) x_{\alpha_i}(t) h_0 \quad \text{with } s \in K,$$

$$\begin{aligned} \text{hence } g &= (\underline{whw}^{-1}) \underline{wx}_{\alpha_i}(t) \dot{r}_i(1) (\dot{r}_i(-1) g_0 \dot{r}_i(1)) \\ &= h' x_{-\alpha_i}(s) x_{\alpha_i}(t) h_0 g'_0, \quad \text{with } h' \in H, g'_0 \in U_+, \end{aligned}$$

$$\text{i.e. } g \in B_+ w B_+.$$

One concludes that  $B_+ w B_+ r_i B_+ \subset B_+ w B_+ \cup B_+ r_i B_+$ , so that, by induction on  $n$ , if  $w' = r_{i_1} \dots r_{i_n}$  with  $\text{length}(w') = n$ , then

$$\underline{3.4} \quad (B_+ w B_+) \cdot (B_+ w' B_+) \subset B_+ w B_+ \cup \bigcup_s B_+ w r_{s_1} \dots r_{s_m} B_+$$

where the second union is taken over all  $m$ -tuples  $(r_{s_1} \dots r_{s_m})$  with  $s_1 < \dots < s_m$ ,  $m \leq n$ .

Hence  $\bigcup_{w \in W} B_+ w B_+$  is a subgroup of  $G$ . Moreover,  $x_{\alpha} \in B_+ \subset \bigcup_{w \in W} B_+ w B_+$ , for all  $\alpha \in \Delta_+^{\text{re}}$ , while if  $w_{\beta} \in W$  is the reflexion in the positive real root  $\beta$ , then  $y_{\beta} = w_{\beta} x_{\beta} w_{\beta}^{-1} \in (B_+ w_{\beta} B_+) \cdot (B_+ w_{\beta} B_+)$ , so that  $\bigcup_{w \in W} B_+ w B_+$  contains all  $y_{\beta}$

as well. Since the family  $\{x_{\alpha}, \alpha \in \Delta_+^{\text{re}}\} \cup \{y_{\alpha}, \alpha \in \Delta_+^{\text{re}}\}$  generates  $G$ , this establishes the Bruhat decomposition  $G = \bigcup_{w \in W} B_+ w B_+$ .

We now show that  $G = \bigcup_{w \in W} B_- w B_+$  (the Birkhoff decomposition as in [KP2]):

With  $w$  as before, assume  $g = \underline{whx}_{\alpha_i}(t) g_0 \dot{r}_i(1) \in w B_+ r_i$ .

$$\begin{aligned} \underline{3.5} \quad \text{If } w \alpha_i \in \Delta_-, \text{ then } \underline{wx}_{\alpha_i}(t) \dot{r}_i(1) &= h_0 y_{-w \alpha_i}(s) \underline{w} \dot{r}_i(1), \quad \text{with } h_0 \in H, s \in K, \\ \text{hence } g &= (\underline{whw}^{-1}) h_0 y_{-w \alpha_i}(s) \underline{w} \dot{r}_i(1) (\dot{r}_i(-1) g_0 \dot{r}_i(1)), \end{aligned}$$

$$\text{i.e. } g \in B_- w r_i B_+,$$

whereas

$$\begin{aligned} \underline{3.6} \quad \text{If } w \alpha_i \in \Delta_+, t \neq 0, \text{ then } \underline{wx}_{\alpha_i}(t) \dot{r}_i(1) &= \underline{wy}_{\alpha_i}(t^{-1}) x_{\alpha_i}(t) h_0, \quad h_0 \in H \\ &= y_{w \alpha_i}(s) \underline{wx}_{\alpha_i}(t) h_0, \quad s \in K, \end{aligned}$$

$$\text{hence } g = (\underline{whw}^{-1}) y_{w \alpha_i}(s) \underline{wx}_{\alpha_i}(t) h_0 (\dot{r}_i(-1) g_0 \dot{r}_i(1)),$$

$$\text{i.e. } g \in B_- w B_+;$$

One concludes that  $B_- w B_+ r_i B_+ \subset B_- w B_+ \cup B_- w r_i B_+$ , and, by induction on  $n = \text{length}(w')$ , if  $A = \bigcup_{w \in W} B_- w B_+$ , and  $g \in B_+ w' B_+$ , then  $Ag \subset A$ . As  $G = \bigcup_{w \in W} B_+ w B_+$  we see that the subset  $A$  of  $G$  is invariant under right-translation by

G, hence  $A=G$  as stated above.

Let  $\Phi(w) = \{\alpha \in \Delta_+^{re} \mid w^{-1}\alpha < 0\}$ . One can then prove by induction on  $k$  ([K3]) that  $\Phi(w) = \{\alpha_{j_1}, r_{j_1} \alpha_{j_2}, \dots, r_{j_1} \dots r_{j_{k-1}} \alpha_{j_k}\}$ . Let  $U_w = U_+ \cap w U_-^{-1}$ .

### 3.7 Proposition :

Given  $g \in U_w$ , then for every  $\beta \in \Phi(w)$ , there is a unique  $t_\beta \in K$  such that

$$g = \prod_{\beta \in \Phi(w)} x_\beta(t_\beta),$$

the product being taken in the ordering of  $\Phi(w)$  as above.

proof:

This is clear if  $w = r_{j_1}$ ,  $1 \leq j_1 \leq N$ , by 2.1c). Proceeding by induction on  $\text{length}(w)$ , we assume the result known for  $w' = r_{j_2} \dots r_{j_k}$

and compute, for  $w = r_{j_1} w'$ ,

$$\begin{aligned} U_w &= U_+ \cap r_{j_1} w' U_{-w'}^{-1} r_{j_1}^{-1} = r_{j_1}^{-1} (r_{j_1}^{-1} U_+ r_{j_1} \cap w' U_{-w'}^{-1}) r_{j_1}^{-1} \\ &= r_{j_1}^{-1} (y_\alpha \times U_{j_1}^\alpha \cap w' U_{-w'}^{-1}) r_{j_1}^{-1} \end{aligned}$$

Now  $w'^{-1} \alpha_{j_1} = -w'^{-1} r_{j_1}^{-1} \alpha_{j_1} = -w^{-1} \alpha_{j_1} > 0$  because  $\alpha_{j_1} \in \Phi(w)$ ,

hence 1)  $U_{j_1}^\alpha \cap w' U_{-w'}^{-1} = U_+ \cap w' U_{-w'}^{-1} = U_{w'}$ ,

and 2)  $y_\alpha \subset w' U_{-w'}^{-1}$ .

So for all  $g \in U_w$ ,  $g = r_{j_1}^{-1} (1) (y_\alpha(v) \cdot \prod_{\lambda \in \Phi(w')} x_\lambda(v_\lambda)) r_{j_1}^{-1}$

for some uniquely determined  $v$ 's in  $K$ ,

$$= x_\alpha(s) \cdot \prod_{\lambda \in \Phi(w')} x_{r_{j_1} \lambda}(s_\lambda), \text{ with } s_* \in K,$$

$$= \prod_{\beta \in \Phi(w)} x_\beta(t_\beta).$$

In particular,  $U_w$  is 'isomorphic' to  $K^{\text{length}(w)}$ , the  $k$ -fold product of  $K$ . In fact,

### 3.8 Proposition :

$U_w$  is, in a natural way, a unipotent algebraic group

proof:

Indeed, let  $n_w$  be the subspace of  $n_+$  spanned by  $\{e_\alpha, \alpha \in \Phi(w)\}$ . If  $\alpha, \beta \in \Phi(w)$ , and  $i, j \in \mathbb{N}$ , then  $w^{-1}(i\alpha + j\beta) = iw^{-1}(\alpha) + jw^{-1}(\beta)$  is negative, hence if  $i\alpha + j\beta$  is a root, it must lie in  $\Phi(w)$  (a fortiori, it must then be real). This implies that  $n_w$  is a Lie algebra, and moreover that if  $n_w^{(m)}$  is the  $m$ -th component of the central series of  $n_w$ , then (using the principal gradation as in 1.11)

$$n_w^{(m)} \subset \sum_{j \geq m} U_j.$$

So if  $M = 1 + \max \{\text{length}(\lambda), \lambda \in \Phi(w)\}$ , then on one hand  $n_w^{(M)}$  is contained in  $n_w$  and  $n_w \cap \sum_{j \geq M} U_j = 0$ , while in fact  $n_w^{(M)}$  is contained in  $\sum_{j \geq M} U_j$ . Hence  $n_w^{(M)} = 0$ , and  $n_w$  is a nilpotent Lie algebra.

One also concludes from the above that  $N\alpha + N\beta \cap \Delta_+ = N\alpha + N\beta \cap \Delta_+^{re}$  is finite and contained in  $\Phi(w)$  for any  $\alpha, \beta \in \Phi(w)$ , say  $N\alpha + N\beta \cap \Delta_+ = \{i_1\alpha + j_1\beta, \dots, i_n\alpha + j_n\beta\}$ . Since we also know that each  $e_\alpha, \alpha \in \Phi(w)$ , spans over  $\mathbb{Z}$  the root space  $g_\alpha \cap U_{\mathbb{Z}}$ , one can show as in 2.1b) that there exist integers  $a_{i_m, j_m}$  such that

$$(x_\alpha(r), x_\beta(s)) = \prod_{m=1}^n x_{i_m\alpha + j_m\beta}(a_{i_m, j_m} r^{i_m} s^{j_m})$$

for all  $r, s \in K$ .

Let  $\pi: K^k \rightarrow U_w$  be the bijection

$$\pi(t_1, \dots, t_k) = x_{j_1\alpha}(t_1) \dots x_{j_1\alpha + \dots + j_{k-1}\alpha}(t_k)$$

as in 3.7; the above then shows that the map

$$((t_1, \dots, t_k), (s_1, \dots, s_k)) \mapsto \pi^{-1}(\pi(t_1, \dots, t_k)^{-1} \pi(s_1, \dots, s_k))$$

is a morphism of affine  $k$ -space, hence  $\pi$  induces a structure of algebraic group on  $U_w$ . The fact that  $U_w$  is unipotent follows from the nilpotence of  $n_w$  as above.

Letting  $U^w$  be the subgroup of  $U_+$  generated by  $\{ax_\beta a^{-1}, a \in U_w, \text{ and } \beta \in \Delta_+^{re} - \Phi(w)\}$ , we see that  $U_w$  normalizes  $U^w$ , and, by 3.7, that  $U_w U^w$

contains all the generators of  $U_+$ , so  $U_+ = U_w \cdot U^W$ . One can then show by induction on length(w) as in 2.6 and 3.7 that  $w^{-1}U^W w \subset U_+$ , from which one deduces that in fact  $U^W = U_+ \cap wU_+w^{-1}$ .

Before going on to more geometric considerations, let's now complete the proof of 3.1 by establishing the disjointness of the various cosets on question:

3.9 Fix  $\Lambda$ ,  $L(\Lambda) = L$ ,  $v^+ \in L_\Lambda$  as in 1.10, with  $\Lambda$  chosen such that if  $\sigma \in W$  satisfies  $\sigma\Lambda = \Lambda$ , then  $\sigma = 1$ . If  $q \in \mathbb{N}$ , then 1.11 implies that

$$\sum_{\lambda} L_\lambda = (a \cdot v^+) \otimes \mathbb{Q},$$

height  $(\Lambda - \lambda) \leq q$

where  $a$  is the  $\mathbb{Z}$ -submodule of  $U_-$  spanned by all  $x$  with  $\text{degree}(x) \leq q$  (the gradation being again the principal gradation). Given a field  $K$ , if  $v_w = (wv^+) \otimes 1$ , then  $v_w = \dot{r}_{j_1}(1) \dots \dot{r}_{j_k}(1) \cdot (v^+ \otimes 1)$  and is a non-zero element of  $(L_{w\lambda} \cap U_{\mathbb{Z}} \cdot v^+) \otimes K$ . We can therefore assert that for all  $g \in B_+ w B_+$ ,  $g \cdot v^+ = tv_w + \sum v_\lambda \otimes t_\lambda$ , for some  $t \in K^\times$ ,  $t_\lambda \in K$  depending on  $g$ , the sum being over all  $\lambda$  with  $\text{height}(\Lambda - \lambda) < \text{height}(w\Lambda)$ , with  $v_\lambda \in U_{\mathbb{Z}} \cdot v^+ \cap L_\lambda$ .

If  $w' \in W$  has length less than  $w$ , say  $w' = r_{i_1} \dots r_{i_n}$  with  $n \leq k$ , then  $g' = \dot{r}_{i_1}(1) \dots \dot{r}_{i_n}(1)$  is in  $B_+ w' B_+$ , and  $g' \cdot v^+ \otimes 1 = v_{w'}^+$ , which cannot be put in the form  $tv_w + \sum v_\lambda \otimes t_\lambda$  with  $t \neq 0$ . This shows that  $B_+ w' B_+ \not\subset B_+ w B_+$ . As both sets are  $B_+$ -double cosets, they are either equal or disjoint; hence the latter is true.

One proves similarly that  $B_- w B_+ = B_- w' B_+$  if and only if  $w = w'$ , and the decompositions 3.1 are now completely proved. In particular,

3.10 
$$G/B_+ = \bigcup_{w \in W} C(w) = \bigcup_{w \in W} C'(w)$$

where  $C(w)$ ,  $C'(w)$  are the images in  $G/B_+$  of  $B_+ w B_+$ ,  $B_- w B_+$  respectively.

We also know that

3.11 Proposition : ([PK2])

the group  $U_w$  acts simply transitively (by left translation) on  $C(w)$

proof:

Indeed, if  $bwB_+ \in C(w)$ , then  $b = u_1 u_2 h$ , with  $u_1 \in U_w$ ,  $u_2 \in U^W$ , so that  $bwB_+ = u_1 w \underbrace{(w^{-1} u_2 w)(w^{-1} h w)}_{\in U_+} B_+ = u_1 w B_+$ ,



while if  $u_1 w B_+ = w B_+$ , then  $w^{-1} u_1 w \in B_+ \cap U_- = 1$ , so  $u_1 = 1$ .

In particular, each  $C(w)$  is 'isomorphic' to  $U_w$ .

