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Spatiotemporal interpretation features in the recognition of dynamic images

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Abstract

Objects and their parts can be visually recognized and localized from purely spatial information in static images and also from purely temporal information as in the perception of biological motion. Cortical regions have been identified, which appear to specialize in visual recognition based on either static or dynamic cues, but the mechanisms by which spatial and temporal information is integrated is only poorly understood. Here we show that visual recognition of objects and actions can be achieved by efficiently combining spatial and motion cues in configurations where each source on its own is insufficient for recognition. This analysis is obtained by the identification of minimal spatiotemporal configurations: these are short videos in which objects and their parts, along with an action being performed, can be reliably recognized, but any reduction in either space or time makes them unrecognizable. State-of-the-art computational models for recognition from dynamic images based on deep 2D and 3D convolutional networks cannot replicate human recognition in these configurations. Action recognition in minimal spatiotemporal configurations is invariably accompanied by full human interpretation of the internal components of the image and their inter-relations. We hypothesize that this gap is due to mechanisms for full spatiotemporal interpretation process, which in human vision is an integral part of recognizing dynamic event, but is not sufficiently represented in current DNNs.



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1 Spatiotemporal interpretation features in the 2 recognition of dynamic images

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24 networks cannot replicate human recognition in these configurations. Action recognition in minimal

25 spatiotemporal configurations is invariably accompanied by full human interpretation of the internal
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27 full spatiotemporal interpretation process, which in human vision is an integral part of recognizing dynamic
28 event, but is not sufficiently represented in current DNNs.

29 **Introduction**

30 Previous behavioral work has shown that visual recognition can be achieved on the basis of spatial
31 information alone^{1,2}, and on the basis of motion information alone, as in biological motion³. At the
32 neurophysiological level, neurons have been identified that respond selectively to objects and event based
33 on purely spatial information, or motion information alone⁴⁻⁷. But several behavioral studies have also
34 provided strong support suggesting that a combination of spatial and temporal information can aid
35 recognition. A series of elegant experiments showing moving object image through a slit⁸⁻¹¹ suggest that
36 both shape and motion cues may cooperate to help recognition, but whether or how they may be integrated
37 remain unclear. Studies on perceptual organization from visual dynamics (e.g., dynamic grouping and
38 segmentation from motion¹²; spatiotemporal continuation and completion¹³) also combine motion and shape
39 information (e.g., spatial proximity or spatial orientation with common motion direction), but the role of
40 motion is typically limited in this case to figure-ground segmentation. A recent study has shown limitations
41 on the integration of spatial and temporal information in recognition by demonstrating how presenting
42 different parts of an object asynchronously leads to a severe disruption in recognition¹⁴ and that visually
43 selective neurophysiological signals are sensitive to this temporal information¹⁵.

44 One of the domains in which temporal information is particularly relevant is action recognition.
45 Several computational models have been developed to recognize actions from videos, combining spatial
46 with temporal information. For example, in recent computer vision challenges, the goal is to classify a video
47 clip (e.g., a 10 sec. length video) into one of several possible types of human activities (e.g., Playing Guitar,
48 Riding a Horse, etc.; UCF101 dataset by Soomro et al¹⁶; Kinetics dataset by Kay et al¹⁷). Modern models for
49 action recognition from spatiotemporal input are based on deep network features, and in terms of combining
50 spatial and temporal information they are partitioned into the following three groups: (i) Feed-forward
51 networks with 3D convolutional filters, where the temporal features are processed together with the spatial
52 ones via 3D convolutions in the space-time manifold¹⁸⁻²¹, but it remains unclear if and how shape and
53 motion cues are actually combined; (ii) Two-stream networks based on late integration of two network
54 ‘modules’ where one module is trained on spatial features (fine-tuned from pre-trained static recognition
55 network on ImageNet), and a second module is trained on optical flow from consecutive frames²²⁻²⁴. Here,
56 the integration of temporal and spatial features takes place at a subsequent, higher stage, whereas in human
57 vision motion has also a low-level role such as in figure-ground segmentation. (iii) Models combining deep

58 convolutional networks with Long Short-Term Memory²⁵ units based on recurrent connections²⁶. The input
59 is a sequence of frames, each of which is passed through a convolutional network followed by a layer of
60 LSTM units with recurrent connections. Here too, the integration of temporal and spatial features takes
61 place at late stages, and it is unclear how motion and spatial information are specifically integrated through
62 the recurrent connections.

63 Despite progress in action classification, it remains unclear whether current models make an adequate
64 and human-like use of spatio-temporal information. In order to evaluate the use of spatio-temporal
65 integration by computational models, it is crucial to construct test stimuli that ‘stress test’ the combination
66 of spatial and dynamic features. A difficulty with current efforts is that in many action recognition data sets
67 (e.g. UCF101) high performance can be achieved by considering purely spatial information^{23,24}, and
68 therefore those stimuli are not ideally set up to rigorously test spatiotemporal integration. As elaborated
69 below, an important aspect of using spatio-temporal information in human vision is the ability to “fully
70 interpret” an image, in contrast with current computational architectures which merely assign action labels.
71 Human recognition can not only label actions, but can also provide a full interpretation by identifying and
72 localizing object parts, as well as inferring their spatiotemporal relations. Existing schemes for
73 spatiotemporal interpretation use direct extensions of static semantic segmentation techniques²⁷⁻²⁹, which do
74 not provide the full human-like spatiotemporal interpretation.

75 Here we sought to develop a set of stimuli that can directly test the synergistic interactions of dynamic
76 and spatial information, to identify spatiotemporal features that are critical for visual recognition and to
77 evaluate current computational architectures on these novel stimuli. We tested *minimal spatiotemporal*
78 *configurations*, which are composed of a set of sequential frames (i.e., a video clip), in which humans can
79 recognize an object and an action, but where further small reductions in either the spatial dimension (i.e.,
80 reduction by cropping or down sampling of one or more frames) or in the time dimension (i.e., removal of
81 one or more frames from the video) would turn the configuration unrecognizable, and therefore also
82 uninterpretable for humans. This work follows recent studies on minimal configurations in static images
83 (termed Minimal Recognizable Configurations, or MIRC_s^{2,30,31}, extending the concept of minimal
84 configurations to the spatiotemporal domain). In static images, it was shown that at the level of minimal
85 configurations, small image changes can cause a sharp drop in human recognition², and that recognizable
86 minimal object images are also interpretable, i.e., humans can identify not only the object category but also
87 the internal object parts and their inter-relations³⁰. These properties provided a mechanism to study
88 computational models for human interpretation, and also to study the link between object recognition and
89 object interpretation in the human visual system^{30,31}. In particular, the sharp drop in recognition between
90 minimal images, and their similar, but unrecognizable sub-minimal images (i.e., the slightly reduced
91 images) was used to identify critical recognition features, which appear in the minimal, but not the

92 corresponding sub-minimal images. The goal in this study is then to similarly investigate critical
93 spatiotemporal features for recognition and interpretation, as well as integration of spatial and motion cues,
94 comparing minimal configurations with both its spatial and temporal sub-minimal versions.

95 We show that recognition can be achieved by efficiently combining spatial and motion cues, in
96 configurations where each source on its own is insufficient for recognition. Recognition and spatiotemporal
97 interpretation go together in these minimal configurations: once humans can recognize the object or action,
98 they can also provide a detailed spatiotemporal interpretation for them. These results pose a new challenge
99 for current spatiotemporal recognition models, since our tests show that existing models cannot replicate
100 human behavior on minimal spatiotemporal configurations. Finally, the results suggest how computational
101 models may be extended to better capture human performance.

102 **Results**

103 We first describe psychophysical experiments to find minimal spatiotemporal configurations in short
104 video clips taken from computer vision datasets, and report how human behavior changes when varying
105 critical dynamic parameters such as the frame rate in these configurations. We then describe human
106 spatiotemporal interpretation of minimal configurations, including the identified components within the
107 minimal configurations. Finally, we test existing computational models for recognition from spatiotemporal
108 input on our set of minimal configurations, and we compare the models' results with human recognition.

109 **A search for minimal spatiotemporal configurations**

110 The search for each minimal spatiotemporal configuration started from a short video clip, taken
111 from the UCF101 dataset¹⁶, in which humans could recognize a human-object interaction. We used
112 examples from the UCF101 dataset because they contain a single agent, performing a single action, and it is
113 a common benchmark for evaluating video classification algorithms in the computer vision literature. The
114 search included 18 different video snippets, from various human-object interaction categories (e.g., 'a
115 person rowing', 'a person playing violin', 'a person mopping', etc., see Table S1 in the supplementary file
116 for a full list). The original video snippets were reduced to a manually selected 50x50 pixel square region,
117 cropped from 2 to 5 sequential non-consecutive frames, and taken at the same positions on each frame (see
118 below for frame region selection). These regions served as the starting configurations in the search for
119 minimal spatio-temporal configurations described below. In the default condition, frames were presented
120 dynamically in a loop at a fixed frame rate of 2Hz (Methods). An example of a starting configuration and a
121 minimal spatiotemporal configuration is shown in Figure 1 and the path to create it is illustrated in Figure 2.

