# Analysis of a Greedy Heuristic for Finding Small Dominating Sets in Graphs

# Abhay K. Parekh

Laboratory For Information and Decision Systems, M.I.T., Cambridge, MA 02139, USA

## Abstract

We analyze a simple greedy algorithm for finding small dominating sets in undirected graphs of N nodes and M edges. We show that  $d_g \leq N + 1 - \sqrt{2M + 1}$ , where  $d_g$  is the cardinality of the dominating set returned by the algorithm. *Keywords:* approximate algorithms, analysis of algorithms.

**Introduction** 

Let G(V, E) be an undirected graph with N nodes and M edges. A dominating set of G is a set of nodes such that every node not in the set is adjacent to at least one node in the set. A dominating set of smallest cardinality is known as a minimum dominating set. The problem of finding a minimum dominating set is combinatorially hard (its decision version is NP-complete [2]), so as a practical matter, one has to settle for approximate, but fast algorithms. In this note, we analyze the performance of a simple, greedy algorithm of this type. Let  $d_g$  be the size of the dominating set returned by the greedy algorithm, and let  $d_o$  be the cardinality of a minimum dominating set. We show that an upper bound on  $d_o$  due to Vizing [5], applies to  $d_g$  as well:

$$d_q \leq N+1-\sqrt{2M+1}.$$

#### Previous Work

The greedy algorithm considered here is an analog of one that has been analyzed by Chvatal [1] and others [3], [4] for finding small set covers. The focus there has been on comparing the cardinality of the set cover returned by the algorithm to that of the smallest set cover, in the worst case. Since any dominating set problem can be formulated as a set covering problem, the results for the set covering algorithm can be

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specialized to our problem. A result almost directly obtained from the work of Chvatal [1] is that

$$\frac{d_g}{d_o} \leq \sum_{i=1}^{(} \delta + 1) \frac{1}{i},$$

where  $\delta$  is the maximum degree of a node in the graph. The result of this paper describes the performance of the algorithm in terms of the dimensions of the graph, and should be viewed as complementary to the above result.

# Greedy: The Approximation Algorithm

Let  $V = \{1, ..., N\}$ , and define  $D = \phi$ . Greedy add a new node to D in each iteration, until D forms a dominating set. A node, j, is said to be "covered" if  $j \in D$  or if any neighbor of j is in D. A node that is not covered is said to be uncovered. (Since  $D = \phi$  at the beginning of the algorithm, it follows that all the nodes are initially uncovered.) In each iteration, put into D the least indexed node that covers the maximum number of uncovered nodes. Stop when all the nodes are covered. (Selecting the least numbered element is just a way of breaking ties.) An example of Greedy at work is provided in Figure 1.

Worst Case Performance in terms of N and M

Here we show that an upper bound on  $d_o$  due to Vizing [5] is met by  $d_g$  as well:

**Theorem 1.** For an undirected graph, G, with N nodes and M edges:

$$d_g \le N + 1 - \sqrt{2M + 1}.\tag{1}$$

**Proof:** First convert G into a directed graph by replacing every edge (i,j) by 2 directed edges (i,j) and (j,i), and by adding self-loops (i,i) at every node *i*. Interpret a directed edge (i,j) to mean that the uncovered node *j* would be covered if *i* were included in the dominating set. Define the outdegree of a node as the number of edges emanating from it. We can interpret *Greedy* on the constructed directed graph as follows: Include the least indexed node with the greatest outdegree in the dominating set; delete all edges coming into the neighborhood of that node; and if there are any edges left, include another node in the dominating set, else stop. To see that this is identical to *Greedy*, interpret a directed edge (i,j) to mean that if *i* were included in the dominating set, the previously uncovered node, *j*, would be covered by *i* (see figure 2).

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Suppose Greedy picks node  $v_i$  in the  $i^{th}$  iteration. Let S(i) be the set of previously uncovered nodes which were covered by  $v_i$ , and let  $|S(i)| = m_i$ . Finally, define  $E_i$  to be the number of edges left at the end of the  $i^{th}$  iteration coming from uncovered nodes i.e.  $E_i$  does not include edges from covered nodes. Set  $E_0 = 2M + N$ . Our strategy in the proof is to lower bound  $E_i - E_{i-1}$  in order to estimate the the maximum number of iterations  $d_g$  until there are no edges left, i.e.  $E_{d_g} = 0$ .

First we show that at most  $m_i^2$  edges from previously uncovered nodes are deleted in the  $i^{th}$  iteration. Consider some  $j \in S(i)$ . The outdegree of j can be at most  $m_i$ just before the  $i^{th}$  iteration. Now notice that no edges into an uncovered node can be deleted before the node is covered. Since j is uncovered before the  $i^{th}$  iteration, for each edge coming into j from a uncovered node, there is also an edge going out of j to that uncovered node. Thus there can be at most  $m_i$  edges coming into j from uncovered nodes, and the total number of edges running from previously uncovered nodes to members in S(i) is at most  $m_i^2$ . There may also be edges from previously covered nodes to members of S(i), but we need not consider them, since we are counting (in  $E_i$ ) only edges from uncovered nodes.