We now proceed to refine the decompositions 3.1 by decomposing  $C(w)$  itself as follows ([Dh]):

Given  $u \in U_w$ , and  $0 \leq i \leq k = \text{length}(w)$ , let  $\sigma_i$  be the unique element of  $W$  such that  $u \dot{r}_{j_1}(1) \dots \dot{r}_{j_i}(1) \in B_{-\sigma_i} B_+$ , and write  $\eta(u) = (\sigma_0, \sigma_1, \dots, \sigma_k) \in W^{k+1}$ .

Note that  $\sigma_0$  is always 1, since  $u = 1.1.u \in B_- B_+$ . Let us now prove

3.12 Proposition :

the sequence  $\eta(u)$  satisfies  $\sigma_i \in \{\sigma_{i-1}, \sigma_{i-1} r_{j_i}\}$ ,  
for all  $i$ .

proof:

Fix  $i \geq 1$ , and write  $w_i = r_{j_i} \dots r_{j_i}$ ,  $w_{i-1} = \dot{r}_{j_i}(1) \dots \dot{r}_{j_i}(1)$ . We then

know that there are elements  $b_i \in B_-$ ,  $u_{i+1} \in U_{w_{i+1}}$ ,  $v_{i+1} \in U_{w_{i+1}}^{-1}$

such that

$$\underline{3.13} \quad uw = b_i \sigma_i u_{i+1} w_{i+1} v_{i+1}$$

This is so because  $uw w_i^{-1} = b_i \sigma_i b_+$  where  $b_+ = u_{i+1} u'_{i+1}$  for some

$u_{i+1} \in U_{w_{i+1}}$ ,  $u'_{i+1} \in U_{w_{i+1}}$ , and we obtain 3.11 by writing

$$v_{i+1} w_{i+1}^{-1} u'_{i+1} w_{i+1} \in w_{i+1}^{-1} U_{w_{i+1}}^{w_{i+1}} = U_{w_{i+1}}^{-1}.$$

Applying 3.11 to the index  $i-1$ , we see that

$$\begin{aligned} b_i \sigma_i u_{i+1} w_{i+1} v_{i+1} &= b_{i-1} \sigma_{i-1} u_i w_i v_i \\ &= b_{i-1} \sigma_{i-1} u_i \dot{r}_{j_i}(1) w_{i+1} v_i \end{aligned}$$

$$\text{hence } b_i \sigma_i u_{i+1} w_{i+1} (v_{i+1} v_i^{-1}) w_{i+1}^{-1} = b_{i-1} \sigma_{i-1} u_i \dot{r}_{j_i}(1);$$

The left-hand-side is in  $B_{-\sigma_i} B_+$ , while the right-hand-side is in  $B_{-\sigma_{i-1} + j_i} B_+$ . By 3.5 & 3.6, if  $\sigma_{i-1} \alpha_{j_i} < 0$ , then

$$\underline{3.14} \quad B_{-\sigma_{i-1} + j_i} B_+ \subset B_{-\sigma_{i-1}} r_{j_i} B_+, \text{ while if } \sigma_{i-1} \alpha_{j_i} > 0, \text{ then}$$

$$B_{-\sigma_{i-1} + j_i} B_+ \subset B_{-\sigma_{i-1}} B_+ \cup B_{-\sigma_{i-1}} r_{j_i} B_+,$$

so by disjointness in 3.1,  $\sigma_i \in \{\sigma_{i-1}, \sigma_{i-1} r_{j_i}\}$ .

Let us now define (as in [Dh2]) the subset  $D \subset W^{k+1}$  by requiring

$D = \{ \sigma = (\sigma_0, \dots, \sigma_k) \mid \sigma_0 = 1, \sigma_i \in \{\sigma_{i-1}, \sigma_{i-1} r_{j_i}\} \text{ and } \sigma_i \leq \sigma_{i-1} r_{j_i} \text{ for all } i \}$

where  $\leq$  is the Bruhat order in the Coxeter group  $W$ . We will need the following facts about  $\leq$  (see e.g. [D]):

- 1) If  $y \leq w$ , then  $y = r_{i_1} \dots r_{i_n}$ , for some  $i_1 < \dots < i_n$ ,  $i_m \in \{j_1, \dots, j_k\}$
- 2) If  $w \alpha_i > 0$ , then  $w \leq w r_i$ .

To see that for all  $u \in U_w$ ,  $\eta(u) \in D$ , we still need to check that  $\sigma_j \leq \sigma_{j-1} r_{j_i}$ . If  $\sigma_j = \sigma_{j-1} r_{j_i}$ , this is obvious, while if  $\sigma_j = \sigma_{j-1}$ , 3.14

implies that  $\sigma_{j-1} \alpha_{j_i} > 0$ , hence  $\sigma_{j-1} \leq \sigma_{j-1} r_{j_i}$  by 2) above. We thus obtain a map  $\eta: U_w \rightarrow D$ .

Now define, for each  $\sigma \in D$ , a subset  $D_\sigma$  of  $C(w)$  by setting

$$D_\sigma = \{ u w B_+ \in C(w), \text{ where } u \in U_w \mid \eta(u) = \sigma \}:$$

By 3.11,  $D_\sigma$  is well-defined, and we have  $C(w) = \bigcup_{\sigma \in D} D_\sigma$ . Also, if  $p \in C(w)$ ,

say  $p = u w B_+$ , then  $p = b_{-k}^{-\sigma} u_{k+1} w_{k+1} v_{k+1} B_+ = b_{-k}^{-\sigma} u_{k+1} v_{k+1} B_+$  as  $w_{k+1} = 1$ , so  $p \in C'(\sigma_k)$ , where  $(\sigma_0, \dots, \sigma_k) = \eta(u)$ , hence  $D_\sigma \subseteq C'(\sigma_k)$ .

One knows that  $\sigma_k \leq w$ , for all  $(\sigma_0, \dots, \sigma_k) \in D$  ([Dhl]), so that we also have

$$3.15 \quad C(w) \cap C'(y) = \bigcup_{\substack{\sigma \in D \\ \sigma_k = y}} D_\sigma, \text{ for all } y \in W \text{ (in particular, } C(w) \cap C'(y)$$

is empty if  $y \not\leq w$ ).

To study the subsets  $D_\sigma$  in more detail, we put an algebraic structure on  $C(w)$  by requiring the 'isomorphism' 3.11 to be an isomorphism of varieties. Note that if  $\text{char} K = p \neq 0$ , the Frobenius  $F: G(K) \rightarrow G(K)$  factors to  $G/B_+$ , and that the isomorphism just described 'commutes' with  $F$ .

Fix  $\sigma \in D$ . Then for each  $i$ , one knows that either  $\sigma_{i-1} > \sigma_i$ , or  $\sigma_{i-1} < \sigma_i$ , or  $\sigma_{i-1} = \sigma_i$ . Set

$$K_{\sigma,i} = \begin{cases} K & \text{if } \sigma_{i-1} > \sigma_i \\ \{0\} & \text{if } \sigma_{i-1} < \sigma_i \\ K^\times & \text{if } \sigma_{i-1} = \sigma_i \end{cases}$$

and define  $f_i: K_{\sigma,i} \times U_{w_{i+1}} \rightarrow U_{w_i}$  by  $f_i(t, u_{i+1}) = x_{\alpha_{j_i}}(t) \dot{r}_{j_i}(1) \tilde{u}_{i+1} \dot{r}_{j_i}(1)$ ,

where  $\tilde{u}_{i+1} = u_{i+1}$  if  $\sigma_{i-1} \neq \sigma_i$ , while if  $\sigma_{i-1} = \sigma_i$ ,  $\tilde{u}_{i+1}$  is the element of

$U_{w_{i+1}}$  satisfying  $x_{\alpha_j}(t^{-1})u_{i+1} = \tilde{u}_{i+1}v_{i+1}$  with  $v_{i+1} \in U^{w_{i+1}}$  (see [Dh2]).

One can show, as in [Dh2], that  $f_i$  is injective,  $\text{Im} f_i$  is locally closed in  $U_{w_i}$ , and  $f_i$  is an isomorphism onto its image. In fact,

$$\text{Im} f_i = \begin{cases} U_{w_i} & \text{if } \sigma_{i-1} > \sigma_i \\ r_{j_i} U_{w_{i+1}} r_{j_i}^{-1} & \text{if } \sigma_{i-1} < \sigma_i \\ U_{w_i}^{-1} r_{j_i} U_{w_i} r_{j_i}^{-1} & \text{if } \sigma_{i-1} = \sigma_i \end{cases}$$

Defining the set  $V_1(\sigma)$  inductively by letting  $V_{k+1}(\sigma) = 1 \in U_{w_k}$ , and writing  $V_i(\sigma) = f_i(K_{\sigma,i} \times V_{i+1}(\sigma)) \in U_{w_i}$ , we see that  $V_1(\sigma) \in U_{w_1} = U_w$ , and one can show as in [Dh2] that

$$V_1(\sigma) \cdot wB_+ = D_\sigma$$

Letting  $m(\sigma) = \#\{i \mid \sigma_{i-1} > \sigma_i\}$ , and  $n(\sigma) = \#\{i \mid \sigma_{i-1} = \sigma_i\}$ , we can identify the Dheodar components  $D_\sigma$  explicitly, namely

3.16 
$$D_\sigma \approx K^{m(\sigma)} \times (K^\times)^{n(\sigma)}$$

For  $1 \leq i \leq k$ , define subgroups  $B_i, P_i$  of  $G$  by letting  $B_0 = B_+$ ,

$$B_i = w_{k-i+1}^{-1} B_0 w_{k-i+1}$$

and  $P_i =$  subgroup of  $G$  generated by  $B_i$  and  $B_{i-1}$ .

As  $B_0 = B_+$ , one can easily check, using 3.2 & 3.3, that  $P_1 = B_0 \cup B_0 r_{j_k} B_0$  so, in particular,  $\dot{r}_{j_k}(1) \in P_1$ , so we can also write

3.17 
$$P_1 = r_{j_k} B_0 \cup B_1 B_0 = r_{j_k} B_0 \cup y_{\alpha_{j_k}} B_0 = HA^{j_k} \times U^{\alpha_{j_k}}$$

i.e.  $P_1$  is a standard parabolic subgroup of semisimple rank 1 in case  $G$  is finite dimensional.

To obtain similar decompositions for  $P_i, i \geq 2$ , let  $\beta_i = w_{k-i+2}^{-1} \alpha_{j_{k-i+1}}$  so that if  $s_i$  denotes reflexion in the positive real root  $\beta_i$ , then  $s_i = w_{k-i+2}^{-1} r_{j_{k-i+1}} w_{k-i+2} \in W$ . Write  $\underline{s}_i = w_{k-i+2}^{-1} \dot{r}_{j_{k-i+1}}(1) w_{k-i+2} \in G$ . By induction on  $i$ , we have  $B_i = s_i B_{i-1} s_i^{-1}$ .

We now prove, again by induction on  $i$ , that  $y_{\beta_i}, x_{\beta_{i+1}} \subset B_i$ :

This is true for  $i=1$  by 3.17 . Assuming  $x_{\beta_i} \in B_{i-1}$ , we get  $s_i x_{\beta_i} s_i^{-1} \in B_i$ , and  $s_i x_{\beta_i} s_i^{-1} = y_{\beta_i}$ , while the definition of  $B_i$  implies that  $w_{k-i+1}^{-1} x_{\alpha_j} w_{k-i+1}$  is contained in  $B_i$ , and  $w_{k-i+1}^{-1} x_{\alpha_j} w_{k-i+1} = x_{\alpha_j} w_{k-i+1}^{-1} = x_{\beta_{i+1}}$ .

Since  $P_i$  is generated by  $B_{i-1}, B_i$ , we obtain in particular that both  $x_{\beta_i}$  and  $y_{\beta_i}$  are contained in  $P_i$ ; hence  $s_i^{-1} w_{k-i+2}^{-1} r_j (1) w_{k-i+2}$ , which is equal to  $x_{\beta_i}(a) y_{\beta_i}(b) x_{\beta_i}(a)$  for some  $a, b \in K$ , is an element of  $P_i$ . We can now prove that

3.18 Proposition :

$$B_{i+1} \subset B_i \cup B_i s_{i+1} B_i$$

proof:

Indeed, 3.18 can be rewritten

$$s_{i+1} w_{k-i+1}^{-1} B_o w_{k-i+1} s_{i+1}^{-1} \subset w_{k-i+1}^{-1} (B_o \cup B_o w_{k-i+1} s_{i+1} w_{k-i+1}^{-1} B_o) w_{k-i+1}$$

which is equivalent to

$$w_{k-i+1} s_{i+1} w_{k-i+1}^{-1} B_o w_{k-i+1} s_{i+1}^{-1} w_{k-i+1}^{-1} \subset B_o \cup B_o w_{k-i+1} s_{i+1} w_{k-i+1}^{-1} B_o,$$

and, since  $w_{k-i+1} s_{i+1} w_{k-i+1}^{-1} = w_{k-i+1} s_{i+1}^{-1} w_{k-i+1}^{-1} = r_j$ , this

inclusion is true by 3.2, 3.3 as above.

Note that one consequence of 3.18 is that  $B_i \cup B_i s_{i+1} B_i$  is equal to  $w_{k-i+1}^{-1} (B_+ \cup B_+ r_j B_+) w_{k-i+1}$ , and is itself a group, hence

3.19 
$$P_{i+1} = B_i \cup B_i s_{i+1} B_i$$

and that  $B_+ \cup B_+ r_j B_+ = r_j B_+ \cup r_j \alpha_j B_+$ , so that, conjugating

each term by  $w_{k-i+1}^{-1}$ , we also have

$$P_{i+1} = s_{i+1} B_i \cup y_{\beta_i} B_i.$$

We will use the following notation : if  $A$  is a group acting on the set  $B$  on the right and on the set  $C$  on the left, let  $B \times^A C = B \times C / \sim$ , where  $\sim$  is the equivalence  $(b, c) \sim (ba, a^{-1}c)$ . If  $B, C$  are themselves groups, and  $A$  is a subgroup of both with the action being that induced by multiplication, then  $B$  (respectively  $C$ ) acts on  $B \times^A C$  on the left (resp. right) by multiplication. Finally, if  $B$  and  $C$  are in addition themselves subgroups of  $D$ , we get a map  $B \times^A C \rightarrow D$  satisfying  $(b, c) \mapsto b.c$ .