122 Frames and frame regions for the starting configurations were selected such that the agent, the
123 object, and the agent-object interaction were recognizable from each frame. The selected frames were

124 presented at a temporal interval of Δt (mean $\Delta t = 200msec \pm 100msec$, which encompasses the range of
125 time interval to complete a natural body movement in the video clips that we considered, e.g., to lift a hand,
126 etc.). An illustration of the starting configuration is shown in Fig. 1A. Because of the dynamic nature of the
127 stimuli used in this study, it is difficult to appreciate the effects from static renderings. Therefore, we
128 accompany the static figures with supplementary pps slide show files (e.g. Supplementary Slide Show 1 for
129 Fig. 1A). The starting configuration was then gradually reduced in small steps of 20% in size and resolution
130 (same procedure as in a previous study²). At each step, we created reduced versions of the current
131 configuration, namely five spatially reduced versions decreasing size and resolution, as well as temporally
132 reduced versions where a single frame was removed from the spatiotemporal configurations (Methods).
133 Each reduced version was then sent to Amazon's Mechanical Turk (MTurk), where 30 human subjects were
134 asked to freely describe the object and action. MTurk workers who tested on a particular spatiotemporal
135 configuration were not tested on additional configurations from the same action type (thus we needed
136 approximately 4000 different MTurk users to complete all the behavioral tasks in this study). The success
137 rates in recognizing the object and the action were recorded for each example. We defined a spatiotemporal
138 configuration as recognizable if more than 50% of the subjects described both the object and the action
139 correctly.

140 The search continued recursively for the recognizable reduced versions, until it reached a
141 spatiotemporal configuration that was recognizable, but all of its reduced versions (in either space or time)
142 were unrecognizable, and we refer to such a configuration as a 'minimal spatiotemporal configuration'. An
143 example of a minimal spatiotemporal configuration is shown in Fig. 1B, and the reduced sub-minimal
144 versions are shown in Fig. 1C-I. Most of the subjects (69%) were able to recognize the action ('mopping')
145 in the spatiotemporal configuration in Fig. 1B, consisting of two frames shown every 500 ms (2 Hz, the
146 default frame rate used for all minimal configurations). Showing each frame separately led to recognition
147 rates of 3% and 6%, respectively (Fig. 1C-D, we refer to these as temporal sub-minimal configurations). As
148 shown in Fig. 1C-D (and Fig. S1), in the cases tested the spatial content of the minimal and (temporal) sub-
149 minimal configurations is very similar (namely only minor spatial content is added to frame#1 by frame#2).
150 Yet a large difference in human recognition is recorded due to the motion signal. Image crop also led to a
151 large drop in recognition (16-37%, Fig. 1E-H, we refer to these as spatial sub-minimal configurations).
152 Keeping the number of pixels but blurring the image (reducing sampling distance by 20%) also led to a
153 large drop in recognition (to 3%, Fig. 1I). As shown in Fig. 1E-H, in the tested cases the motion content of
154 the minimal and (spatial) sub-minimal is very similar (namely, the pixels that are cropped out do not cut off
155 significant image motion). This implies that the motion signal alone is not a sufficient condition for human
156 recognition of minimal spatio-temporal configurations.

157 From the set of original video snippets, we searched for 20 minimal spatiotemporal configurations
158 similar to the one shown in Fig. 1. Four additional examples of minimal spatiotemporal configurations and
159 their sub-minimal versions are shown in Fig. S1. A prominent characteristic of minimal spatiotemporal
160 configurations was a clear and consistent gap in human recognition of the minimal configurations,
161 compared to their sub-minimal versions. The mean recognition rate was 0.71 ± 0.11 (mean \pm SD) for the 20
162 minimal spatiotemporal configurations (such as the one in Fig. 1B), 0.29 ± 0.15 for the spatial sub-minimal
163 configurations (such as the ones in Fig. 1E-I), and 0.16 ± 0.14 for the temporal sub-minimal configurations
164 (such as the ones in Fig. 1C-D). The difference in recognition rates between the minimal and sub-minimal
165 configurations were statistically highly significant: $P < 3.08 \times 10^{-12}$ and $P < 5.16 \times 10^{-08}$, $n=20$, one-
166 tailed paired *t test*, for the spatial and temporal sub-minimal configurations, respectively. The minimal
167 spatiotemporal configurations included 2 frames of $n \times n$ pixels, where $n = 20 \pm 7.1$ on average. Although
168 highly reduced in size, the recognition rate for the minimal spatiotemporal configurations was high, and not
169 far from the recognition rate of the original UCF101 video clips (mean recognition was 0.94 ± 6.7 for the
170 original UCF101 video clips, an average of 175 frames, each with 320x240 colored RGB pixels versus the 2
171 grayscale frames of average size 20x20 pixels). Recognition rates for the minimal spatiotemporal
172 configurations was also close to the recognition rates for the level above it in the search tree (the ‘super
173 minimal configuration’: mean recognition was 0.81 ± 0.74).

174 In the temporally reduced single frames shown in Fig. 1C-D, there is an entire frame of spatial
175 information missing. We asked whether the drop in recognition could be ascribed to the missing spatial
176 information, without the need to combine information temporally. To evaluate this possibility, we
177 introduced a condition where the two frames were presented side-by-side. The side-by-side simultaneous
178 presentation of the two frames from the minimal configuration without the dynamics was not sufficient to
179 improve recognition (mean performance 0.27 ± 0.17), and the gap between the side-by-side recognition rate
180 and the maximal single frame recognition rate (mean 0.21 ± 0.14) was not statistically significant ($P > 0.05$,
181 $n=20$, one-tailed paired *t test*).

182 Given that removing either spatial information or temporal information led to a large drop in
183 recognition performance, we asked whether it is possible to compensate for lack of spatial information by
184 adding more temporal information or, conversely, to compensate for the lack of temporal information by
185 adding more spatial information. A temporal sub-minimal configuration (e.g., a single frame) became
186 recognizable when more spatial information (i.e., more pixels) was added (Fig. 2). Similarly, a spatial sub-
187 minimal configuration (e.g., two dynamic frames of smaller size) became recognizable when more temporal
188 information (i.e., more frames) was added (Fig. S2). This trade-off between spatial and temporal
189 information was consistent for all the tested minimal configuration examples. In the example in Figure 2,

190 204 pixels were added (20x20 pixels versus 14x14 pixels), which was the maximum amount of pixels that
191 needed to be added to make temporal sub-minimal images recognizable across all the examples. Spatial sub-
192 minimal images required one additional frame to pass the recognition threshold. (within this range, maximal
193 recognition of the sub-minimal with additional pixels, i.e., the case where improvement was highest, was
194 0.66 ± 0.09 , and of sub-minimal images with additional frame 0.59 ± 0.10 . These are significant improvements
195 of the average recognition of the spatial sub-minimal, and temporal sub-minimal, as reported above. $P <$
196 3.04×10^{-3} , and $P < 8.38 \times 10^{-4}$, $n=6$, one-tailed paired *t test*, respectively).

197 **The frame rate impacts recognition of minimal spatiotemporal configurations**

198 Linking two or more frames for recognition, requires temporal integration of dynamic information.
199 We conjectured that the degree of temporal integration would be dependent on temporal spacing between
200 the frames. The results presented thus far were based on a fixed frame rate (2 Hz) and a fixed frame duration
201 (500 milliseconds), based on pilot experiments. Next, we investigated the dependence of recognition on the
202 presentation rate. The dependence of recognition on frame rate could be used to infer the role of motion
203 frequency as a component of natural dynamic recognition. We conducted further psychophysics
204 experiments by creating modified versions of the minimal spatiotemporal configurations in which we varied
205 the frame rate from 0.5 Hz to 8 Hz (Figure S4). Examples for such modified configurations are shown in Fig.
206 S4B (dynamic version shown in Supplementary Slide Show S4). There was a significant difference in
207 human recognition of the modified configurations for different frame rates ($P \leq 0.003$, $n=5$, one-way
208 ANOVA). Recognition rates dropped when the frame rate was reduced from the default of 2 Hz and there
209 was a lesser drop for higher frame rates (Figure S4A). We interpret these results to imply that too slow a
210 presentation impairs temporal integration and essentially recapitulates the temporally sub-minimal condition
211 where the two frames are presented separately or side-by-side.

212 There was a slight but noticeable dependence of the optimum frame rate on the specific action type
213 of image tested. Some spatiotemporal configurations were highly recognizable for one of the tested frame
214 rates but recognition dropped drastically as frame rate changed towards either higher or lower rates (e.g.,
215 Fig. S4B). In some cases, there was a phenomenon of ‘dramatic pairs’ showing large recognition drop
216 between two spatiotemporal configurations with identical frames but different frame rates. As examples, for
217 ‘playing a flute’ recognition rate was 0.65 when shown in frame rate of 4Hz but only 0.37 when shown in
218 8Hz. For ‘Biking’ recognition rate was 0.71 when shown in frame rate of 2Hz, but only 0.37 when shown in
219 1Hz. Still, we note that further investigation is required to quantify the dependence on the action in frame-
220 rate require, which is left for further research.