Next, we estimate the number of edges from S(i) to uncovered nodes which are not in S(i). These edges are not deleted by *Greedy*, but they are not counted in the definition of  $E_i$  either. It is clear that the outdegree of every node in S(i) must be  $\leq m_i - 1$ , since the self loops of all such nodes will have been deleted in the  $i^{th}$  step. Thus the number of outgoing edges from S(i), after iteration i is  $\leq m_i(m_i-1)$ . However, in the first iteration, we can tighten this bound slightly. We know that  $v_1 \in S(1)$ , and so all edges entering and leaving  $v_1$  will be deleted after the first iteration. Further, the other nodes in S(1) can have outdegree of at most  $m_2$  at the end of the first iteration. Thus, the number of edges (at the end of the first iteration) from S(1) to uncovered nodes not in S(1) is at most  $(m_1 - 1)m_2$ . Finally, note that no edges remain at the end of the last, i.e.  $d_q^{th}$  iteration, and so for this iteration the bound can be set to 0.

By definition of  $E_i$ , we conclude that:

$$E_i \ge E_{i-1} - m_i^2 - m_i(m_i - 1)$$
  
 $E_1 \ge E_o - m_1^2 - (m_1 - 1)m_2.$ 

 $E_{d_g} = 0$  by definition of  $d_g$ . Thus,

$$0 = E_{d_s} \geq -\left(\sum_{i=1}^{d_s} m_i^2 + (m_1 - 1)m_2 + \sum_{i=2}^{d_s - 1} m_i(m_i - 1)\right) + E_0.$$

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Solving for  $E_0$ :

$$E_0 \leq \sum_{i=1}^{d_g} m_i^2 + (m_1 - 1)m_2 + \sum_{i=2}^{d_g - 1} m_i(m_i - 1).$$
 (2)

Now notice that  $\sum_{i=1}^{d_g} m_i = N$ , and that  $m_i \ge 1$ .  $E_0$  can be upper bounded by maximizing the RHS of (2) with respect to the  $m_i$ 's subject to the constraints just mentioned. We claim that this maximum occurs when

$$m_1 = N - d_g + 1, m_2 = m_3 = \dots m_{d_g} = 1.$$

This is easily seen to be true by contradiction. Suppose the maximum is achieved so that the highest order  $m_i$  which is greater than 1 is not  $m_1$ , but say  $m_j, j > 1$ . Now reduce  $m_j$  to 1 and add  $m_j - 1$  to  $m_1$  (we can do this because none of the constraints are violated), and the difference in the RHS is seen to be positive. This contradicts the assumption.

Substituting the maximum values in the RHS of (2) we have:

$$E_0 = 2M + N \le (N - d_g + 1)^2 + d_g - 1 + (N - d_g),$$

$$\Rightarrow d_q \leq N+1-\sqrt{2M+1}.$$

#### Done

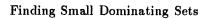
The bound of Theorem 1 is met exactly for graphs of the type shown in Figure 3. Acknowledgment

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# <u>References</u>

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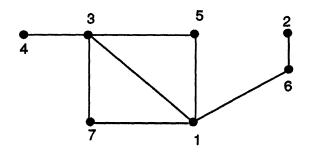
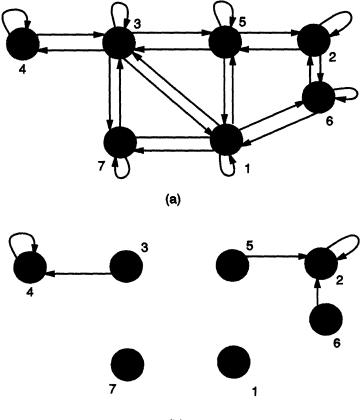


Figure 1. Greedy will return the set  $\{1,2,3\}$  (note that  $\{2,3\}$  is a minimum cardinality dominating set).

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(b)

Figure 2. (a) The graph of Figure 1 converted to a directed graph. (b) The graph at the end of the first iteration of Greedy. Note that  $E_1 = 2$ .

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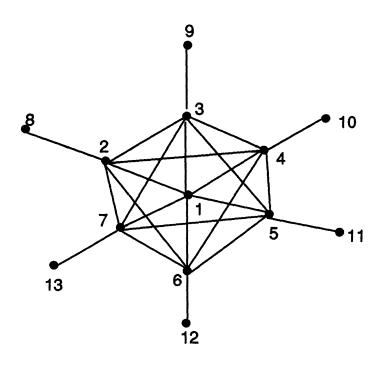


Figure 3. Greedy will return the set  $\{1, 2, ..., 7\}$ . Since N = 13, M = 24, we have  $7 = d_g \le 14 - \sqrt{49} = 7$ . Notice that  $d_o = 6$ , for example,  $\{2, 3, ..., 7\}$