Using this construction repeatedly, we let  $Z_w$  be the set (see [D1])

$$Z_w = P_k \times^{B_{k-1}} P_{k-1} \times^{B_{k-2}} P_{k-2} \cdots \times^{B_1} P_1 / B_0$$

and  $f_w: Z_w \rightarrow G/B_+$  the map  $f_w(p_k, \dots, p_1) = \underline{w} \cdot p_k \cdots p_1 B_+$ .

The remainder of this section will be devoted to showing that  $Z_w$  admits a natural geometric structure in which it is a complete variety of pure dimension  $k = \text{length}(w)$ , that  $f_w(Z_w) = \bigcup_{y \leq w} C(y)$ , and using this, to put a geometric structure on  $\bigcup_{y \leq w} C(y)$  compatible with the one we already have on  $C(y)$ .

So we assume  $K$  is algebraically closed :

If we fix  $i$  and let  $P$  be the 'parabolic' subgroup of  $G$ ,  $P = B_+ \cup B_+ r_{j_{k-i+2}} B_+$ ,

$$\begin{aligned} \text{we know, as in 3.17, that } P &= r_{j_{k-i+2}} B_+ \cup (r_{j_{k-i+2}} B_+ r_{j_{k-i+2}}) B_+ \\ &= r_{j_{k-i+2}} B_+ \cup y_{\alpha_{j_{k-i+2}}} B_+ = A^{j_{k-i+2}} \times U^{j_{k-i+2}} \end{aligned}$$

and therefore that  $P/B_+ = A^{j_{k-i+2}} / H_{j_{k-i+2}} \times \alpha_{j_{k-i+2}} \simeq \mathbb{P}^1$ , in such a way that

$$\text{if } \pi_1: K \rightarrow P/B_+, \pi_1(s) = y_{\alpha_{j_{k-i+2}}}(s) B_+, \text{ and } \pi_2: K \rightarrow P/B_+, \pi_2(t) = x_{\alpha_{j_{k-i+2}}}(t) r_{j_{k-i+2}} B_+$$

then each  $\pi_i$  is an isomorphism onto its image, and  $\{\pi_1(K), \pi_2(K)\}$  forms a cover by open affine lines of the projective line  $P/B_+$  (note that 3.20  $\pi_1(s) = \pi_2(t)$  if and only if  $st=1$ ).

Conjugating by  $\underline{w}_{k-i+2}^{-1}$ , we identify  $P_{i+1}/B_i$  with  $\mathbb{P}^1$  using 3.19, so that the maps

$$\pi_1^i, \pi_2^i: K \rightarrow P_{i+1}/B_i,$$

$$\text{defined by } \pi_1^i(t) = y_{\beta_{i+1}}(t) B_i, \pi_2^i(t) = x_{\beta_{i+1}}(t) s_{i+1} B_i$$

give the identification. We can now describe the geometric structure on  $Z_w$  by exhibiting it as a successive fibration by projective lines:

One starts with  $Z_w^1 = P_k / B_{k-1}$ , covered by the open affines  $\text{Im} \pi_1^{k-1}$ ,  $\text{Im} \pi_2^{k-1}$ . Define  $g_1: Z_w^2 = P_k \times^{B_{k-1}} P_{k-1} / B_{k-2} \rightarrow Z_w^1$  by  $g_1(\overline{(p_k, p_{k-1})}^{B_{k-2}}) = p_k B_{k-1}$ , where  $\overline{(p_k, p_{k-1})}^{B_{k-2}}$  denotes the image in  $P_k \times^{B_{k-1}} P_{k-1} / B_{k-2}$  of the element  $(p_k, p_{k-1})^{B_{k-2}}$  of  $P_k \times P_{k-1} / B_{k-2}$ . Fixing some  $z \in Z_w^1$ , we see that if

$z \in \text{Im} \pi_1^{k-1}$ , then there exists a unique  $t \in K$  such that  $z = y_{\beta_k}(t) B_{k-1}$ . Define  $v_1: g_1^{-1}(z) \rightarrow P_{k-1}/B_{k-2} \simeq \mathbb{P}^1$  by  $v_1(\overline{(p_k, p_{k-1} B_{k-2})}) = y_{\beta_k}(-t) p_k p_{k-1} B_{k-2}$ , which is in  $P_{k-1}/B_{k-2}$  because  $y_{\beta_k}(-t) p_k \in B_{k-1}$ ; similarly, if  $z \in \text{Im} \pi_2^{k-1}$ , then there exists a unique  $t \in K$  such that  $z = x_{\beta_k}(t) s_k B_{k-1}$ , and we define  $v_2: g_1^{-1}(z) \rightarrow P_{k-1}/B_{k-2}$  by  $v_2(\overline{(p_k, p_{k-1} B_{k-2})}) = s_k^{-1} x_{\beta_k}(-t) p_k p_{k-1} B_{k-2}$ . It is easy to see that both  $v_1$  and  $v_2$  are well-defined and surjective. We check that  $v_1$  is 1-1: If  $v_1(\overline{(p_k, p_{k-1} B_{k-2})}) = v_1(\overline{(p'_k, p'_{k-1} B_{k-2})})$ , then  $p_{k-1} B_{k-2} = (p_k^{-1} p'_k) p'_{k-1} B_{k-2}$ ,  $p_k = p'_k (p_k^{-1} p'_k)^{-1}$ , and  $p_k^{-1} p'_k \in B_{k-1}$ , so  $\overline{(p_k, p_{k-1} B_{k-2})} = \overline{(p'_k, p'_{k-1} B_{k-2})}$ . And one shows similarly that  $v_2$  is 1-1. Hence the maps

$$(g_1 \times v_i): g_1^{-1}(\text{Im} \pi_i^{k-1}) \rightarrow \text{Im} \pi_i^{k-1} \times \mathbb{P}^1, \text{ for } i \in \{1, 2\},$$

are bijective, and we put a geometric structure on  $g_1^{-1}(\text{Im} \pi_i^{k-1})$  by requiring these maps to be isomorphisms, so that

$$g_1^{-1}(\text{Im} \pi_i^{k-1}) = (\text{Im} \pi_i^{k-1} \times \text{Im} \pi_1^{k-2}) \cup (\text{Im} \pi_i^{k-1} \times \text{Im} \pi_2^{k-2})$$

is a covering by open affine sets.

As  $Z_w^2 = \bigcup_{i, j \in \{1, 2\}} (g_1 \times v_i)^{-1}(\text{Im} \pi_i^{k-1} \times \text{Im} \pi_j^{k-2})$ , we will obtain a structure of prevariety on  $Z_w^2$  provided we check that the structures on the sets  $V_{j, j'} = (g_1 \times v_1)^{-1}(\text{Im} \pi_1^{k-1} \times \text{Im} \pi_j^{k-2}) \cap (g_1 \times v_2)^{-1}(\text{Im} \pi_2^{k-1} \times \text{Im} \pi_{j'}^{k-2})$ , for  $j, j' \in \{1, 2\}$ , inherited respectively from those on  $(g_1 \times v_1)^{-1}(\text{Im} \pi_1^{k-1} \times \mathbb{P}^1)$  and on  $(g_1 \times v_2)^{-1}(\text{Im} \pi_2^{k-1} \times \mathbb{P}^1)$  are compatible, which we do by showing that the map  $\tau_{j, j'}$ , making the diagram

$$\begin{array}{ccc} V_{j, j'} & \xrightarrow{(g_1 \times v_1)} & (\text{Im} \pi_1^{k-1} \cap \text{Im} \pi_2^{k-1}) \times (\text{Im} \pi_j^{k-2} \cap \text{Im} \pi_{j'}^{k-2}) \\ \downarrow \text{Id} & & \tau_{j, j'} \downarrow \\ V_{j, j'} & \xrightarrow{(g_1 \times v_2)} & (\text{Im} \pi_1^{k-1} \cap \text{Im} \pi_2^{k-1}) \times (\text{Im} \pi_j^{k-2} \cap \text{Im} \pi_{j'}^{k-2}) \end{array}$$

commute is a morphism, and this follows from the fact that

$s_k^{-1} x_{\beta_k}(-1/t) y_{\beta_k}(t) = w_2^{-1} h_{j_1}(-\varepsilon t) x_{\beta_k}(1/t)$ , that left multiplication by  $x_{\beta_k}$  fixes  $P_{k-1}/B_{k-2}$  pointwise, and that  $w_2^{-1} h_{j_1} w_2$  acts by morphism on the projective line  $P_{k-1}/B_{k-2}$  (see 2.1, 2.6).

(Intuitively, this says that the 'fibration'  $Z_w^2 \rightarrow Z_w^1$  is locally trivial over the sets  $\text{Im} \pi_i^{k-1}$ ).

We finally note that, as  $s_{k-1}^{-1} B_{k-1} s_{k-1} \in B_{k-2}$ , we also have a well-defined map  $h_1: Z_w^1 \rightarrow Z_w^2$ , given by  $h_1(p_k B_{k-1}) = (p_k, s_{k-1} B_{k-1})$ , and satisfying  $g_1 \circ h_1 = \text{Id}_{Z_w^1}$ .

Repeating the construction above inductively, we obtain fibrations  $g_{i-1}: Z_w^i = P_k \times^{B_{k-1}} P_{k-1} \times \dots \times^{B_{k-i+1}} P_{k-i+1} / B_{k-i} \rightarrow Z_w^{i-1}$ , with fibres equal to  $P_{k-i+1} / B_{k-i} \simeq \mathbb{P}^1$ , sections  $h_{i-1}: Z_w^{i-1} \rightarrow Z_w^i$  given by  $h_{i-1}(\bar{z}) = (z, s_{k-i+1} B_{k-i})$  where  $\bar{z}$  denotes the image in  $Z_w^{i-1}$  of an element  $z$  of  $P_k \times^{B_{k-1}} P_{k-1} \times \dots \times^{B_{k-i-1}} P_{k-i-1}$ , and such that

$$3.21 \quad Z_w^i = \bigcup_{n_j \in \{1,2\}} U_{(n_k, \dots, n_{k-i+1})}^i$$

is a covering by open affines, with  $U_{(n_k, \dots, n_{k-i+1})}^i = \{z \in Z_w^i \text{ such that}$

for some  $t_k, \dots, t_{k-i+1} \in K, z = \overline{(p_k(t_k), \dots, p_{k-i+1}(t_{k-i+1}) B_{k-i})}$  where

$p_j(t_j) = y_{\beta_j}(t_j)$  if  $n_j = 1$ , and  $p_j(t_j) = x_{\beta_j}(t_j) s_j$  if  $n_j = 2$ . } which is iso-

morphic to  $\text{Im} \pi_{n_k}^{k-1} \times \text{Im} \pi_{n_{k-1}}^{k-2} \times \dots \times \text{Im} \pi_{n_{k-i+1}}^{k-i}$ , for  $1 \leq i \leq k$ .

One can now check by induction on  $i$  that the images under the restriction maps of  $\mathcal{O}(U_{(n_k, \dots, n_{k-i+1})}^i)$  and  $\mathcal{O}(U_{(n'_k, \dots, n'_{k-i+1})}^i)$  generate  $\mathcal{O}(U_{(n_k, \dots, n_{k-i+1})}^i \cap U_{(n'_k, \dots, n'_{k-i+1})}^i)$ , so that  $Z_w^i$  is a variety, that each  $h_i$  is a morphism, and hence that  $Z_w^i$  is indeed a fibration by  $\mathbb{P}^1$  over  $Z_w^{i-1}$ ; in particular, each  $Z_w^i$  is projective.

If  $z \in U_{(1, \dots, 1)}^k \subset Z_w^k = Z_w$ , then  $f_w(z) = \overline{wy_{\beta_k}(t_k) y_{\beta_{k-1}}(t_{k-1}) \dots y_{\beta_1}(t_1) B_+}$ ,

for some  $t_j \in K$ ,

$$= (\overline{wy_{\beta_k}(t_k) w^{-1}}) (\overline{wy_{\beta_{k-1}}(t_{k-1}) w^{-1}}) \dots (\overline{wy_{\beta_1}(t_1) w^{-1}}) w B_+.$$

Now  $w \beta_i = -r_{j_1} \dots r_{j_{k-i}} \alpha_{j_{k-i+1}}$ , so  $wy_{\beta_i} w^{-1} = x_{r_{j_1} \dots r_{j_{k-i}} \alpha_{j_{k-i+1}}}$ , hence by 3.7

we have  $f_w(z) \in U_w w B_+ = C(w)$ . Also, using 2.1c), 3.7, and 3.21, we see

that  $f_w|_{U_{(1, \dots, 1)}^k}$  gives an isomorphism of varieties

$$3.22 \quad U_{(1, \dots, 1)}^k \simeq C(w).$$

To determine the set  $X_w = \text{Im } f_w \subset G/B_+$ , we first show that

3.23 Proposition :

There exists a subset  $E_w$  of  $W$  such that

- 1) If  $y \leq_w$ , then  $y \in E_w$ .
- 2)  $X_w = \bigcup_{y \in E_w} C(y)$ .

proof:

Suppose  $gB_+ = f_w(p_k, \dots, p_1 B_0)$  for some  $g \in G$ ,  $p_i \in P_i$ , and let  $b \in B_+$ ; we then have

$$\begin{aligned} f_w(w^{-1} b w p_k, p_{k-1}, \dots, p_1 B_0) &= w w^{-1} b w p_k \dots p_1 B_+ \\ &= b \cdot f_w(p_k, \dots, p_1 B_0) \\ &= b g B_+ ; \end{aligned}$$

This implies that  $X_w$  is a union of  $B_+$  orbits in  $G/B_+$ , i.e.

$X_w = \bigcup_{y \in E_w} C(y)$ , for some subset  $E_w$  of  $W$ .

Given a sequence  $k > i_1 > i_2 > \dots > i_m > 1$ , an easy computation

shows that  $w s_{i_1} s_{i_2} \dots s_{i_m} = r_{j_1} \dots r_{j_{k-i_1+1}} \dots r_{j_{k-i_2+1}} \dots r_{j_{k-i_m+1}} r_{j_k}$ ,

i.e. if  $y \in W$ , then  $y \leq_w$  if and only if  $y = w s_{i_1} \dots s_{i_m}$  as above.