221

222 **Action recognition in minimal images is accompanied by full image interpretation**

223 We conjectured that when humans correctly recognize the action in the minimal spatiotemporal
224 configuration, they can not only label the action, but they can also provide a detailed localization of the
225 parts that are involved in the action, as well as the spatial and spatiotemporal properties and inter-relations
226 between parts in the image sequence (a similar case of identifying parts and relations was shown in static
227 minimal images³⁰). We refer to this detailed understanding of the image as ‘spatiotemporal interpretation’.
228 To test this conjecture, we ran a new series of experiments where subjects were instructed to describe
229 internal components of the images. MTurk subjects were presented with the minimal spatiotemporal
230 configurations, along with a probe pointing to one of its internal components. The probe could be either an
231 arrow pointing to a frame region, or a contour separating two regions of the frame (Fig. S3).

232 We evaluated image interpretation in 5 minimal spatiotemporal configurations and tested with MTurk
233 users. We defined a component as ‘recognized’ if it was correctly labeled by more than 50% of the subjects.
234 Average recognition for the 31 components that we evaluated was 0.77 ± 0.17 (see examples in Fig. 3). To
235 assess whether the dynamic spatiotemporal configurations were necessary for interpretation, we repeated the
236 experiment using the sub-minimal spatial and temporal versions, using the same procedure of inserting a
237 probe in the images. We computed the gap in recognition rate for each component when it appeared in the
238 minimal configuration versus when it appeared in its sub-minimal version. There was a significant decrease
239 in component recognition for the spatial sub-minimal versions (difference in component recognition rates =
240 0.41 ± 0.22 , $P \leq 6.8 \times 10^{-9}$, $n=31$, one-tailed paired *t test*), as well as a significant decrease in component
241 recognition for the temporal sub-minimal versions (difference in component recognition rates = 0.29 ± 0.20 ,
242 $P \leq 5.2 \times 10^{-9}$, $n=31$, one-tailed paired *t test*). An example of image interpretation for the “mopping”
243 action is shown in Fig. 3A (upper panel). Subjects could identify the action (mopping), the presence of a
244 person, and also the internal parts of the person figure, such as the legs, the internal parts of the object of
245 action, namely the mop stick and the mop head. In contrast, none of these internal parts could be reliably
246 identified in the reduced temporal and spatial sub-minimal versions, when one frame was removed (Fig. 3A,
247 lower panel), or when the frames were slightly cropped.

248 Interpretation of image components was not necessarily all-or-none. In some cases of partial
249 interpretation, subjects could recognize the human body, or body parts, but could not recognize the action
250 object and hence the activity type. In the example of ‘Playing a Violin’ in Fig. 3B, humans could recognize
251 few body parts (e.g., the arm and the head) from the sub-minimal configurations (lower panel), while in the
252 minimal configuration (upper panel) they could identify a richer set of body parts, as well as the objects of
253 action (i.e., the violin, the bow). The gap in recognition for object components was higher than that obtained
254 for all components reported above: the mean recognition rate for 10 object parts was 0.61 ± 0.08 for the

255 minimal spatiotemporal configuration, 0.21 ± 0.11 for the spatial sub-minimal configuration ($P \leq$
256 5.5×10^{-5} , $n=10$, one-tailed paired *t test*), and 0.11 ± 0.06 for the temporal sub-minimal configuration ($P \leq$
257 6.3×10^{-8} , $n=10$, one-tailed paired *t test*).

258 **Existing computational architectures for action recognition fail to explain human behavior**

259 To further understand the mechanisms of spatiotemporal integration in recognition, we tested
260 current models of spatiotemporal recognition on our set of minimal spatiotemporal configurations, and
261 compared their recognition performance to human recognition. Our working hypothesis was that minimal
262 dynamic configurations require integrating spatial and dynamic features, which are not used by current
263 models. The tested models included the C3D model by Tran et al^{19,20}, the two-stream network model by
264 Simonyan & Zisserman²², and the RNN-based model by Donahue et al²⁶, which have recently achieved a
265 winning record on popular benchmarks for action classification in videos (e.g., the UCF-101 challenge), and
266 which come from three different approaches to spatiotemporal recognition (namely, the 3D Convolutional
267 Networks, the Two-Stream Networks, and RNN networks, respectively, as mentioned in the Introduction).

268 Our computational experiments included three types of tests with increasing amount of specific
269 training, to compare human visual spatiotemporal recognition with existing models. In the first tests, models
270 were pre-trained on the UCF-101 dataset for video classification. We tested such pre-trained models on our
271 set of minimal spatiotemporal configurations, to explore their capability to generalize from real-world video
272 clips to minimal configurations. Our test set included 20 minimal spatiotemporal configurations, from 9
273 different human action categories: Biking, Rowing, Playing violin, Playing flute, Playing Tennis, Playing
274 Piano, Mopping, Cutting, and Typing. The accuracy for all the models was low: top-1 average accuracy was
275 0/20 for a C3D deep convolutional network based on ResNet-18²¹, and 1/20 for a C3D deep convolutional
276 network based on ResNet-101²¹ (see Methods for implementation details). Although humans were only
277 given one chance for labeling the video sequences, several studies in the computer vision literature report
278 top-5 accuracy (a label is considered to be correct if any of the top 5 labels is correct). The average top-5
279 accuracy was 0.10 for C3D based on ResNet-18, and 0.20 for the C3D based on ResNet-101 (algorithms
280 based on the two-stream network, and the RNN-based model did not provide better results, see Methods).
281 These recognition rates are significantly lower than the classification accuracy achieved by these models for
282 the original full video clips, from which we cropped the minimal configurations ($P \leq 3.8 \times 10^{-5}$, $n=4$, one-
283 tailed paired *t test*). An example comparing humans and the C3D model for a minimal spatiotemporal
284 configuration is shown in Fig. S5. The correct answer is not among the top 10 in this case.

285 The models considered thus far had no training with the minimal configurations (the same holds for
286 the human subject). Next, we evaluated whether training the models with minimal spatiotemporal

287 configurations (fine-tuning) could help improve their performance. We used a binary classifier based on the
288 convolutional 3D network model (C3D^{19,20}), which was pre-trained on the SportM dataset: the network was
289 originally trained on 1M video clips from 427 different sport actions¹⁸. The network was then fine-tuned on
290 a training set including 25 positive examples similar to a minimal spatiotemporal configuration from a
291 single category and type (the ‘rowing’ minimal configuration, see examples in Fig. 4A. All positive
292 examples were validated as recognizable to humans), as well as 10000 negative examples (e.g., Fig. 4B. See
293 methods). The binary classifier was then tested on a novel set of 10 positive examples and 5000 negative
294 examples, similar to the ones in training. Since our set of positive examples was constrained to specific
295 body parts and specific viewing positions in ‘rowing’ video clips, the fine-tuned classifier was able to
296 correctly classify most of the random negative examples; the Average Precision (AP) was 0.941. Still, a
297 non-negligible set of negative examples was given high positive score by the fine-tuned model, from which
298 we composed a new set that we refer to as ‘hard negative spatiotemporal configurations’ for further tests.
299 The hard negative configurations included 30 examples of spatiotemporal configurations that were
300 erroneously labeled by the fine-tuned network model (see examples in Fig. 4E). Comparing accuracy of
301 human and network recognition for the set of hard negative configurations further revealed a significant
302 gap: humans were not confused by any of the hard negative examples (AP = 1; see Fig. S6-C), while the
303 fine-tuned network scored the hard negatives higher than most positive examples (AP=0.18; See Fig. S6-F).

304 A distinctive property of recognition at the minimal level is the sharp gap between minimal and
305 sub-minimal images. We therefore further compare recognition by the binary CNN classifier and human
306 recognition, we tested whether the network model was able to reproduce the gap in human recognition
307 between the minimal configurations and their spatial and temporal sub-minimal ones. For this purpose, we
308 collected a set of minimal and sub-minimal dynamic configurations showing a large gap in human
309 recognition, which did not overlap with the training set for the network model. We tested the fine-tuned
310 network model on a set containing 10 minimal configurations, 20 temporal sub-minimal configurations (e.g.,
311 Fig. 4F), and 20 spatial sub-minimal configurations (as in Fig. 4D), all from the same category of ‘rowing’
312 in a similar viewing position and size. The network model was not able to replicate human recognition over
313 this test set. While there was a clear gap in human recognition between minimal and spatial sub-minimal
314 spatiotemporal configurations (average gap in human recognition rate 0.63; see Fig. S6-A), and between
315 minimal and temporal sub-minimal spatiotemporal configurations (average gap in human recognition rate
316 0.68; see Fig. S6-B), the differences in recognition scores given by the network model for the minimal and
317 sub-minimal examples were small (see Methods). In sum, none of the tested models, even when fine-tuned
318 with minimal dynamic configurations described here, were able to account for human recognition of
319 minimal spatiotemporal configurations.

320

321 Existing computational architectures do not integrate time and space cues the way humans do

322 The psychophysics data in Sec. 2 and Sec. 3 shows that processing of minimal spatiotemporal
323 configurations in the human visual system requires the combining of motion and spatial information. We
324 next compared the use of motion information by the human system and current CNN models (such as C3D)
325 in the recognition of minimal spatiotemporal configurations. For this purpose, we compared the recognition
326 of minimal and sub-minimal spatiotemporal configurations by two network models: (i) A purely spatial
327 VGG19 network model, pre-trained on ImageNet and fine-tuned on frames of minimal configurations (see
328 Methods), and (ii) The C3D model, which is a spatiotemporal adaptation of the spatial VGG19 via 3D
329 convolutional operations, pre-trained on ImageNet and UCF101 and fine-tuned on minimal configurations.
330 Our goal was to quantify the match between the two models and human recognition on minimal
331 configurations, in order to understand the contribution of temporal processing in the C3D model compared
332 with static VGG19 architectures and to human behavior.