Fix  $y = w s_{i_1} \dots s_{i_m}$ , and define the point  $\bar{y}$  in  ${}^1 Z_w$  by  $\bar{y} = (p_k, \dots, p_1)$

where  $p_j = s_{i_n}$  if  $j = i_n$ , and  $p_j = 1$  otherwise. We then have

$$f_w(\bar{y}) = w s_{i_1} \dots s_{i_m} B_+ = y B_+, \text{ hence } C(y) \subset X_w.$$

Now fix  $\Lambda, L(\Lambda)$  as in 3.9, write  $L = U_{\mathbb{Z}} \cdot v^+ \mathbb{N} K$ , and for  $q \in \mathbb{N}$

$$L_q = \sum_{\lambda} \text{height}(\Lambda - \lambda) \leq q \quad (U_{\mathbb{Z}} \cdot v^+ \cap L(\Lambda)_{\lambda}) \mathbb{N} K$$

so that if  $d_q = \dim_{\mathbb{K}} L_q$ , then  $d_q < \infty$ . Let  $\mathbb{P}(L) = L - \{0\} / K^{\times}$ , where  $K^{\times}$  acts on  $L$  by scalar multiplication, let  $v \rightarrow [v]$  be the quotient map, and let

$\Psi : G/B_+ \rightarrow \mathbb{P}(L)$  be the map  $gB_+ \rightarrow [g \cdot (v^+ \mathbb{N} 1)]$ . We then see that given  $y \in W$ ,  $\Psi(C(y)) \subset \mathbb{P}(L_q) \simeq \mathbb{P}^{d_q}$  for  $q = \text{height}(\Lambda - y\Lambda)$ ,

$\Psi(C(y)) \not\subset \mathbb{P}(L_q)$  if  $q < \text{height}(\Lambda - y\Lambda)$ ,

and, similarly, for  $q$  large,  $\Psi(X_w) \subset \mathbb{P}(L_q)$ .

3.24 Proposition :

$\Psi$  is injective.



proof:

Given  $y \in W$ , then by 3.4, there exists a finite set  $J \subset W$  such that  $y^{-1}B_+y \subset \bigcup_{y' \in J} B_+y'B_+$ . Then, as in 3.9,

$$g \cdot (v^+ \mathbb{1}) \notin Kv^+ \mathbb{1} \text{ if } g \in \bigcup_{y' \in J} B_+y'B_+ - B_+.$$

So if  $\Psi(b_1yB_+) = \Psi(b_2yB_+)$ , with  $b_i \in B_+$ , then  $y^{-1}b_2^{-1}b_1y$  fixes  $[v^+ \mathbb{1}]$ , which by the above implies that  $y^{-1}b_2^{-1}b_1y \in B_+$ , i.e.  $b_1yB_+ = b_2yB_+$ . Hence  $\Psi|_{C(y)}$  is injective. This, combined with

3.9, shows that  $\Psi$  is itself injective.

It is clear from the definition of  $G$  that  $\Psi \circ f_w \circ \pi_{n_k}^{k-1} \times \dots \times \pi_{n_1}^0$  is a morphism from  $(\pi_{n_k}^{k-1} \times \dots \times \pi_{n_1}^0)^{-1}(U_{(n_k, \dots, n_1)}) \simeq \mathbb{A}^k$  into  $\mathbb{P}(L_q)$ , hence

$\Psi \circ f_w : Z_w \rightarrow \mathbb{P}(L_q)$  is one (for  $q$  large enough).  $Z_w$  being complete, its image must be a closed subvariety of  $\mathbb{P}(L_q)$ . Since  $\Psi|_{X_w}$  is injective,

we can thus put a geometric structure on  $X_w$  by requiring  $\Psi|_{X_w} = \Psi|_{\text{Im}f_w}$

to be an isomorphism onto its image, so that  $X_w$  is in fact a projective variety,  $f_w : Z_w \rightarrow X_w$  a morphism, and, by 3.22,  $C(w) = f_w(U_{(1, \dots, 1)})$  an open subvariety. We can now identify the set  $E_w$  as being  $\{y \in W \mid y \leq w\}$  as follows:

3.25 Theorem :

$$X_w = \bigcup_{y \leq w} C(y)$$

Proof:

The subgroup  $H = G_m^k$  of  $G$  acts by morphisms (by 2.1e)) on  $Z_w$  and  $X_w$ , the actions being given by

$$h \cdot (p_k, \dots, p_1 B_+) = (hp_k, \dots, p_1 B_+)$$

$$h \cdot byB_+ = \underline{whw}^{-1}byB_+,$$

so that we have  $h \cdot f_w(z) = f_w(h \cdot z)$  for all  $z \in Z_w$ ,  $h \in H$ .

With the above action,  $H$  fixes all points  $yB_+ \in X_w$ , i.e.  $y \in E_w$  because  $\underline{whw}^{-1}yB_+ = yy^{-1}\underline{whw}^{-1}yB_+$ , and  $y^{-1}wH(y^{-1}w)^{-1} \subset B_+$ . To

compute the fixed points of  $H$  on  $Z_w$  (see [D1]), assume that

for some  $p_i \in P_i$ ,  $(hp_k, \dots, p_1 B_+) = (p_k, \dots, p_1 B_+)$  for all  $h \in H$ .

Then for each  $h \in H$ , there exists elements  $b_i(h) \in B_i$ ,  $0 \leq i \leq k-1$ , such that

$$1) \quad hp_k = p_k b_{k-1}(h),$$

$$\begin{aligned}
& 2) p_{k-1} = b_{k-1}(h)^{-1} p_{k-1} b_{k-2}(h), \\
& \vdots \\
& k) p_1 = b_1(h)^{-1} p_1 b_0(h).
\end{aligned}$$

The first equality implies that  $p_k^{-1} H p_k \subset B_{k-1}$ , so that, using 3.19,  $p_k = b_{k-1}$  or  $s_{k-1} b_{k-1}$  for some  $b_{k-1} \in B_{k-1}$ . Let  $p'_k = p_k b_{k-1}^{-1}$ ,  $p'_{k-1} = b_{k-1} p_{k-1}$ . Then

$$\overline{(p'_k, p'_{k-1}, p_{k-2}, \dots, p_1 B_+)} = \overline{(p_k, p_{k-1}, \dots, p_1 B_+)},$$

and equations 1) and 2) become

$$1') hp'_k = p'_k \cdot (b_{k-1} b_{k-1}(h) b_{k-1}^{-1}),$$

$$2') p'_{k-1} = (b_{k-1} b_{k-1}(h) b_{k-1}^{-1}) p'_{k-1} b_{k-2}(h).$$

The former implies that  $b_{k-1} b_{k-1}(h) b_{k-1}^{-1} \in H$  (because  $p'_k \in \{1, s_k\}$ ) and in fact takes on all possible values in  $H$  as  $h$  varies, so that 2') now implies that  $p_{k-1}^{-1} H p_{k-1} \subset B_{k-2}$ .

Repeating this process, we see that one actually has

$$\overline{(p_k, \dots, p_1 B_+)} = \overline{(p'_k, \dots, p'_1 B_+)}$$

with each  $p'_i \in \{1, s_i\}$ , so that the fixed points of  $H$  on  $Z_w$  are

exactly the  $\overline{(p'_k, \dots, p'_1 B_+)}$  with  $p'_i \in \{1, s_i\}$ .

Suppose now that  $y B_+ \in X_w$ . Then  $f_w^{-1}(y B_+)$  is a closed, non-empty,  $H$ -stable subset of  $Z_w$ . By the Borel fixed point theorem,  $f_w^{-1}(y B_+)$  must contain a fixed point of  $Z_w$  under  $H$ , i.e. there exists a  $\overline{(p'_k, \dots, p'_1 B_+)}$  as above with  $\underline{w} p'_k \dots p'_1 = y$ , hence  $y \leq w$ .

Example 3: Suppose  $w=r_{j_1} \dots r_{j_k}$  with  $j_m \neq j_n$  if  $m \neq n$ . Then  $U_w$  is abelian:

That is so because if  $\alpha=r_{j_1} \dots r_{j_m} \alpha_{j_{m+1}}$ , and  $\beta=r_{j_1} \dots r_{j_{m+n}} \alpha_{j_{m+n+1}}$ , then for any  $a, b \in \mathbb{N}$ ,  $a\alpha + b\beta = r_{j_1} \dots r_{j_m} (a\alpha_{j_{m+1}} + br_{j_{m+1}} \dots r_{j_{m+n}} \alpha_{j_{m+n+1}})$

$$= r_{j_1} \dots r_{j_{m+1}} (br_{j_{m+2}} \dots r_{j_{m+n}} \alpha_{j_{m+n+1}} - a\alpha_{j_{m+1}}).$$

Now  $w' = r_{j_{m+2}} \dots r_{j_{m+n+1}}$  is in reduced form, so  $r_{j_{m+2}} \dots r_{j_{m+n+1}} \alpha_{j_{m+n+1}}$

is a positive real root, and is in the  $\mathbb{Z}$ -span of  $\{\alpha_{j_{m+2}}, \dots, \alpha_{j_{m+n+1}}\}$ , hence  $r_{j_{m+2}} \dots r_{j_{m+n+1}} (a\alpha + b\beta) = b \sum_{i=m+2}^{m+n+1} c_i \alpha_{j_i} - a\alpha_{j_{m+1}}$ , with

$c_i \in \mathbb{N}$ . If  $a\alpha + b\beta$  is a root, then so is  $r_{j_{m+1}} \dots r_{j_1} (a\alpha + b\beta)$ , but the right hand side is neither in  $\Delta_+$  or in  $\Delta_-$  if  $ab \neq 0$ ; hence for all  $\alpha, \beta \in \Phi(w)$ ,  $\mathbb{N}\alpha + \mathbb{N}\beta \cap \Delta_+$  reduces to  $\{\alpha, \beta\}$ .

Example 4: let  $w = (r_1 r_2)^3 r_1$  (reduced iff  $m_{12} = \infty$  which we assume). Let  $\lambda_1 = \alpha_1$ ,  $\lambda_2 = r_1 \alpha_2$ ,  $\dots$ ,  $\lambda_7 = (r_1 r_2)^3 \alpha_1$ , and let  $x_i = x_{\lambda_i}$ ; then  $U_w$  is the group  $\{x_1(t_1), \dots, x_7(t_7), t_i \in K\}$  with the following commutation relations:

$$\begin{aligned} (x_1(t_1), x_3(t_3)) &= x_2(-a\delta_{b,-1} t_1 t_3) \\ (x_1(t_1), x_7(t_7)) &= x_4(a\delta_{b,-1} \delta_{a,-4} t_1 t_7) \\ (x_2(t_2), x_4(t_4)) &= x_3(-b\delta_{a,-1} t_2 t_4) \\ (x_3(t_3), x_5(t_5)) &= x_4(-a\delta_{b,-1} t_3 t_5) \\ (x_4(t_4), x_6(t_6)) &= x_5(-b\delta_{a,-1} t_4 t_6) \\ (x_5(t_5), x_7(t_7)) &= x_6(-a\delta_{b,-1} t_5 t_7) \end{aligned}$$

where  $a = a_{12}$ ,  $b = a_{21}$ ,  $\delta =$  Kronecker delta.

(in particular, the central series of  $U_w$  has length  $\leq 2$ , and if  $a_{12} \neq -1$  &  $a_{21} \neq -1$ , then  $U_w$  is abelian).

Example 5:  $w = (r_1 r_2)^3$ , which is in reduced form iff  $m_{12} > 6$ .

If  $m_{12} = \infty$ ,  $U_w$  is the obvious subgroup of the unipotent group in example 4.

If  $m_{12} = 6$ , say  $a_{12} = -1$ ,  $a_{21} = -3$ , then  $U_w$  has central series of length 4 ( $\dim U_w = 6$ ,  $\dim U_w^{(1)} = 4$ ,  $\dim U_w^{(2)} = 3$ ,  $\dim U_w^{(3)} = 2$ , &  $U_w^{(4)} = 1$ )

Example 6:  $w=r_1 r_2 r_3 r_1$  (note that  $X_w$  is singular for  $Sl_n$ ); then  $U_w$  is abelian unless

a)  $a_{12}=a_{21}=0$ ,  $a_{31}=-1$ , and  $\text{char}K \nmid a_{13}$  in which case

$$(U_w, U_w) = x_{r_1 r_2 \alpha_3} \subset \text{center}(U_w)$$

or

b)  $a_{13}=a_{31}=0$ ,  $a_{21}=-1$ , and  $\text{char}K \nmid a_{12}$  in which case

$$(U_w, U_w) = x_{r_1 \alpha_2} \subset \text{center}(U_w).$$

Example 7:  $w=r_1 r_2 r_3 r_2 r_1$

Again  $X_w$  is singular for  $Sl_n$ , and the commutation relations in  $U_w$  reduce to

$$(x_{r_1 \alpha_2}(s), x_{r_1 r_2 r_3 \alpha_2}(t)) = x_{r_1 r_2 \alpha_3}(-a_{23} \delta a_{32}, -1^{\text{st}})$$

and

$$(x_{\alpha_1}(s), x_{r_1 r_2 r_3 r_2 \alpha_1}(t)) = \begin{cases} x_{r_1 r_2 \alpha_3}(-a_{13} \delta a_{21}, 0 \delta a_{31}, -1^{\text{st}}) \\ \text{or} \\ x_{r_1 r_2 \alpha_3}(-a_{12} a_{23} \delta a_{31}, 0 \delta a_{32}, -1 \delta a_{21}, -1^{\text{st}}) \end{cases}$$