333 For the static VGG19 model, the recall gap between ‘rowing’ minimal configurations and spatial
334 sub-minimal configurations was 0.34 (see Fig. S6-G), a large difference from the corresponding human gap
335 (0.63, as mentioned above). For the dynamic C3D model, the recall gap between the temporal sub-minimal
336 and the minimal configurations was 0.37 (see Fig. S6-H), which was also very different from the
337 corresponding human gap (0.68, as mentioned above). We also tested the VGG19 and C3D models on a set
338 of hard-negative examples. (For this we repeated the test for hard negatives for C3D, and collected a set of
339 30 hard negative examples for the fine-tuned VGG19 model). Comparing human and VGG19 recognition
340 for the set of hard negatives showed a difference in recognition accuracy (AP=0.64 for VGG19, See Fig.
341 S6-I. Humans were not confused by any of the hard negatives: AP=1), but also a gap in recognition
342 accuracy between the VGG19 and C3D models (0.64 vs. 0.18). This shows that the Average Precision for
343 VGG19 is higher, closer to humans, than the AP for C3D model, indicating that the VGG19 was better at
344 rejecting hard negative examples.

345 To conclude, the test results show that VGG19 is better than C3D in replicating human behavior for
346 spatial sub-minimal configurations (recall gap: 0.34 for VGG19, 0.02 for C3D, 0.63 for humans) and for
347 hard negative examples (AP=0.64 for VGG19, 0.18 for C3D, 1 for humans), but the C3D is better
348 than VGG19 in replicating human behavior for temporal sub-minimal examples (recall gap was 0.37 for
349 VGG19 vs. 0.78 for C3D, 0.68 for humans). We suspect that the reason for the latter is that the C3D is
350 sensitive to basic dynamic features, which are not contained in our temporal sub-configurations, and which
351 the spatial VGG19 cannot capture. The more surprising point is that for the spatial sub-configurations and
352 the hard negative examples, the motion information that is added in the C3D is contributing very little, if
353 any, to replicating human behavior. The different conditions and results above as summarized in Table S2.

354 Since minimal dynamic configurations are limited in their amount of visual information, and require
355 efficient use of the existing spatial and dynamic cues, comparing their recognition by humans and existing
356 models uncovers differences in the use of the available information. By using these configurations, the
357 experimental results above point to fundamentally different integration of the available time and space in
358 formation by humans and the tested network models.

359 **Discussion**

360 We presented here minimal spatiotemporal configurations in which, by construction, all spatial and
361 temporal visual information is required for human recognition (Figure 1). A slight change of the minimal
362 configurations either in the spatial or temporal dimensions, led to a drastic drop in recognition of the action
363 and objects in the scene. There was a trade-off between spatial and temporal information: adding more
364 spatial information could enhance recognition when temporal information was insufficient and adding
365 temporal information could enhance recognition when spatial information was insufficient (Figure 2).
366 Action recognition in the minimal configurations was accompanied by interpretation of the different image
367 parts and their interactions (Figure 3). State-of-the-art computational models of action recognition were
368 unable to replicate the human behavior findings.

369 The minimal spatiotemporal configurations contained a mixture of both static features (e.g., the legs and
370 torso of the person playing the violin do not change in time) and moving features (e.g., the hand and bow
371 are moving); both are crucial for human recognition and interpretation, as revealed by the sharp transition to
372 unrecognizable spatial and temporal sub-minimal configurations. Previous works have shown how moving
373 features alone (e.g., all features are moving in biological motion studies³² and in the slit experiments¹¹) can
374 be sufficient for action recognition. Many previous studies have also shown that static features can be
375 sufficient for action recognition^{31,33}. In contrast to the distinction between dynamic and static features
376 suggested by those previous studies, we show that the interpretation is not divided into two separate
377 channels, one for motion-based recognition, the other static: a particular mix of spatial and temporal features
378 drives recognition and interpretation of minimal spatiotemporal configurations.

379 A known role of dynamics in scene understanding is to provide the dynamical aspects of objects in the
380 scene. For example, a ‘hand touching a box’ can already be recognized in each individual frame in a
381 sequence; however, a sequence of the hand and box objects in motion is required for the action ‘moving a
382 box’ to be recognized. Much of the computational vision literature has focused on this aspect of dynamics –
383 the motion trajectories associated with objects that can be identified statically^{27,34}. Minimal spatiotemporal
384 configurations identify natural images that must have dynamics, as well as specific spatial cues, to allow
385 recognition and interpretation by humans. These spatiotemporal configurations can thus be used to study the

386 mechanisms subserving integration of spatial and temporal information, and the trade-off in human visual
387 processing, between static and motion cues during visual recognition.

388 State-of-the-art deep learning models failed to capture human recognition of minimal spatiotemporal
389 configurations, even when the models are fine-tuned for the task, and are trained with similar minimal
390 configurations. This limitation motivates a future study of spatiotemporal features and computational
391 recognition models that can better predict human behavior. The minimal spatiotemporal configurations
392 provide a tool to study critical spatiotemporal features, as well as space-time dependency, by exploring the
393 differences between the recognizable minimal configurations and their slightly reduced but unrecognizable
394 sub-minimal versions. Future studies could extend recent modeling of full interpretation of spatial minimal
395 images^{30,31}, to the modeling of full spatiotemporal interpretation, leading to a better understanding and more
396 accurate modeling of spatio-temporal integration and human recognition.

397 **Methods**

398 **Setting initial spatiotemporal configuration:** The normalized frame size, the frame rate, and presentation
399 as animated GIF. The initial spatiotemporal configuration was created as follows: we selected 2 to 5 frames
400 from the original video clip, from which the action and object were recognizable to the MTurk users,
401 according to our criterion, and normalized their frame size to 50x50 image samples (pixels) and to graylevel
402 colors. We then built a spatiotemporal configuration in which the selected normalized frames repeat in a
403 loop at a fixed frame rate of 2 frames/second (2Hz). The spatiotemporal configuration was presented as
404 animated GIF format. The choice of 2Hz frame rate was made since it provided the best recognition
405 accuracy by the MTurk users.

406 **Testing pre-trained network models on minimal spatiotemporal configurations:** For 3D convolutional
407 networks, we used the implementations by Hara et al²¹, based on Resnet-18 and Resnet-101, which are
408 currently the leading architectures in the UCF101 challenge. The models were pre-trained on the very large
409 Kinetics dataset by Kay et al., 2017, then fine-tuned for the UCF101 benchmark. For two-stream network
410 we used the implementation by Feichtenhofer et al., 2016, based on Resnet-50. The model was pre-trained
411 on ImageNet, and then fine-tuned on the UCF101 benchmark. For the RNN-based model we used the
412 implementation by Donahue et al., 2015. Frames are input to layer of CNNs (based on AlexNet), then input
413 to layer of LSTMs, scored by averaging across all video frames.

414 **Negative examples for fine-tuning DNNs with minimal spatiotemporal configuration:** 10000 negative
415 examples were collected containing spatiotemporal configurations of a similar frame size and frame length
416 as the positive set (minimal spatiotemporal configurations of the same class and type, e.g., ‘rowing’ as in
417 Fig. 4A), but taken from different categories (i.e., non-‘rowing’) video clips (e.g., Fig. 4B). This asymmetry

418 in size of positive and negative sets, is because negative examples were easier to find and to test
419 psychophysically than the positive examples. Despite this asymmetry, a large set of negative examples can
420 still contribute to the training process of deep CNNs³⁵ when using standard data balancing techniques.

421 **Comparing minimal vs. sub-minimal recognition gap between humans and models:** To compare the
422 model and human recognition gap, we set the acceptance rate of the binary classifier to match the average
423 human recognition rate (e.g., 78% of the minimal spatiotemporal configuration for ‘rowing’), and then
424 compared the percentage of the minimal vs. spatial sub-minimal configurations that exceeded the network-
425 based classifier’s acceptance (hereinafter the network ‘recall’; a similar method was used in Ullman et al.,
426 2016²). For the C3D model, the recall gap between ‘rowing’ minimal configurations and spatial sub-
427 minimal configurations was 0.02 (see Fig. S6-D), which is far from the recognition gap observed in humans.
428 To test temporal sub-minimal configurations, we composed spatiotemporal configurations containing one
429 frame from the minimal configuration, and a noise frame (see methods). The reason is that configurations
430 with zero dynamics are trivially rejected by the C3D model. Nevertheless, distinguishing between the
431 ‘rowing’ temporal sub-minimal and the minimal configurations was less difficult for the C3D model, with a
432 recall gap of 0.78 (see Fig. S6-E. All temporal sub-minimal configurations received a very low recognition
433 score by the C3D model), which was close to the human gap.

434 **Constructing spatial VGG19 model for recognizing minimal spatiotemporal configuration:** The spatial
435 VGG19 model was constructed as a binary classifier (based on the pre-trained ImageNet version), which
436 was fine-tuned on all frames from the positive and negative dynamic examples in the train set for the C3D
437 mentioned above. When a novel dynamic configuration example was given to the VGG19, we applied the
438 VGG19 network separately to each frame, and considered the maximal VGG score for the frames as the
439 final returned recognition score. We tested the VGG19 on the three test sets mentioned above for the C3D,
440 and then compared results for the VGG19 and C3D convolutional networks.

441 **Reporting Summary:** Further information on experimental design is available in the Nature Research
442 Reporting Summary linked to this article.

443 **Data availability:** The data that support the finding of this study are available from the corresponding
444 author upon request.

445 **Code availability:** The computer codes are available from the corresponding author upon request.

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451 Technology Centers Award CCF-1231216.

452 **Author contributions**

453 The experiments and ideas were jointly developed by GBY, GK and SU. GBY conducted all the
454 experiments, computational simulations and analyzed all the data. The paper was written by GBY, GK and
455 SU.

456 **Competing interests**

457 The authors declare no competing interests.

458 **Additional information**

459 Supplementary information is available for this paper (file attached).

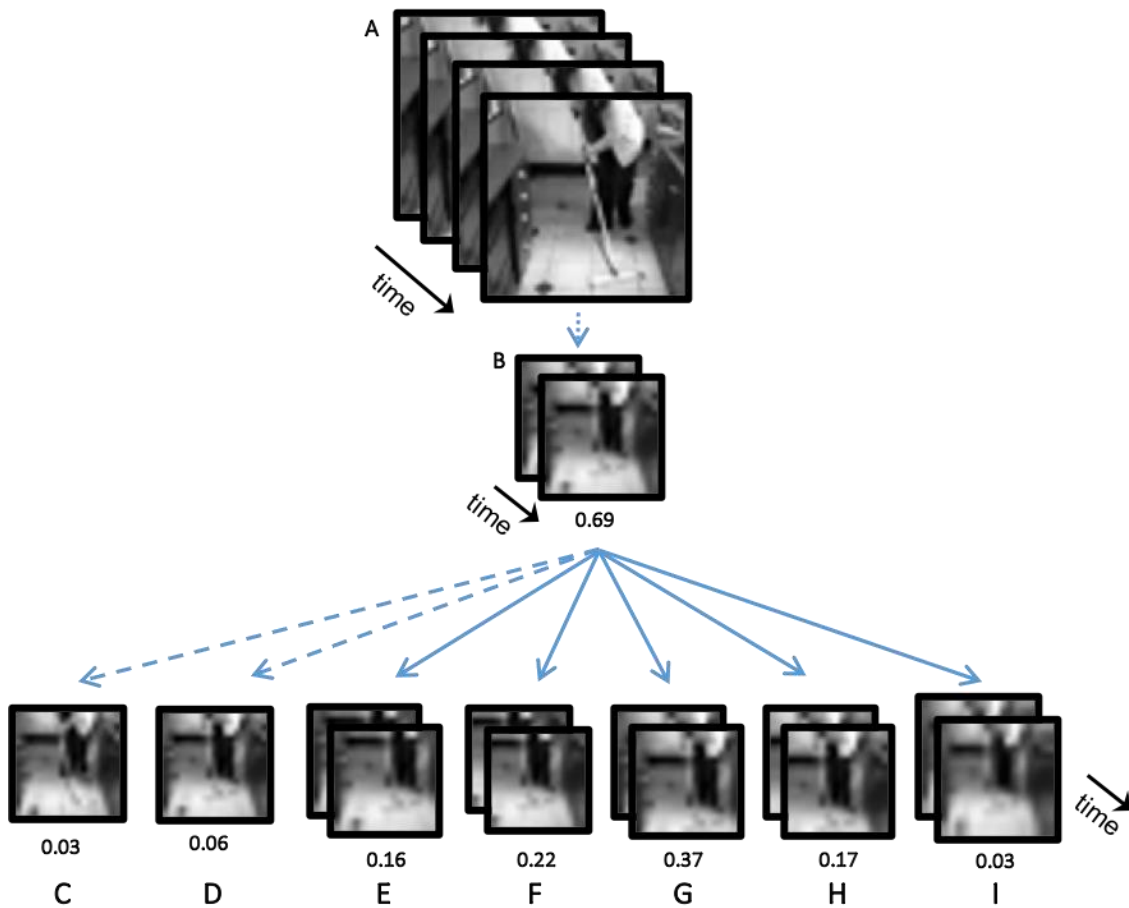


Figure 1. Example of a minimal spatiotemporal configuration. A short initial video clip showing ‘mopping’ activity (A) was gradually reduced in both space and time to a minimal recognizable configuration (B) (Methods). The numbers on the bottom of each image show the fraction of subjects who correctly recognized the action (subjects see only one of these images). The spatial and temporal trimming was repeated until none of the spatially reduced versions (E-I, solid connections) or temporally reduced versions (C,D, in dashed connections) reached the recognition criterion of 50% correct answers. **Spatial reduced versions:** In E each frame was cropped in the top-right corner, leaving 80% of the original pixel size in B. F,G,H are similar versions where the crop is on the top-left, bottom-right, and bottom-left corners, respectively, I is a version where the resolution of each frame was reduced to 80% of the frame in B. **Temporal reduced versions:** A single frame was removed, resulting in static frame#1 in C, and static frame#2 in D. See Supplementary file ‘fig1.ppsx’ for animated version of the dynamic configurations.

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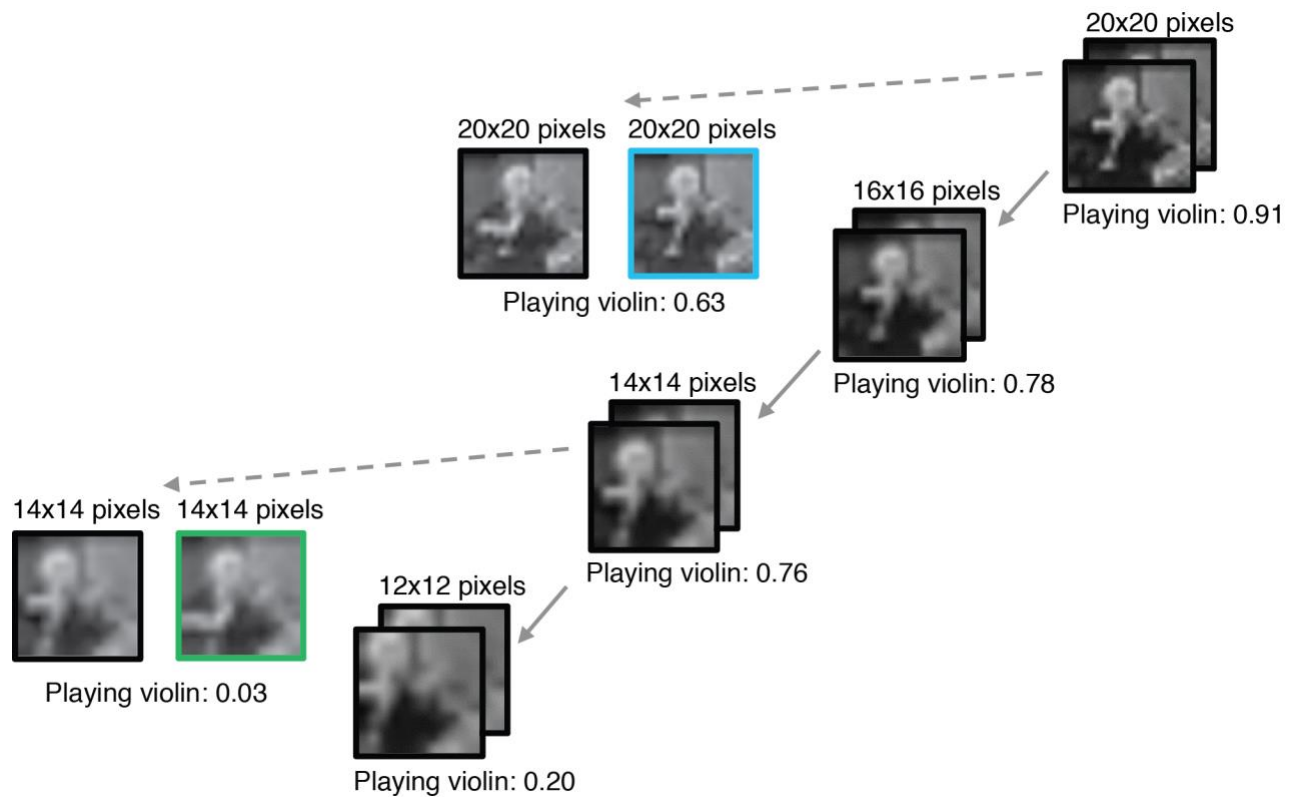


Figure 2. Trade-off between spatial and temporal information. Solid connectors represent spatially reduced versions, dashed connectors represent temporal reduced versions. The numbers below each configuration represent the fraction of subjects that correctly identified the action “playing violin”. The temporally sub-minimal single-frame green configuration is not recognizable, but it becomes recognizable when more spatial information (i.e., more pixels) is added in the single-frame configuration in blue. The converse also holds: adding temporal information to a spatial sub-minimal configuration can recover performance (Figure S2). See Supplementary file ‘fig2.ppsx’ for animated version of the dynamic configurations.