Example 8: Assume  $m_{12} \neq 2$ , and let  $w=r_1 r_2 r_1$ . The set  $E_w$  of 3.23 consists of  $\tau_1=1$ ,  $\tau_2=r_1$ ,  $\tau_3=r_2$ ,  $\tau_4=r_2 r_1$ ,  $\tau_5=r_1 r_2$ ,  $\tau_6=w$ , while  $D$  has elements

$$\sigma^0=(1,1,1,1) \quad \sigma^1=(1,r_1,r_1,1) \quad \sigma^2=(1,1,1,r_1)$$

$$\begin{array}{l} m(\sigma) = \quad 0 \quad \quad \quad 1 \quad \quad \quad 0 \\ n(\sigma) = \quad 3 \quad \quad \quad 1 \quad \quad \quad 2 \\ \sigma_3 = \quad \tau_1 \quad \quad \quad \tau_1 \quad \quad \quad \tau_2 \end{array}$$

$$\sigma^3=(1,1,r_2,r_2) \quad \sigma^4=(1,1,r_2,r_2 r_1) \quad \sigma^5=(1,r_1,r_1 r_2,r_1 r_2)$$

$$\begin{array}{l} m(\sigma) = \quad 0 \quad \quad \quad 0 \quad \quad \quad 0 \\ n(\sigma) = \quad 2 \quad \quad \quad 1 \quad \quad \quad 1 \\ \sigma_3 = \quad \tau_3 \quad \quad \quad \tau_4 \quad \quad \quad \tau_5 \end{array}$$

$$\text{and } \sigma^6=(1,r_1,r_1 r_2,w)$$

We have  $\beta_1=\alpha_1$ ,  $\beta_2=r_1 \alpha_2$ ,  $\beta_3=r_1 r_2 \alpha_1 > 0$  as  $m_{12} \neq 2$ ,

$$w s_3 s_2 s_1 = \tau_1,$$

$$w s_2 s_1 = \tau_2, \quad w s_3 s_1 = \tau_3 \quad (, w s_3 s_2 = \tau_2),$$

$$w s_3 = \tau_4, \quad w s_1 = \tau_5 \quad (, w s_2 = \tau_1),$$

$$w = \tau_6,$$

and  $U_w = \{u = x_{\beta_1}(a) x_{\beta_2}(b) x_{\beta_3}(c), a, b, c \in K\}$  with the single non-

trivial commutation relation

$$(x_{\beta_1}(a), x_{\beta_3}(c)) = x_{\beta_2}(-\delta a_{21}, -1^{a_{12}} \cdot ac).$$

$$\begin{aligned} \text{We have } \eta(u) &= \sigma^0 \text{ if } a \neq 0, b \neq 0, ac \neq -1 \\ &= \sigma^1 \text{ if } a = 0, b \neq 0 \\ &= \sigma^2 \text{ if } a \neq 0, b \neq 0, ac = -1 \\ &= \sigma^3 \text{ if } a \neq 0, b = 0, c \neq 0 \\ &= \sigma^4 \text{ if } a \neq 0, b = 0, c = 0 \\ &= \sigma^5 \text{ if } a = 0, b = 0, c \neq 0 \\ &= \sigma^6 \text{ if } a = b = c = 0, \end{aligned}$$

which verifies 3.16 ;

Assume now that  $W$  is the symmetric group on three letters, and  $A$  is chosen as in §1, so that  $G = \text{SL}_3$ . One then knows that  $G/B_+$  can be identified with the variety of flags in 3-space (in fact the map  $\Psi$  in §3 gives the isomorphism for appropriately chosen  $\Lambda$ ). Explicitely,

fix a vector space  $V$  over  $K$ ,  $\dim_K V = 3$ , choose planes  $P_+ \neq P_-$ , and lines  $L_{\pm} \subset P_{\pm} - (P_+ \cap P_-)$ , so that we have

$$V = \tilde{P} \oplus L_0, \text{ where } \tilde{P} = L_+ \oplus L_- \text{ and } L_0 = P_+ \cap P_-;$$

Labelling the generators  $r_1, r_2$  of  $W$  in such a way that  $C(r_1) = \{(V \supset P_+ \supset L \supset 0), L \neq L_+\}$ , and  $C(r_2) = \{(V \supset P \supset L_+ \supset 0), P \neq P_+\}$ , we obtain:

$$D_{\sigma^6} = \{(V \supset P \supset L \supset 0)\}$$

$$D_{\sigma^5} = \{(V \supset P \supset L \supset 0), L \neq \{L_-, L_0\}\}$$

$$D_{\sigma^4} = \{(V \supset P \supset L \supset 0), P \neq \{P, P_-\}\}$$

and, with  $F = (V \supset P \supset L \supset 0)$ ,

$$D_{\sigma^3} = \{F \mid L \neq P_{\pm}, P = L + L_-\}$$

$$D_{\sigma^2} = \{F \mid L_{\pm} \neq P, L = P \cap P_-\}$$

$$D_{\sigma^1} = \{F \mid L \neq P_{\pm}, L \subset \tilde{P}, P \neq L_{\pm}\}$$

$$D_{\sigma^0} = \{F \mid L \neq P_{\pm}, L \neq \tilde{P}, P \neq L_{\pm}\} .$$

## Section 4 : Applications

We start with the following fact:

1)  $X_w$  is non-singular in codimension 1:

Suppose that  $w' < w$ , and  $\text{length}(w') = \text{length}(w) - 1$ . We then know that there exists a reduced expression  $w = r_{t_1} \dots r_{t_k}$  such that ([D1])

$$w' = r_{t_1} \dots r_{t_{k-i_0}} \cdot r_{t_{k-i_0+2}} \dots r_{t_k}$$

As in §3, set  $w_i = r_{t_i} \dots r_{t_k}$ ,  $\beta_i = w_i^{-1} \alpha_{t_{k-i+1}}$ . With our choice of reduced expressions, we then have

$$(*) \quad r_{\beta_{i_0}} \cdot \beta_j > 0 \quad \text{if } j > i_0$$

Let  $V_{w'} = U_{(1, \dots, 1)} \cup U_{(1, \dots, 1, 2, 1, \dots, 1)} \subset Z_w$ ,

$$\underbrace{\quad}_{U^1} \quad \underbrace{\quad}_{U^2}$$

$\uparrow$   
 $n_{i_0}$

$$N_{w'} = \text{Im}\pi_1^{k-1} \times \dots \times \text{Im}\pi_1^{k-i_0} \times \pi_2^{k-i_0+1}(\{0\}) \times \text{Im}\pi_1^{k-i_0+2} \times \dots \times \text{Im}\pi_1^0 \subset U^2,$$

so that we have  $U^2 - N_{w'} \subset U^1$ . We shall show that ([BGG])

- |  |
|--|
| a) $f_w(N_{w'}) = C(w')$   |
| b) $f_w(U^2 - N_{w'}) \subset C(w)$                              |
| c) $f_w _{U^2}$ is an isomorphism onto a dense subset of $X_w$ . |

proof of a):

From the definition of  $f_w$ , we have  $p \in f_w(N_{w'})$  if and only if

$$p = \overline{w} y_{\beta_k}(t_k) \dots y_{\beta_{i_0+1}}(t_{i_0+1}) r_{\beta_{i_0}} y_{\beta_{i_0-1}}(t_{i_0-1}) \dots y_{\beta_1}(t_1) B_+$$

for some  $t_j \in K$ .

The right hand side is equal to

$$\overline{w} r_{\beta_{i_0}}^{-1} y_{\beta_k}(t_k) \dots y_{\beta_{i_0+1}}(t_{i_0+1}) r_{\beta_{i_0}} y_{\beta_{i_0-1}}(t_{i_0-1}) \dots y_{\beta_1}(t_1) B_+.$$

Let  $\beta'_{k-1} = r_{\beta_{i_0}} \beta_k$ ,  $\beta'_{k-2} = r_{\beta_{i_0}} \beta_{k-1}$ ,  $\dots$ ,  $\beta'_{i_0} = r_{\beta_{i_0}} \beta_{i_0+1}$ ,

$$\beta'_{i_0-1} = \beta_{i_0-1}, \dots, \beta'_1 = \beta_1,$$

and let us also renumber  $r_{t_1} \dots r_{t_{k-i_0}} \cdot r_{t_{k-i_0+2}} \dots r_{t_k}$  into

$$\begin{cases} j_1 = t_1, \dots, j_{k-i_0} = t_{k-i_0}, \\ j_{k-i_0+1} = t_{k-i_0+2}, \dots, j_{k-1} = t_k \end{cases}$$

If we now let  $w'_i = r_{j_i} \dots r_{j_{k-1}}$ , an easy computation will show that

$$\beta'_i = w_i^{-1} (k-1) - i + 2 \alpha_j \quad \text{for all } i, \text{ and (from the proof of 3.23a),}$$

$w_{\beta_{i_0}} = w'$ . Hence the right hand side above is  $\underline{w}' y_{\beta_{k-1}} \dots y_{\beta_1} B_+$ ;

Applying 3.22 to  $w' = r_{j_1} \dots r_{j_{k-1}}$ , we find

$$f_w(N_{w'}) = C(w').$$

b) is obvious because  $U^2 - N_w \subset U^1$ .

proof of c):

We check that  $f_w|_{U^2}$  is injective: assume that

$$\begin{aligned} y_{\beta_k}(t_k) \dots y_{\beta_{i_0+1}}(t_{i_0+1}) x_{\beta_{i_0}}(t_{i_0}) r_{\beta_{i_0}} y_{\beta_{i_0-1}}(t_{i_0-1}) \dots y_{\beta_1}(t_1) B_+ \\ = y_{\beta_k}(t'_k) \dots y_{\beta_{i_0+1}}(t'_{i_0+1}) x_{\beta_{i_0}}(t'_{i_0}) r_{\beta_{i_0}} y_{\beta_{i_0-1}}(t'_{i_0-1}) \dots y_{\beta_1}(t'_1) B_+; \end{aligned}$$

write  $y_j$  for  $y_{\beta_j}(t_j)$ ,  $y'_j$  for  $y_{\beta_j}(t'_j)$ , if  $j \neq i_0$ ,

$$y_{i_0} \text{ for } y_{\beta_{i_0}}(-t_{i_0}), \quad y'_{i_0} \text{ for } y_{\beta_{i_0}}(-t'_{i_0}).$$

Then we must have

$$y_1^{-1} \dots y_{i_0}^{-1} (r_{\beta_{i_0+1}} y_{i_0+1}^{-1} \dots y_k^{-1} y'_k \dots y'_{i_0+1} r_{\beta_{i_0}}) y'_{i_0} \dots y'_1 B_+ = B_+; \text{ the thing in}$$

parentheses is in  $U_-$  by (\*), hence, as  $U_- \cap B_+ = 1$ , we must have

$$y_k \dots y_{i_0+1} r_{\beta_{i_0}} y_{i_0} \dots y_1 = y'_k \dots y'_{i_0+1} r_{\beta_{i_0}} y'_{i_0} \dots y'_1 \quad (\text{no } B_+'s)$$

This equation implies that

$$\begin{aligned} \underline{w}_j y_k \underline{w}_j^{-1} \dots \underline{w}_j y_{i_0+1} \underline{w}_j^{-1} \cdot \underline{w}_j r_{\beta_{i_0}} y_{i_0} \dots y_1 \\ = \underline{w}_j y'_k \underline{w}_j^{-1} \dots \underline{w}_j y'_{i_0+1} \underline{w}_j^{-1} \cdot \underline{w}_j r_{\beta_{i_0}} y'_{i_0} \dots y'_1 \end{aligned}$$

Set  $j = k - i_0 + 1$ : then  $w_j \beta_m > 0$  if  $m \geq i_0 + 1$   
 $< 0$  if  $m \leq i_0$ ,

and we have  $(=u_-)$

$$\overbrace{(\underline{w}_j y_k \underline{w}_j^{-1}) \dots (\underline{w}_j y_{i_0+1} \underline{w}_j^{-1})} \underline{w}_j r_{\beta_{i_0}} \underline{w}_j^{-1} (\underline{w}_j y_{i_0} \underline{w}_j^{-1}) \dots (\underline{w}_j y_1 \underline{w}_j^{-1}) =$$

$$= \underbrace{(w_j y'_{k-j} w_j^{-1}) \dots (w_j y'_{i_0+1-j} w_j^{-1})}_{(=u'_-)} w_j r_{\beta_{i_0-j}} w_j^{-1} (w_j y'_{i_0-j} w_j^{-1}) \dots (w_j y'_{1-j} w_j^{-1})$$

↓  
(=r\_{t\_{k-i\_0+1}})

which implies that

$$u_- y_{i_0}^{-1} r_{t_{k-i_0+1}} \underbrace{(w_j y_{i_0-1-j} w_j^{-1} \dots w_j y_{1-j} w_j^{-1})}_{(=u'_+)} = u'_- y_{i_0}^{-1} r_{t_{k-i_0+1}} \underbrace{(w_j y'_{i_0-1-j} w_j^{-1} \dots w_j y'_{1-j} w_j^{-1})}_{(=u'_+)}$$

$$\text{i.e. } \underbrace{y_{i_0} u_-^{-1} u'_- y_{i_0}^{-1}}_{\in U_-} = r_{t_{k-i_0+1}} (u_+ u'_+)^{-1} r_{t_{k-i_0+1}},$$

and the right hand side is in  $U_+$  (because  $w_j \beta_m = -r_{t_{k-i_0+1}} \dots r_{t_{k-m}} \alpha_{t_{k-m+1}}$  if  $m < i_0$ ). So we must have

$$y_{\beta_k}(t_k) \dots y_{\beta_{i_0+1}}(t_{i_0+1}) y_{\beta_{i_0}}(-t_{i_0}) = y_{\beta_k}(t'_k) \dots y_{\beta_{i_0+1}}(t'_{i_0+1}) y_{\beta_{i_0}}(-t'_{i_0})$$

and  $y_{\beta_{i_0-1}}(t_{i_0-1}) \dots y_{\beta_1}(t_1) = y_{\beta_{i_0-1}}(t'_{i_0-1}) \dots y_{\beta_1}(t'_1)$

which, by 3.7, implies that  $t_j = t'_j$  for all  $j$ .