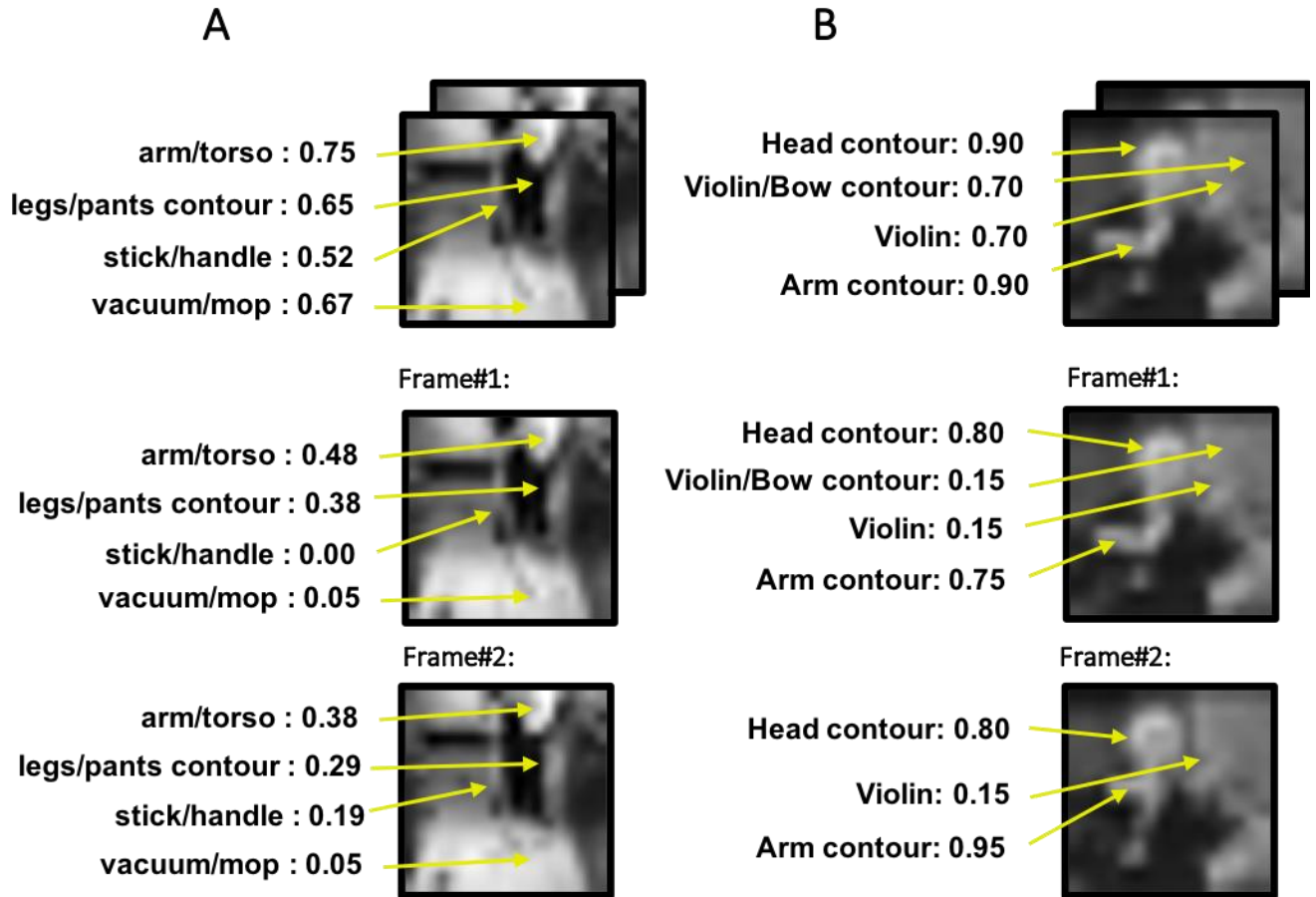


Figure 3. Spatiotemporal interpretation. When humans could recognize the object and action, they could also identify a set of internal components of the agent and the object of action (top). In contrast, humans could not recognize these internal components (or could partially recognize them) in the sub-minimal versions (bottom four panels). Here are some of the recognized semantic components of minimal spatiotemporal configurations for ‘mopping’ (in **A**) and ‘Playing a violin’ (in **B**). The numbers indicate the rate of correct identification of part, when human subjects were presented with the minimal configuration along with a probe pointing to the part location. Bolded entries indicate large differences between the minimal and sub-minimal configurations.

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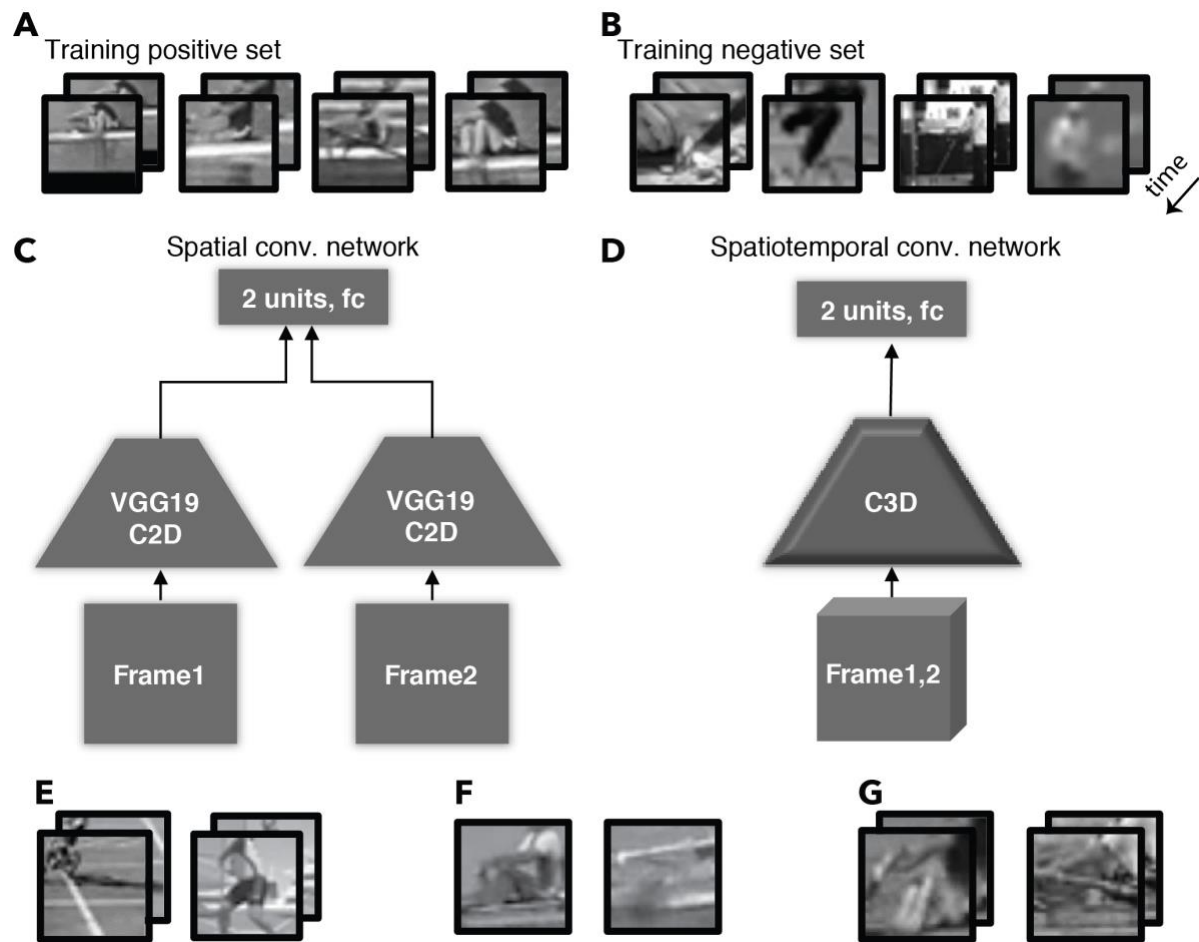


Figure 4. Testing minimal configurations with existing models for spatiotemporal recognition. (A-B) A binary classifier is trained to separate a positive set of similar minimal images (“rowing”), showing the same action at the same body region and viewing position (A) from a negative set (“not rowing”) including non-class images of the same size and style as the minimal configurations (B).

(C) One type of binary classifier was based on CNNs with 2D convolutional filters, followed by taking the maximum detection score from each frame. (D) Another type of binary classifier was based on CNNs with 3D convolutional filters (Duran et al., 2015;2018), which was fine-tuned with the positive and negative sets in A and B.

(E-G) The binary classifiers could not replicate human recognition, and performance by 3D and 2D CNNs was similar. Six example configurations that were misclassified including two of the same size (E), two temporally sub-minimal (F) and two spatially sub-minimal (G).). See Supplementary file ‘fig4.ppsx’ for animated version of the dynamic configurations.

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541 **Supplementary**

542 **Tables:**

UCF101 Categories use to for search of minimal spatiotemporal configuration
Biking,
Rowing,
Playing violin,
Playing flute,
Playing Tennis,
Playing Piano,
Mopping,
Cutting,
Typing.