2) Application to the Hecke algebra:

Define the Hecke algebra  $H$  of  $W$  to be the algebra

$$H = \bigoplus_{y \in W} \mathbb{Z}[q^{1/2}, q^{-1/2}] \cdot T_y$$

generated by the ring of polynomials in the indeterminates  $q^{1/2}, q^{-1/2}$  over  $\mathbb{Z}$ , and generators  $T_y$ , where the product is defined by

$$T_{r_i} \cdot T_y = \begin{cases} T_{r_i y} & \text{if } \text{length}(r_i y) = \text{length}(y) + 1 \\ q \cdot T_{r_i y} + (q-1) \cdot T_y & \text{if } \text{length}(r_i y) = \text{length}(y) - 1 \end{cases}$$

for  $1 \leq i \leq N$ , so that with  $w = r_{j_1} \dots r_{j_k}$  and  $\text{length}(w) = k$ , we have

$$T_w \cdot T_y = T_{r_{j_1}} \dots T_{r_{j_k}} \cdot T_y \text{ for any } y \in W.$$

Note that if we formally set  $q^{1/2} = 1$ , then  $H$  is simply the group algebra  $\mathbb{Z}[W]$ .

Fix an algebraically closed field  $K$  of characteristic  $p > 0$ . By 2.7, the Frobenius  $F$  on  $G(K)$  factors to a map  $F: G(K)/B_+ \rightarrow G(K)/B_+$  which satisfies  $F(C(y)) = C(y)$ ,  $F(yB_+) = yB_+$ ,  $F(C'(y)) = C'(y)$ , for all  $y \in W$ . If  $X \subset G(K)$  or  $G(K)/B_+$ , and  $n \in \mathbb{N}$ , let's write  $X^{F^n}$  for the set  $\{x \in X \mid F^n x = x\}$ .

Given  $n \in \mathbb{N}$ , let  $L$  be a field of characteristic 0 containing a  $(2n)$ th root of  $p$ , and fix such a  $\sqrt[2n]{p} \in L$ ; then the map  $\mathbb{Z}[q^{1/2}, q^{-1/2}] \rightarrow L$ ,  $f(q^{1/2}, q^{-1/2}) \rightarrow f(\sqrt[2n]{p}, (\sqrt[2n]{p})^{-1})$  allows one to define the algebra  $H_n = H \otimes_{\mathbb{Z}} \mathbb{Z}[q^{1/2}, q^{-1/2}]^L$ . We now show that

| there is a natural embedding  $H_n \hookrightarrow \text{End}_{G(K)^{F^n}}(\{f: G(K)^{F^n}/B_+^{F^n} \rightarrow L\})$

Call the algebra on the right hand side  $\tilde{H}$ , and define  $\{\tilde{T}_y, y \in W\} \subset \tilde{H}$  by

$$(\tilde{T}_y \cdot f)(xB_+^{F^n}) = \sum_{z \in X \cdot C(y)^{F^n}} f(z),$$

the sum being finite as  $\#C(y)^{F^n} = p^{n \cdot \text{length}(y)}$ . Assume that for some  $\lambda_w \in L$ ,  $\sum_{w \in A} \lambda_w \tilde{T}_w = 0$ , and let  $f_0 \in \tilde{H}$  be the map  $f_0(zB_+^{F^n}) = 1$  if  $z \in B_+^{F^n}$ , 0 otherwise. We must then have  $\sum_w \lambda_w \tilde{T}_w f_0(z) = 0$  for all  $z \in G(K)^{F^n}/B_+^{F^n}$ .

Plugging in  $z = w^{-1} B_+^{F^n}$  for the various  $w \in A$ , one finds that  $\lambda_w = 0$  for all  $w \in A$ , hence the  $\tilde{T}_y$ 's are linearly independent. We thus obtain an  $L$ -linear isomorphism  $H_n \rightarrow \tilde{H}$ ,  $T_y \otimes 1 \mapsto \tilde{T}_y$ ; to check that this is

an algebra isomorphism, we compute

$$\begin{aligned}
\tilde{T}_y \cdot \tilde{T}_{y'} \cdot f(xB_+^{F^n}) &= \sum_{z \in G(K)^{F^n}/B_+^{F^n}} \#\{u \in G(K)^{F^n}/B_+^{F^n} \mid \&_u^{x^{-1}} u \in C(y) \&_u^{-1} z \in C(y')\}^{F^n} \cdot f(z) \\
&= \sum_{z \in G(K)^{F^n}/B_+^{F^n}} \#(C(y) \cap x^{-1} z C(y'^{-1}))^{F^n} \cdot f(z) \\
&= \sum_{z \in G(K)^{F^n}/B_+^{F^n}} \sum_{\substack{y'' \in W \\ x^{-1} z \in C(y'')}} \#(C(y) \cap y'' C(y'^{-1}))^{F^n} \cdot f(z) \\
&= \sum_{y'' \in W} \#(C(y) \cap y'' \cdot C(y'^{-1}))^{F^n} \cdot \tilde{T}_{y''} f(z),
\end{aligned}$$

so that

$$\underline{4.1} \quad \tilde{T}_y \cdot \tilde{T}_{y'} = \sum_{y'' \in W} \#(C(y) \cap y'' \cdot C(y'^{-1}))^{F^n} \cdot \tilde{T}_{y''},$$

the sum being finite by 3.4 and 3.15; assume now that  $y=r_i$ :

By 3.2, 3.3, 3.15, we have  $y''^{-1} \cdot C(r_i) \cap C(y'^{-1}) = \emptyset$  unless

$$y'' = r_i y' \quad \text{in case } \text{length}(r_i y') = \text{length}(y') + 1$$

$$\text{or } y'' \in \{r_i y', y'\} \quad \text{in case } \text{length}(r_i y') = \text{length}(y') - 1$$

In the first case, the intersection is  $\{y_{y', -1} \cdot \alpha_i(t) y^{-1} B_+\} / B_+ C(y')$ ,

which reduces to the single point  $y^{-1} B_+$  so that in this case  $\#(y''^{-1} C(r_i) \cap C(y'^{-1}))^{F^n} = 1$  ( $y^{-1} \in G(K)^{F^n}$ ),

and in the second case,

if  $y'' = y'$ , the intersection is  $\{y'^{-1} x_{\alpha_i}(t) r_i B_+\} / B_+ C(y'^{-1})$

$$= \{y'^{-1} y_{\alpha_i}(t^{-1}) B_+\} / B_+ C(y'^{-1}), \text{ so that}$$

$$\#(y''^{-1} C(r_i) \cap C(y'^{-1}))^{F^n} = (p^n - 1),$$

while if  $y'' = r_i y'$ , the intersection is  $\{x_{y^{-1} \cdot \alpha_i}(t) y^{-1} B_+\} / B_+ C(y')$

$$\text{so that } \#(y''^{-1} C(r_i) \cap C(y'^{-1}))^{F^n} = p^n.$$

Now 4.1 implies that

$$\begin{aligned}
\tilde{T}_{r_i} \cdot \tilde{T}_{y'} &= \sum_{y'' \in W} \#(C(y) \cap y'' C(y'^{-1}))^{F^n} \cdot \tilde{T}_{y''} \\
&= \sum_{y'' \in W} \#(y''^{-1} C(y) \cap C(y'^{-1}))^{F^n} \cdot \tilde{T}_{y''} \quad (\text{because } y'' \in G(K)^{F^n}) \\
&= \begin{cases} \tilde{T}_{r_i y'} & \text{if } \text{length}(r_i y') = \text{length}(y') + 1 \\ p^n \cdot \tilde{T}_{r_i y'} + (p^n - 1) \cdot \tilde{T}_{y'} & \text{if } \text{length}(r_i y') = \text{length}(y') - 1 \end{cases}
\end{aligned}$$

by the computations just made. Hence the map  $T_y \otimes 1 \mapsto \tilde{T}_y$  is an algebra isomorphism of  $H_n$  into  $\tilde{H}$ .

One can check by induction on the length of  $y$  that all  $T_y \in H$  are invertible. Define polynomials  $R_{z,y} \in \mathbb{Z}[q^{1/2}, q^{-1/2}]$  by requiring that

$$4.2 \quad (T_{y^{-1}})^{-1} = \sum_{z \in W} (-1)^{\text{length}(y) + \text{length}(z)} R_{z,y} \cdot q^{-\text{length}(y)} \cdot T_y.$$

The sum in 4.2 is finite; in fact, it only involves those elements  $z$  which satisfy  $z \leq y$ , as one can prove that  $R_{z,y} \neq 0$  iff  $z \leq y$ . In [Dh4], the formula

$$R_{z,w} = \sum_{\substack{\sigma \in D \\ \sigma_k = z}} q^{m(\sigma)} (q-1)^{n(\sigma)}$$

is shown to be valid in any Coxeter group (the notation being as in 3.15) so that  $R_{z,y}$  is in fact in  $\mathbb{Z}[q]$ . Applying 3.16 to the present setting, and using the fact that  $\#(K)^{\mathbb{F}^n} = p^n$ ,  $\#(K^\times)^{\mathbb{F}^n} = p^n - 1$ , one finds that

$$\begin{aligned} \#(C(w) \cap C'(y))^{\mathbb{F}^n} &= \sum_{\substack{\sigma \\ \sigma_k = y}} (p^n)^{m(\sigma)} (p^n - 1)^{n(\sigma)} \\ 4.3 \quad &= R_{y,w}(p^n). \end{aligned}$$

The Kazhdan-Lusztig polynomials can now be defined as follows: let  $x \mapsto \bar{x}$  be the 'anti'-automorphism of  $H$  extending the map  $q^{1/2} \mapsto q^{-1/2}$ ,  $T_y \mapsto T_{y^{-1}}^{-1}$ . In [KL], it is shown that there exists a unique basis  $\{S_y, y \in W\}$  of satisfying

$$\begin{aligned} 1) \quad \bar{S}_y &= S_y \\ 2) \quad S_y &= q^{-\text{length}(y)/2} \sum_{z \leq y} P_{z,y} \cdot T_z \end{aligned}$$

$$\text{with } P_{z,y} \in \mathbb{Z}[q], \text{ and } \text{degree}(P_{z,y}) \leq \frac{(\text{length}(y) - \text{length}(z) - 1)}{2}$$

if  $z < y$ ,  $P_{y,y}$  being the constant 1.

Condition 1) is equivalent to the equality

$$q^{\text{length}(y) - \text{length}(z)} \bar{P}_{z,y} = \sum_{z \leq x \leq y} R_{z,x} P_{x,y}$$

and Kazhdan & Lusztig prove that if  $W$  is a (finite) Weyl group, then

$P_{y,w}(q) = \sum_i \dim H_{yB_+}^{2i}(IC_w) \cdot q^i$ , where  $H$  is the stalk cohomology of Deligne's middle-intersection-cohomology complex of sheaves  $IC_w$  on the variety  $X_w$ .

One can generalize their proof ([KL4]) to arbitrary crystallographic groups as follows:

Assume that  $W$  is a crystallographic group, and define

$$\tilde{P}_{y,w} = \sum_j \dim H_{yB_+}^j(IC_w) q^{j/2}$$

for  $y \in W$ ,  $yB_+ \in X_w$  as above. With the notation of 3.23-3.25, fix  $\Lambda$ ,  $v^+ \in L(\Lambda)$ ,  $L = \bigcup_{\lambda} v^+ \otimes K$ ,  $L^\lambda = L(\Lambda)_\lambda \otimes K$ . Let  $V_y = \{v \in L \mid \text{the } L^{y\Lambda}\text{-coordinate of } v \text{ is non-zero}\}$ ,

$V'_y = \{v \in V_y \mid \text{the } L^\lambda\text{-coordinate of } v \text{ is 0 if } \text{height}(y\Lambda - \lambda) \leq 0 \text{ and } \lambda \neq y\Lambda\}$ . Now

let  $V_{y,w} = V_y \cap L_{\text{height}(\Lambda - w\Lambda)}$ ,  $V'_{y,w} = V'_y \cap L_{\text{height}(\Lambda - w\Lambda)}$  for  $y \leq w \in W$ . Finally,

define an action of  $G_m$  on  $L$  by  $t \rightarrow \rho(t)$ , where  $\rho(t) \cdot v = t^{\text{height}(\Lambda - \lambda)} v$  whenever  $v \in L^\lambda$ . We can now show that

- 4.4 a)  $\Psi(X_w \cap y \cdot C'(1)) = \Psi(X_w) \cap [V_{y,w}]$  and  $\Psi(X_w \cap C'(y)) = \Psi(X_w) \cap [V'_{y,w}]$ , so that both  $X_w \cap y \cdot C'(1)$  and  $X_w \cap C'(y)$  can be regarded as subvarieties of affine space.
- b) The  $G_m$ -action defined above induces an action of  $K^\times$  on  $[V_{y,w}]$  such that  $\Psi(X_w \cap y \cdot C'(1))$  and  $\Psi(X_w \cap C'(y))$  are  $K^\times$ -stable
- c) In the natural identification  $[V_{y,w}] \simeq K^M$ , the point  $[yv^+ \otimes 1]$  maps to the origin, and the  $K^\times$ -action on  $[V_{y,w}]$  decomposes into a sum of positive characters.

proof:

- a) Assume  $v \in V'_y$ , say  $v = yv^+ \otimes t_{y\Lambda} + \sum_{\substack{\mu \\ \text{height}(y\Lambda - \mu) > 0}} v_\mu \otimes t_\mu$  with  $t_\mu \in K$ ,

$v_\mu \in L(\Lambda)_\mu$ , and fix  $t \in K$ ,  $\alpha \in \Delta_+^{\text{re}}$ : then

$$y_\alpha(t) \cdot v = yv^+ \otimes t_{y\Lambda} + \underbrace{\sum_{\mu} (f_\alpha^{(m)} \cdot yv^+) \otimes t_\mu}_{\in L^{y\Lambda - \alpha}} + \sum_{\substack{\mu \\ \text{height}(y\Lambda - \mu') > 0}} v_\mu \otimes t'_\mu$$

which lies again in  $V'_y$ . Hence  $V'_y$  is  $B_-$ -invariant, and one concludes that for  $w \in W$ ,

$$\Psi(C'(w)) \subset [V'_y] \text{ if and only if } [wv^+ \otimes 1] \in [V'_y].$$

It is clear from the definition that the latter happens only when  $w=y$ , so we have

- (\*)  $\Psi(C'(w)) \subset [V'_y]$  if and only if  $w=y$ .

Using the disjointness of the Birkhoff decomposition, and the fact that  $X_w \subset P(L_{\text{height}(\Lambda - w\Lambda)})$ , this proves that

$$\Psi(X_w \cap C'(y)) = \Psi(X_w) \cap [V'_{y,w}].$$

As  $V_y = y \cdot V_1$ , one can also conclude from (\*) that

$\Psi(y.C'(1)) \cap [V_y]$ . Now assume that  $p \in \Psi(X_w) \cap [V_y]$ : as  $p \in \Psi(X_w)$ , we must have  $y^{-1}.p \in \Psi(G/B_+)$ , hence, using the disjointness of the Birkhoff decomposition and the injectivity of  $\Psi$ , there exists a unique  $z \in W$  such that  $y^{-1}.p \in \Psi(C'(z))$ . As the  $L^{y\Lambda}$ -coordinate of  $p$  is non-zero, the  $L^\Lambda$ -coordinate of  $y^{-1}.p$  is non-zero, i.e., by the above,  $z$  must be 1, and  $p \in \Psi(y.C'(1))$ . This completes the proof of a).

proof of b): one need only observe that

$$\begin{aligned} \rho(t) \circ x_\alpha(s) \circ \rho(t)^{-1} &= x_\alpha(st^{-\text{height}(\alpha)}), \\ \rho(t) \circ y_\alpha(s) \circ \rho(t)^{-1} &= y_\alpha(st^{\text{height}(\alpha)}). \end{aligned}$$

c) is clear.

One can now prove that  $\mathbb{P}_{y,w} = \mathbb{P}_{y,w}$  exactly as in [KL4]:

Call an  $\mathbb{F}_p$ -variety  $X$  pure (resp. very pure) if for all  $x \in X^{\mathbb{F}^n}$  and all  $i \in \mathbb{N}$ , the eigenvalues of  $F^n$  on  $H_x^i(IC)$  have absolute value  $p^{ni/2}$  (resp.  $= p^{ni/2}$ ). The main facts needed to complete the proof are:

4.5 a) If  $Y$  is a closed  $\mathbb{F}_p$ -subvariety of  $K^M$  (some  $M$ ), which is stable under a diagonal action of  $G_m$  on  $K^M$ , the latter action being a direct sum of positive characters of  $G_m$ , then

if  $Y - \{\text{origin}\}$  is very pure, then so is  $Y$

b) With  $Y$  as above, we have  $H^i(Y, IC) = H_{\text{origin}}^i(IC)$  (where  $H^i$  denotes hypercohomology).

Fix  $w \in W$ . For  $z \leq w$ , let  $Q(z)$  be the property: "for all  $x \in C(z)^{\mathbb{F}^n}$ ,  $H_x^i(IC_w) = 0$  if  $i$  is odd, and the eigenvalues of  $F^n$  on  $H_x^i(IC_w)$  are  $p^{ni/2}$  if  $i$  is even". The main lemma (see [KL4]) is that

4.6  $Q(z)$  holds for all  $z \leq w$ .

proof:  $Q(w)$  is clear. Assume that  $Q(z)$  is true for  $y \leq z \leq w$ , and let's prove it for  $y$ :

We know by our induction hypothesis that  $(X_w \cap y.C'(1)) - C(y)$  is very pure. It is easy to see that  $X_w \cap y.C'(1)$  is isomorphic to  $(X_w \cap C'(y)) \times C(y)$ , and that in this isomorphism  $(X_w \cap y.C'(1)) - C(y)$  corresponds to  $((X_w \cap C'(y)) - \{yB_+\}) \times C(y)$ . As  $C(y)$  is smooth, our initial remark implies that  $(X_w \cap C'(y)) - \{yB_+\}$  is very pure. Using 4.4 and 4.5a), we conclude that  $X_w \cap C'(y)$  is itself very pure, and, going backwards, that  $X_w \cap y.C'(1)$  is very pure.

We now apply the Lefschetz fixed point formula to the Frobenius map on the variety  $X=X_W \cap y.C'(1)$  to obtain:

$$(*) \quad \text{tr}_{\text{HI}_c^*(X, \text{IC}_W)}^{F^n} = \sum_{x \in X} \text{tr}_{\text{H}_x^*(\text{IC}_W)}^{F^n}$$

where  $\text{HI}_c$  denotes hypercohomology with compact support, and  $\text{tr}_{C^*} = \sum_i (-1)^i \text{tr}_{C^i}$ . The right hand side of (\*) equals

$$\begin{aligned} & \sum_{\substack{z \in W \\ y < z < w}} \sum_{x \in (C(z) \cap y.C'(1))} \text{tr}_{\text{H}_x^*(\text{IC}_W)}^{F^n} \quad (\text{as } X=X_W \cap y.C'(1)) \\ & = \bigcup_{y < z < w} C(z) \cap y.C'(1) \\ & = \sum_{y < z < w} p^{n \cdot \text{length}(y)} R_{y,z}(p^n) \text{tr}_{\text{H}_{zB_+}^*(\text{IC}_W)}^{F^n} \quad (\text{using 4.3}) \end{aligned}$$

$$\begin{aligned} & \text{On the other hand, the left hand side equals} \\ & p^{n \cdot \text{length}(w)} \text{tr}_{\text{H}^*(X, \text{IC}_W)}^{F^{-n}} \quad (\text{by Poincaré duality}) \\ & = p^{n \cdot \text{length}(w)} \text{tr}_{\text{H}_{yB_+}^i(\text{IC}_W)}^{F^{-n}} \quad (\text{by 4.4 and 4.5b}). \end{aligned}$$

Hence (\*) becomes

$$(**) \quad p^{n \cdot \text{length}(w)} \text{tr}_{\text{H}_{yB_+}^*(\text{IC}_W)}^{F^{-n}} = p^{n \cdot \text{length}(y)} \sum_{y < z < w} R_{y,z}(p^n) \text{tr}_{\text{H}_{zB_+}^*(\text{IC}_W)}^{F^n}$$

After a careful comparison of degrees in (\*\*) using the induction hypothesis and the fact that  $X$  is very pure, one concludes as in [KL1] and [KL4] that  $Q(y)$  is true.

To see that  $\tilde{P}_{y,w} = P_{y,w}$ , one rewrites (\*\*) using 4.6 to get

$$p^{n(1(w)-1(y))} \sum_i (-1)^i p^{-ni/2} \dim \text{H}_{yB_+}^i(\text{IC}_W) = \sum_{y < z < w} R_{y,z}(p^n) \sum_i (-1)^i p^{-ni/2} \dim \text{H}_{yB_+}^i(\text{IC}_W)$$

$$\text{which is equivalent to } q^{1(w)-1(y)} \tilde{P}_{y,w} = \sum_{y < z < w} R_{y,z} \tilde{P}_{z,w} \quad (\text{here, } l(x)$$

denotes the length of the element  $x$  of  $W$ ). Using the characteristic properties of  $\text{IC}$ , it is easy to check that the  $\tilde{P}_{y,w}$ 's satisfy  $\deg(\tilde{P}_{y,w}) \leq \frac{1}{2}(1(w)-1(y)-1)$ , with  $\tilde{P}_{w,w} = 1$ , hence  $\tilde{P}_{y,w} = P_{y,w}$ .

Added in proof: I have recently been informed that G. Lusztig has a much simpler proof, based on his paper Characters of reductive groups over a finite field, IHES, 1982, of this generalization of the results of [KL4].

3) The case of elements of Coxeter type:

Assume that  $w = r_{j_1} \dots r_{j_k}$  is such that  $j_m \neq j_n$  if  $m \neq n$ . A direct computation shows that  $P_{y,w} = 1$  for all  $y \leq w$ , hence one can conclude that if  $W$  is a (finite) Weyl group, then the variety  $X_w$  is rationally smooth ([KL1]).

In fact, if  $W$  is any crystallographic group, and  $w$  is as above, then  $X_w$  is smooth. One can show directly that

a- if we identify  $C(w)$  with  $K^k$  using 3.22, and  $y = r_{j_{t_1}} \dots r_{j_{t_m}} \leq w$ ,

and  $t_1 < \dots < t_m$ , then

$$C(w) \cap y \cdot C'(1) = \{(\lambda_1, \dots, \lambda_k) \mid \lambda_{t_i} \in K^\times\} \quad (\text{see [Dh3]}),$$

$$\text{and } C(w) \cap C'(y) = \{(\lambda_1, \dots, \lambda_k) \mid \lambda_{t_i} = 0\}.$$

b-  $X_w \cap y \cdot C'(1) = f_w(U_{(n_k, \dots, n_1)})$ , with  $n_j = \begin{cases} 1 & \text{if } j \in \{t_1, \dots, t_m\} \\ 2 & \text{otherwise.} \end{cases}$

and  $f_w|_{U_{(n_k, \dots, n_1)}}$  is injective.

Let us prove instead that  $f_w: Z_w \rightarrow X_w$  is an isomorphism:

First note that if  $z \in Z_w^{-U}(1, \dots, 1)$ , then there exists  $\lambda_j \in K$  such that  $z = (p_k(z), \dots, p_1(z))$ , with  $p_j(z) \in \{y_{\beta_j}(\lambda_j), s_j\}$ , and for some  $j_0$ ,  $\lambda_{j_0} = s_{j_0}$ .

We now show that

$$\left| \begin{array}{l} \text{given two sequences } s_1 < \dots < s_n, t_1 < \dots < t_n, \text{ with } s_i, t_i \in \{1, \dots, k\} \\ \text{if } r_{j_{s_1}} \dots r_{j_{s_n}} = r_{j_{t_1}} \dots r_{j_{t_n}} = w', \text{ then } s_i = t_i \text{ for all } i, \end{array} \right.$$

by induction on  $n$ : If  $n=1$ , there is nothing to prove; otherwise, the exchange condition, applied to  $w' \cdot \alpha_{j_{s_n}} < 0$  gives

$$(1) w' = r_{j_{t_1}} \dots r_{j_{t_{a-1}}} \cdot r_{j_{t_{a+1}}} \dots r_{j_{t_n}} r_{j_{s_n}} \text{ for some } a,$$

and applied to  $w' \cdot \alpha_{j_{t_n}} < 0$  gives

$$(2) w' = r_{j_{s_1}} \dots r_{j_{s_{b-1}}} \cdot r_{j_{s_{b+1}}} \dots r_{j_{s_n}} r_{j_{t_n}} \text{ for some } b.$$

Assuming, without loss of generality, that  $a \leq b$ , one sees that if  $b \neq n$  and  $a \neq n$  then (1) and (2) imply that  $t_n = s_{n-1} = t_{n-2}$ , which is impossible because

$t_{n-2} < t_n$  so that  $j_{t_{n-2}} \neq j_{t_n}$ . Hence we must have  $b=a=n$ , in which case (2) implies that  $w' = r_{j_{s_1}} \dots r_{j_{s_{n-1}}} r_{j_{t_n}}$ . Combining this equation with  $w' = r_{j_{s_1}} \dots r_{j_{s_n}}$ , we see that  $r_{j_{t_n}} = r_{j_{s_n}}$ , i.e.  $t_n = s_n$ , and the induction hypothesis gives  $t_{n-1} = s_{n-1}, \dots, t_1 = s_1$ .

The notation being as above, if  $z \in Z_w^{-U}(1, \dots, 1)$ , then  $f_w(z) = \underline{w} p_k(z) \dots p_1(z) B_+$ . As  $w r_{\beta_{i_1}} \dots r_{\beta_{i_n}} \cdot \beta_m < 0$  if  $i_1 > \dots > i_n > m$  (that is so

$$\begin{aligned} \text{because } w r_{\beta_{i_1}} \dots r_{\beta_{i_n}} \cdot \beta_m &= -(r_{j_1} \dots r_{j_{k-i_1+1}} \dots r_{j_{k-i_n+1}} \dots r_{j_{k-m}} \cdot \beta_{j_{k-m+1}}^\alpha) \\ &= -\alpha_{j_{k-m+1}} + \sum_{i \in A} c_i \alpha_i \end{aligned}$$

where the subset  $A$  of  $\{1, \dots, N\}$

does not contain  $j_{k-m+1}$ , one sees that  $f_w(z)$  is of the form

$b w r_{\beta_{i_1}} \dots r_{\beta_{i_p}} B_+$  with  $b \in B_+$  and the indices  $i_1, \dots, i_p$  are such that

$p_j(z) = \underline{s}_j$  if and only if  $j$  is in the set  $\omega(z) = \{i_1, \dots, i_p\}$ . In particular,

by the disjointness of the Bruhat decomposition and the lemma proved above, if  $f_w(z_1) = f_w(z_2)$ , then  $\omega(z_1) = \omega(z_2)$  (with  $\omega(z) = \emptyset$  if  $z \in U(1, \dots, 1)$ ).

One now proves as in 4.1) (using again the idea in example 3) that if  $y_{\beta_k}(\lambda_k) \dots y_{\beta_{i_1+1}}(\lambda_{i_1+1}) \underline{r}_{\beta_{i_1}} \cdot y_{\beta_{i_1-1}}(\lambda_{i_1-1}) \dots y_{\beta_{i_p+1}}(\lambda_{i_p+1}) \underline{r}_{\beta_{i_p}} \cdot y_{\beta_{i_p-1}}(\lambda_{i_p-1}) \dots y_{\beta_1}(\lambda_1) B_+$  equals

$$y_{\beta_k}(\lambda'_k) \dots y_{\beta_{i_1+1}}(\lambda'_{i_1+1}) \underline{r}_{\beta_{i_1}} \cdot y_{\beta_{i_1-1}}(\lambda'_{i_1-1}) \dots y_{\beta_{i_p+1}}(\lambda'_{i_p+1}) \underline{r}_{\beta_{i_p}} \cdot y_{\beta_{i_p-1}}(\lambda'_{i_p-1}) \dots y_{\beta_1}(\lambda'_1) B_+$$

then  $\lambda_j = \lambda'_j$  for all  $j$  (alternately, multiplying this last equation by  $\underline{w}$

gives an equality in  $C(w r_{\beta_{i_1}} \dots r_{\beta_{i_n}})$  on which one can use 3.7). Hence  $f_w$

is injective.