543 **Table S1**

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Tests comparing humans and computational models:	Humans	C3D model (fine-tuned on minimal configurations)	VGG19 model (fine-tuned on minimal configurations)
Classifying minimal configurations vs. ‘hard’ non-class examples	Ave. Precision =1	Ave. Precision =0.18	Ave. Precision =0.64
Recognizing minimal vs. spatial sub-minimal configurations	Recall gap = 0.68	Recall gap = 0.78	Recall gap = 0.37
Recognizing minimal vs. temporal sub-minimal configurations	Recall gap = 0.63	Recall gap = 0.02	Recall gap = 0.34

545 **Table S2**

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562 **Figures:**

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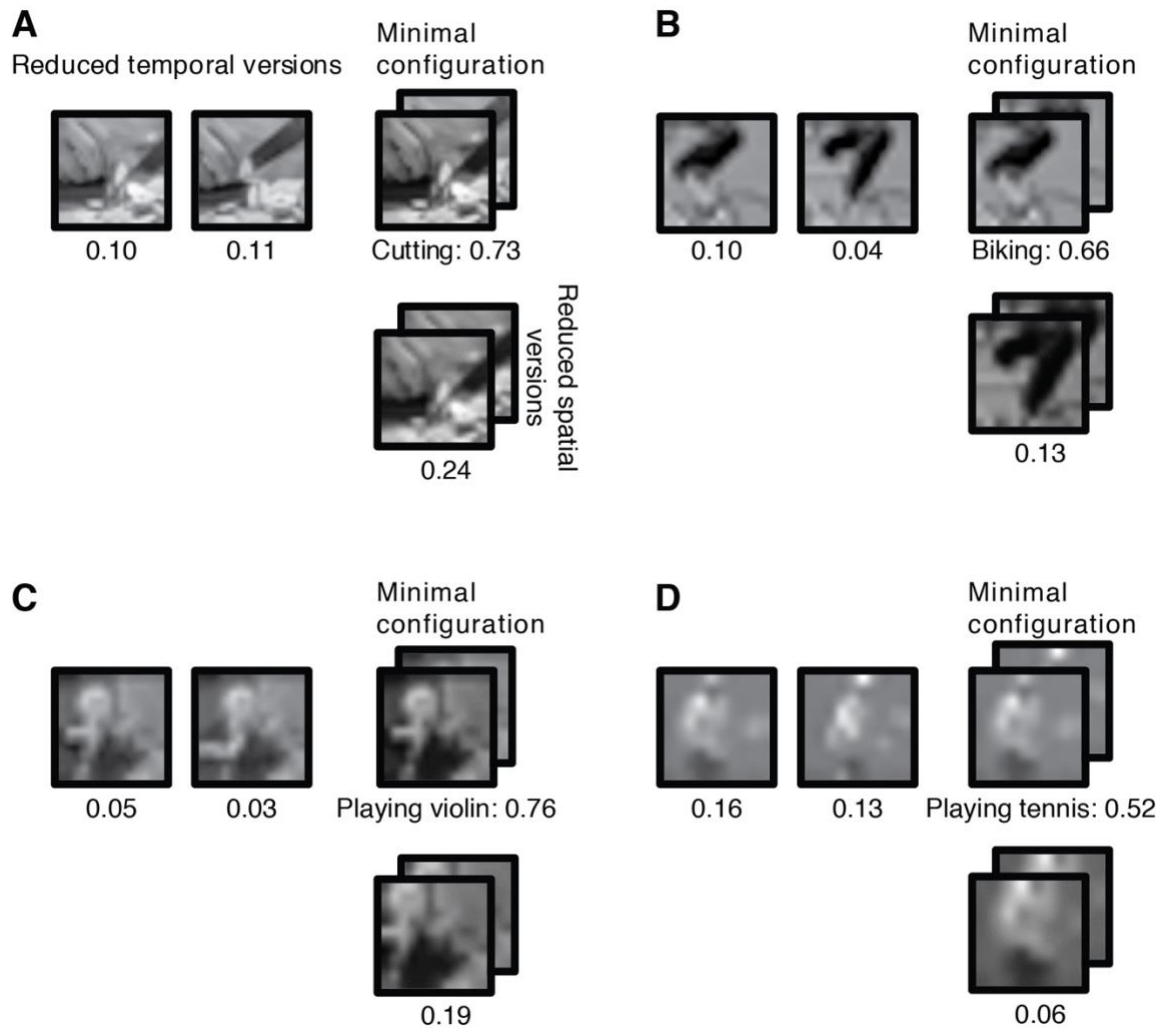
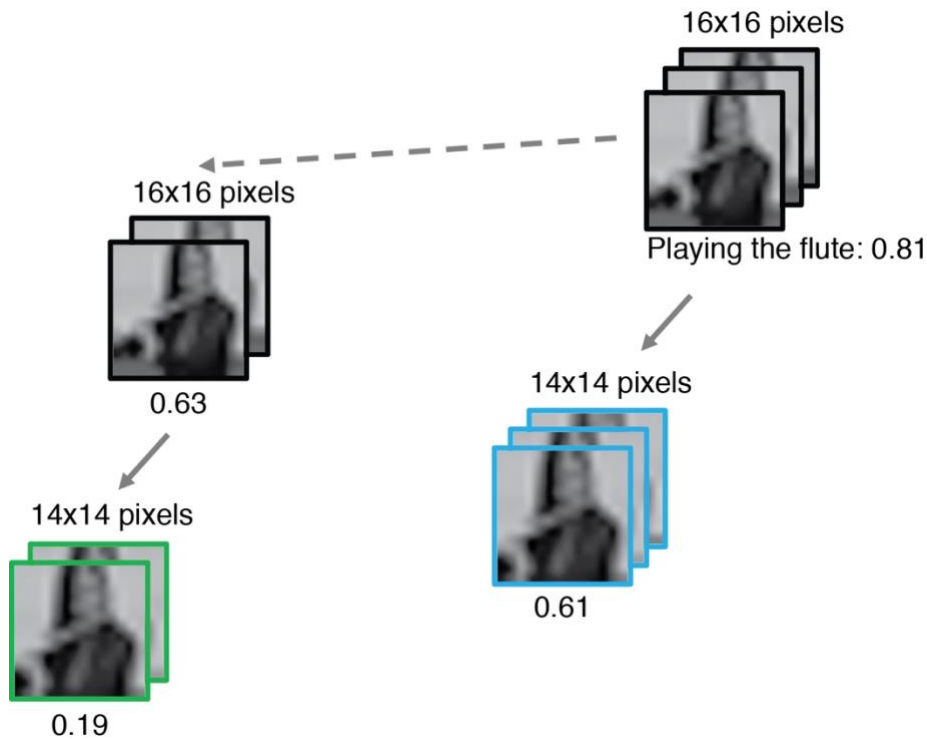


Figure S1. Examples of minimal and sub-minimal spatiotemporal configurations. Each minimal spatiotemporal configuration is shown next to its temporal sub-minimal versions (left), and its spatial sub-minimal version (below). The number represents the percentage of correct recognition responses for the action denoted below each minimal configuration (recall that MTurk users who tested on a minimal configuration were not tested on its sub-minimal configurations). Tags for similar actions were considered correct as well (e.g., Playing Baseball was considered similar to Playing Tennis). In the presented minimal images both the human object and the action category were recognized. In the presented sub-minimal image the actions were not recognized. The person object was partially recognized in C and D (see Fig. 3), and was not recognized in either A or B. See Supplementary file 'figS1.ppsx' for an animated version.

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FigureS2. Trade-off between spatial and temporal information. Solid connectors represent spatially reduced versions, while dashed connectors represent temporal reduced versions. The spatial sub-minimal 2-frame green configuration is not recognizable, but it becomes recognizable when more temporal information (i.e., more frames) is added, as shown in the 3-frame configuration in blue. The converse also holds: adding spatial information can recover performance for a temporal sub-minimal configuration (Figure 2). See supplementary file 'figS2.ppsx' for animated version.

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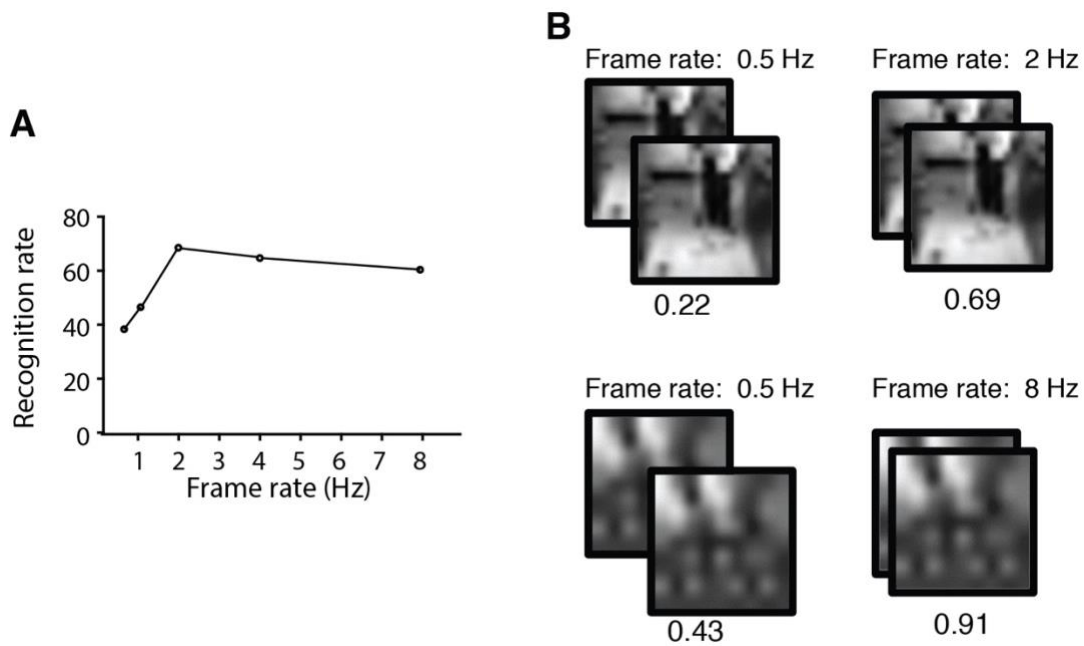


FigureS3. Interpretation experiment via MTurk.