In particular,  $X_w \cap y \cdot C'(1)$  is open, and one can check, using appropriate coordinates, that  $f_w^*|_{\mathcal{O}(X_w \cap yC'(1))}$  is an isomorphism: indeed, with  $\Lambda$  as



in 3.9, and for all  $\alpha \in \Delta_+^{re}$ , if  $r_\alpha$  denotes reflexion in  $\alpha$ , then  $r_\alpha \in W$ , so that  $L(\Lambda)_{r_\alpha \Lambda} \neq 0$ , hence  $\Lambda - r_\alpha \Lambda \in Q_+$ ; but  $\Lambda - r_\alpha \Lambda = \langle \Lambda, \alpha^\vee \rangle \alpha$ , so  $\langle \Lambda, \alpha^\vee \rangle > 0$ ; therefore, by [K5],  $L(\Lambda)_{\Lambda - \alpha} \neq 0$ . Now using this and the fact that all  $r_{j_i}$ 's are distinct, one can see, after a tedious but straightforward

computation, that  $f_w|_{U(n_k, \dots, n_1)}$  always looks, in the local coordinates like a mapping  $K^k \rightarrow K^M$  with  $(t_1, \dots, t_k) \mapsto (t_1, \dots, t_k, p_1(t_1, \dots, t_k), \dots, p_{M-k}(t_1, \dots, t_k))$

$t_i \in K$ , for some  $M \in \mathbb{N}$ , where each  $p_j$  is a homogeneous polynomial of degree at least 2. Hence  $f_w^*$  gives an isomorphism of the rings of functions, and  $f_w$  is indeed an isomorphism.

Example 9: If  $k=2$ , set  $u_{m,n} = f_{r_{j_2 j_1} \alpha_{j_2}}^{(m)} \cdot f_{j_2}^{(n)} \cdot v^+ \otimes 1 \in U_{\mathbb{Z}} \cdot v^+ \otimes K$ ;

Then  $f_w|_{U(1,1)}$  is the map

$$\overline{(y_{r_{j_2 j_1} \alpha_{j_2}}(t_1), y_{j_2}(t_2)B_+)} \mapsto [wv^+ \otimes 1 + t_1 \cdot \underline{wu}_{1,0} + t_2 \cdot \underline{wu}_{0,1} + \sum_{m,n \geq 2} t_1^m t_2^n \cdot \underline{wu}_{m,n}]$$

Similarly,  $f_w|_{U(2,1)}$  is the map

$$\overline{(x_{r_{j_2 j_1} \alpha_{j_2}}(t_1) r_{j_2 j_1} r_{j_2}, y_{j_2}(t_2)B_+)} \mapsto [r_j v^+ \otimes 1 + t_1 \cdot (-r_{j_2} u_{1,0}) + t_2 \cdot r_{j_2} u_{0,1} + \sum_{m,n \geq 2} (-t_1)^m (t_2)^n \cdot r_{j_2} u_{m,n}]$$

etc...

4) Geometric interpretation of the matrix A:

Suppose  $k=\text{length}(w)=2$ , so that  $w=r_i r_j$  with  $i \neq j$ , hence  $Z_w \rightarrow X_w$  is an isomorphism.

We have  $Z_w^1 = P_2/B_1 \cong \mathbb{P}^1$ , and  $g: Z_w \rightarrow Z_w^1$  the projection so  $Z_w$  is a  $\mathbb{P}^1$ -bundle over  $\mathbb{P}^1$ . In fact, we also have a global section  $h: Z_w^1 \rightarrow Z_w$ , so  $Z_w$  is a ruled surface.

If  $L = Z_w - \text{Im}h$ , then  $g|_L: L \rightarrow Z_w^1$  is a line bundle on  $\mathbb{P}^1$ . We shall show that the degree of  $L$  is  $-a_{ij}$ , that  $\text{Im}h$  is the unique rigid section of  $Z_w \rightarrow Z_w^1$ , hence the self-intersection number of  $(\text{Im}h)$  is  $a_{ij}$ , i.e. the invariant of the ruled surface  $X_w$  is  $-a_{ij}$  ([T3]). In particular, the product of the invariant of  $X_{r_i r_j}$  by that of  $X_{r_j r_i}$  is  $4(\cos \frac{\pi}{m_{ij}})^2$ . Since the latter is an integer only when  $m_{ij} \in \{2, 3, 4, 6, \infty\}$ , one concludes that no 'nice' flag variety can be attached to a Coxeter group unless that group is crystallographic.

So we have  $Z_w = Z_{r_i r_j}^2 = P_2 \times^{B_1} P_1/B_0$ ,  $Z_w^1 = P_2/B_1 \cong \mathbb{P}^1$ , and  $h: Z_w^1 \rightarrow Z_w$  given by  $h(p_2 B_1) = \overline{(p_2, r_j B_0)}$ . Here,  $B_1 = r_j^{-1} B_+ r_j$ ,  $B_2 = w^{-1} B_+ w$ ,  $P_1 = B_+ \cup B_+ r_j B_+$   
 $= r_j B_+ \cup y_{\alpha_j} B_+$ ,  $P_2 = B_1 \cup B_1 r_j r_i r_j B_1 = r_j r_i r_j B_1 \cup y_{r_j \alpha_i} B_1$ ,  $L = U^2(1,1) \cup U^2(2,1)$ .

Given a map  $f: P_2 \rightarrow (P_1/B_0 - \{r_j B_0\}) = y_{\alpha_j} B_0$  satisfying

$$(*) \quad f(p_2 b_1) = b_1^{-1} f(p_2)$$

we can define  $\tilde{f}: Z_w^1 \rightarrow L$  by  $\tilde{f}(p_2 B_1) = \overline{(p_2, f(p_2))}$ , and conversely, given

$\tilde{f}: Z_w^1 \rightarrow L$  satisfying  $g\tilde{f}(p) = p$ , we can define a (set) map  $f: P_2 \rightarrow (y_{\alpha_j} B_0)/B_0$

by requiring  $f(p_2)$  to be that element of  $P_1/B_0$  for which  $\tilde{f}(p_2 B_1)$  can be written in the form  $(p_2, f(p_2))$  (this determines  $f$  uniquely).

Now  $B_1 = (H \times y_{\alpha_j}) \times U^{\alpha_j} = L_j \times U^{\alpha_j}$ , and left translation by  $B_1$  induces the following action of  $L_j$  on the affine line  $y_{\alpha_j} B_+/B_+$ :

$$(\text{If } b = u \in U^{\alpha_j}, \text{ then } b^{-1} y_{\alpha_j}(t) B_+ = y_{\alpha_j}(t) B_+)$$

$$\text{If } b = y_{\alpha_j}(s), \text{ then } b^{-1} y_{\alpha_j}(t) B_+ = y_{\alpha_j}(t-s) B_+$$

$$\text{If } b = h_1(z_1) \dots h_N(z_N), \text{ then } b^{-1} y_{\alpha_j}(t) B_+ = y_{\alpha_j}(z_1^{a_{1j}} \dots z_N^{a_{Nj}} t) B_+.$$

Let  $\chi, \psi: K \rightarrow P_2$  be the maps  $\chi(t) = x_{r_j \alpha_i}(t) \dot{r}_j(1) \dot{r}_i(1) \dot{r}_j(1)$ ,  $\psi(t) = y_{r_j \alpha_i}(t)$

so that given  $p_2 \in P_2$ , either there exists a unique  $t \in K$  and  $b_1 \in B_1$  such that  $p_2 = \chi(t)b_1$ , or there exists a unique  $t \in K$  and  $b_1 \in B_1$  such that  $p_2 = \Psi(t)b_1$ . Given  $f: P_2 \rightarrow (y_{\alpha_j^+})/B_+$ , consider  $f_1 = f \circ \chi$ ,  $f_2 = f \circ \Psi$ , so that  $f_i: K \rightarrow y_{\alpha_j^+}$ . If  $c \neq 0$ , then  $\chi(c) = \Psi(c^{-1})h_i(-c)h_j((-c)^{-a_{ij}})u$  with  $u \in U^{\alpha_j}$ , and if  $f$  satisfies (\*), then

$$(**) \quad f_1(c) = (h_i(-c)h_j((-c)^{-a_{ij}})^{-1} \cdot f_2(c^{-1}))$$

for all  $c \neq 0$ . Conversely, given  $f_1, f_2: K \rightarrow y_{\alpha_j^+}$  satisfying (\*\*), one can construct  $f: P_2 \rightarrow P_1/B_+ - \{r_j B_+\}$ , and such a map  $f$  will automatically satisfy (\*).

We thus obtain a 1-1 correspondence between maps  $\tilde{f}: P_2/B_1 \rightarrow L$  satisfying  $g\tilde{f} = \text{identity}$ , and pairs  $f_1, f_2: K \rightarrow (y_{\alpha_j^+})/B_+$  satisfying (\*\*). One can check that, in this correspondence, the map  $\tilde{f}: Z_w^1 \rightarrow L$  is a morphism if and only if the maps  $f_1, f_2$  are morphisms when considered as functions on  $\mathbb{A}^1$ . Let's see when the latter is true:

If  $f_2$  is a morphism, then  $f_2(t) = y_{\alpha_j^+}(f_2^*(t))B_+$ , when  $f_2^*$  is a polynomial  $\in K[t]$ : (\*\*) then becomes  $f_1(c) = h_j((-c)^{a_{ij}})h_i(-c^{-1})y_{\alpha_j^+}(f_2^*(c^{-1}))B_+$ , and the right hand side is equal to  $y_{\alpha_j^+}((-c)^{a_{ij}}(-c)^{-2a_{ij}}f_2^*(c^{-1}))B_+$

$$= y_{\alpha_j^+}((-1)^{a_{ij}}c^{-a_{ij}}f_2^*(c^{-1}))B_+$$

so we must have  $f_1(c) = y_{\alpha_j^+}((-1)^{a_{ij}}c^{-a_{ij}}f_2^*(c^{-1}))B_+$  for all  $c \in K^\times$ . If in addition  $f_1$  is to be a morphism, then  $t^{-a_{ij}}f_2^*(t^{-1})$  has to be a polynomial also, hence  $\text{degree}(f_2^*) \leq -a_{ij}$ . Conversely, any polynomial  $f^*(t) \in K[t]$  of  $\text{degree} \leq -a_{ij}$  yields a section of  $L \rightarrow Z_w^1$  simply by reversing the process described above. This correspondence is clearly linear, so one concludes that  $\dim H^0(Z_w^1, L) = \dim\{\text{polynomials in } K[t] \text{ of degree } \leq -a_{ij}\} = 1 - a_{ij}$ ; thus, the degree of  $L$  is  $-a_{ij}$ .

We now examine the coordinate chart on  $Z_w^2$  more closely:

$$U_{(1,1)} = \{ a(x_1, y_1) = ( \dot{r}_j(1)y_{\alpha_i}(x_1)\dot{r}_j(-1), y_{\alpha_j}(y_1) ), x_1, y_1 \in K \}$$

$$U_{(2,1)} = \{ b(x_2, y_2) = ( \dot{r}_j(1)x_{\alpha_i}(x_2)\dot{r}_i(1)\dot{r}_j(-1), y_{\alpha_j}(y_2) ), x_2, y_2 \in K \}$$

$$U_{(1,2)} = \{ c(x_3, y_3) = ( \dot{r}_j(1)y_{\alpha_i}(x_3)\dot{r}_j(-1), x_{\alpha_j}(y_3)\dot{r}_j(1) ), x_3, y_3 \in K \}$$

$$U_{(2,2)} = \{ d(x_4, y_4) = ( \dot{r}_j(1)x_{\alpha_i}(x_4)\dot{r}_i(1)\dot{r}_j(-1), x_{\alpha_j}(y_4)\dot{r}_j(1) ), x_4, y_4 \in K \}$$

Using the relations

$$\dot{r}_i(-t)h_i(-t)=\dot{r}_i(1)$$

$$\dot{r}_k(1)h_m(t)\dot{r}_k(-1)=h_m(t)h_k(t^{-a_{mk}})$$

$$h_k(s)x_\alpha(t)h_k(s^{-1})=x_\alpha(s^{\langle \alpha, \alpha_k^v \rangle} t)$$

one can determine the intersections  $U_{(m,n)} \cap U_{(m',n')}$  as follows:

$$a(x_1, y_1) = b(x_2, y_2) \text{ if \& only if } x_1 x_2 = 1 \text{ \& } y_1 = y_2 (-x_2)^{a_{ij}}$$

$$a(x_1, y_1) = c(x_3, y_3) \text{ if \& only if } x_1 = x_3 \text{ \& } y_1 y_3 = 1$$

$$b(x_2, y_2) = d(x_4, y_4) \text{ if \& only if } x_2 = x_4 \text{ \& } y_2 y_4 = 1$$

$$c(x_3, y_3) = d(x_4, y_4) \text{ if \& only if } x_3 x_4 = 1 \text{ \& } y_3 = y_4 (-x_4)^{a_{ij}}$$

$$a(x_1, y_1) = d(x_4, y_4) \text{ if \& only if } x_1 x_4 = 1 \text{ \& } y_1 y_4 = (-x_4)^{a_{ij}}$$

$$c(x_3, y_3) = b(x_2, y_2) \text{ if \& only if } x_3 x_2 = 1 \text{ \& } y_3 y_2 = (-x_2)^{a_{ij}}$$

and  $\text{Im } h = \{c(x_3, 0)\} \cup \{d(x_4, 0)\}$ .

Using the coordinates  $X (=x_1=x_3)$ ,  $Y (=y_1)$ ,  $Z (=y_2)$ ,

$$1/X (=x_2=x_4), 1/Y (=y_3), 1/Z (=y_4),$$

the equations on the right reduce to the single equation

$$Y = Z(-X)^{-a_{ij}}$$

for  $X_{r_i r_j}$ , and  $\text{Im } h = \{Z=\infty, Y=\infty\}$ , from which it is easy to see that  $\text{Im } h$

is rigid (e.g.: as  $a_{ij} < 0$ , deformations of the form  $Z(-X)^{-a_{ij}} = \text{constant}$  will contain, in the limit, the fiber  $X=\infty$  as well as  $\text{Im } h$ ; and deformations of the form  $Y(-X)^{a_{ij}} = \text{constant}$  will tend to  $\text{Im } h \cup \{X=0\}$ ).

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