(A). Arrow probes (red) were inserted to each frame in a minimal configuration (here: 'mopping' action) pointing to a specific part (here pointing to the mop/vacuum). The modified frames were then shown repeatedly one after another as a spatiotemporal configuration with 2Hz frame rate. Human subjects were then asked to tag the object part pointed by the arrow.

(B). A contour (red) was plotted along the border of a given object part (here along the border of a 'legs', or 'pants') for each frame of the minimal spatiotemporal configuration. Subjects were then asked to tag the parts shown on both sides of the contour.

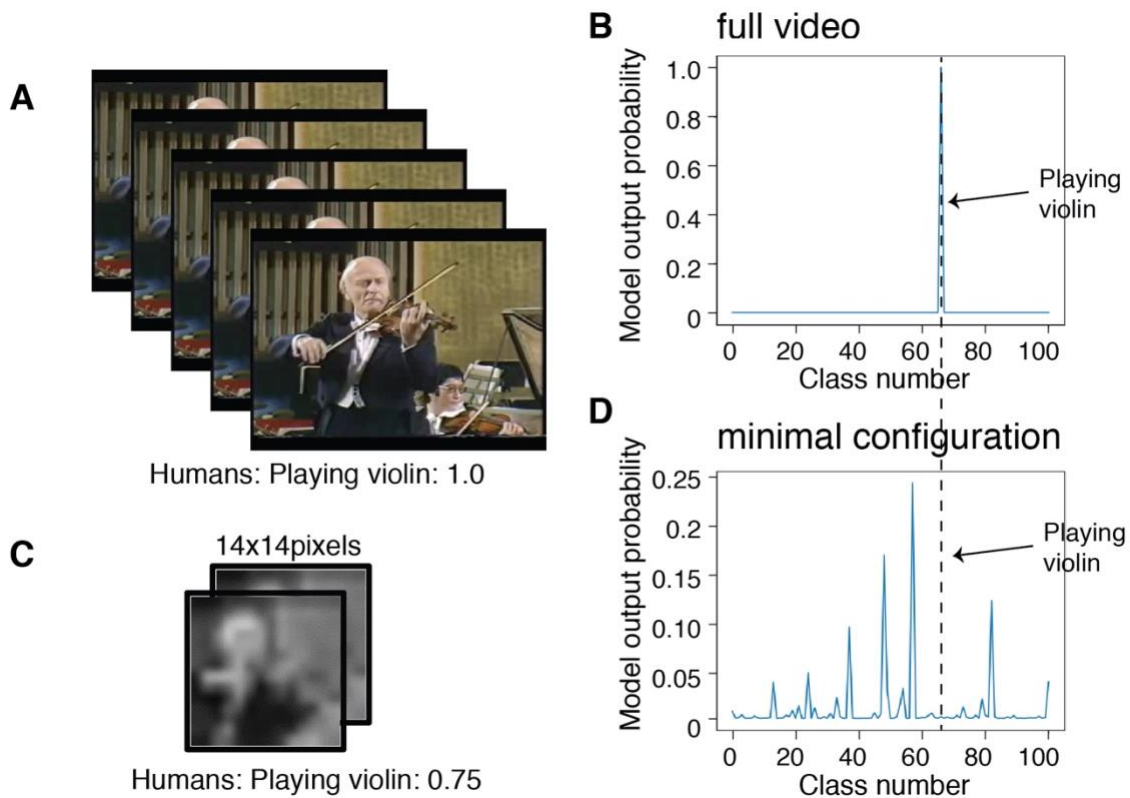
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FigureS4.

(A) Human recognition rate as a function of frames rate for the minimal configurations. Recognition decreases below frame rate of 2 Hz.

(B) Two examples of the effect of changing frame rates on recognition of minimal spatiotemporal configurations. The same frames were shown to different MTurk users at different frame rates. The numbers show recognition success rate. See Supplementary file 'figS4.ppsx' for animated version of the dynamic configurations.

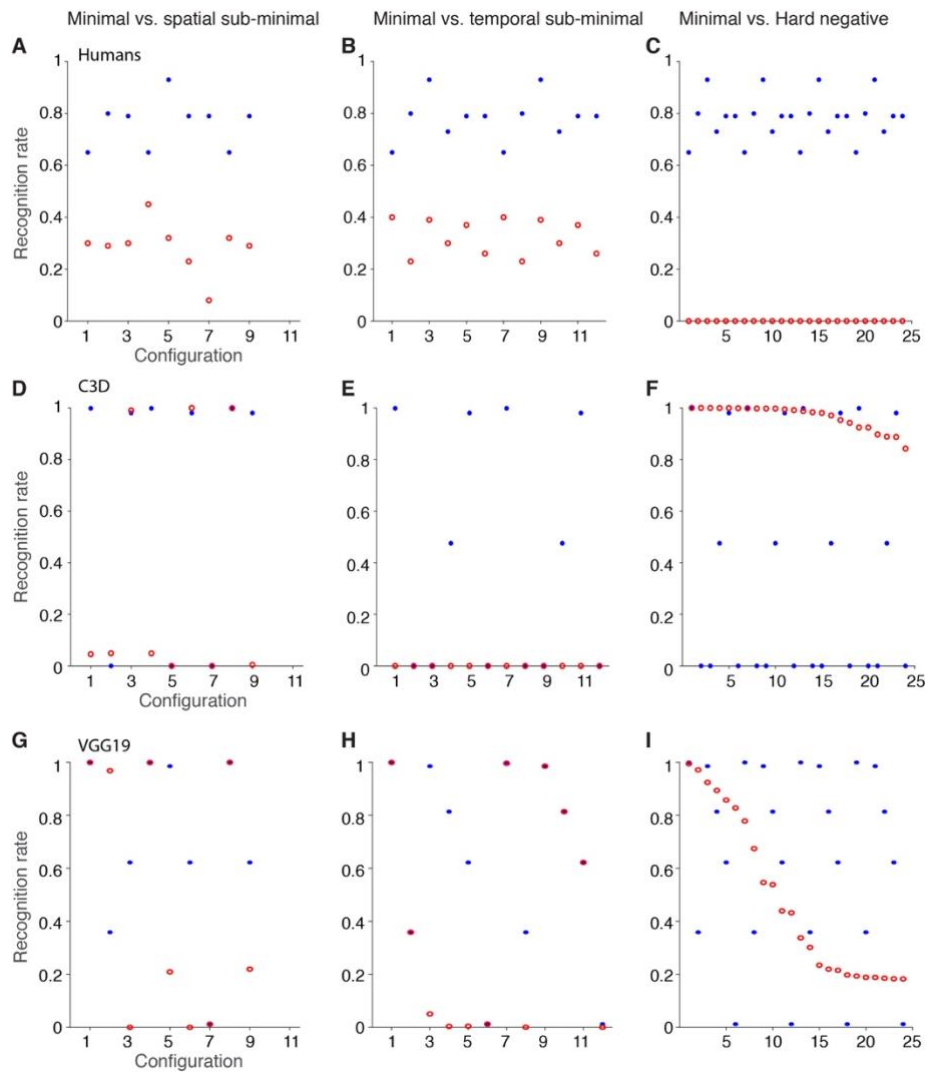


FigureS5. Pre-trained CNNs for spatiotemporal input were tested over full-viewed video clips (A-B), similar to the ones on their training process, and over minimal spatiotemporal configurations (C-D). Here is an example of typical behavior of the tested network models (here shown for the C3D model). The model could correctly classify the original video clip shown in A yielding a probability of 1 for the correct class number and 0 otherwise (B). However, the model failed to recognize the minimal configuration shown in C, yielding a probability of almost 0 for the correct class (D). This behavior stands in stark contrast with human recognition performance (percentage correct shown below the spatiotemporal configurations in A and C).

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FigureS6. Comparison between humans (A-C), the fine-tuned C3D computational model (D-F) and the fine-tuned VGG19 computational model (G-I) for the 'rowing' example. The plot compares minimal (blue) versus spatial sub-minimal (red) configurations (A, D, G), minimal (blue) versus temporal sub-minimal (red) configurations (B, E, H) and minimal (blue) versus hard negative (red) configurations (C, F, I).

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